# LOCALIZATIONS OF THE CATEGORY OF $A_{\infty}$ CATEGORIES AND INTERNAL HOMS OVER A RING

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ABSTRACT. We show that, over an arbitrary commutative ring, the localizations of the categories of dg categories, of unital and of strictly unital  $A_{\infty}$  categories with respect to the corresponding classes of quasi-equivalences are all equivalent. The same result is also proved at the  $\infty$ -categorical level in the strictly unital case. As an application, we provide a new proof of the existence of internal Homs for the homotopy category of dg categories in terms of the category of unital  $A_{\infty}$ functors, thus yielding a complete proof of a claim by Kontsevich and Keller.

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## INTRODUCTION

This paper extends and, at the same time, repairs some existing results about the homotopy categories of differential graded (dg from now on) and  $A_{\infty}$  categories. The study of these homotopy categories has grown during the last two decades and it has produced several remarkable results. Nonetheless most of them depend on the assumption that such categories are linear over a field. At first sight this might look like a mild assumption but, as soon as we start thinking of applications of dg or  $A_{\infty}$  categories to algebraic or geometric problems such as deformation theory, it becomes a priority to replace the ground field with any commutative ring.

This simple observation was the main incentive to reconsider our previous results in [5] whose proofs deeply used the assumption that the categories are linear over a field. Unfortunately, the effort to generalize our previous work drew our attention to the unpleasant presence in the literature

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of a couple of mistakes with deep repercussions on several papers, including [5]. We will come back to them later in the introduction. For now we want to stress that our effort to find a way out of them was not only successful but provided a wide generalization of all known results along the lines that we would like to outline now.

Let us consider the category **dgCat** consisting of (small) dg categories defined over a commutative ring k. Due to the work of Tabuada [24], **dgCat** has a model category structure which allows one to consider its homotopy category Ho(**dgCat**), which is nothing but the localization of **dgCat** with respect to all quasi-equivalences. The latter being special dg functors which induce an equivalence at the homotopy level. If we can replace **dgCat** with the corresponding category of  $A_{\infty}$  categories, one can still consider its localization with respect to quasi-equivalences. Note that the category of  $A_{\infty}$  categories famously does not have a model structure with limits and colimits (see, for example, [5, Section 1.5]).

The delicate issue about  $A_{\infty}$  categories is that various notions of unit are available for them. One can indeed take the category  $\mathbf{A}_{\infty}\mathbf{Cat}$  of strictly unital  $A_{\infty}$  categories. Or, alternatively, the category  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$  of unital  $A_{\infty}$  categories. One could go further and consider cohomologically unital  $A_{\infty}$  categories  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{c}}$ . We will discuss these subtleties in detail in Section 1.3. For now it is enough to keep in mind that we have natural faithful functors

# $\mathrm{dgCat} \hookrightarrow \mathrm{A}_\infty\mathrm{Cat} \hookrightarrow \mathrm{A}_\infty\mathrm{Cat}^{\mathrm{u}} \hookrightarrow \mathrm{A}_\infty\mathrm{Cat}^{\mathrm{c}}.$

While strictly unital  $A_{\infty}$  categories are natural generalizations of dg categories, unital ones are those who appear when dealing with Fukaya categories. If we work with categories linear over a commutative ring then  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$  and  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{c}}$  are different and the latter is, from many perspectives, hard to deal with and too coarse. But when the ground ring is actually a field, these two categories coincide. Thus, since the aim of this paper is to recover and extend the results in [5] to categories which are linear over a commutative ring, we will stick only to the first three categories in the above sequence of inclusions and to their localizations Ho(dgCat), Ho( $\mathbf{A}_{\infty}\mathbf{Cat}$ ) and Ho( $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$ ) with respect to the corresponding classes of quasi-equivalences.

The need for a comparison between the (homotopy) category of dg categories and the one of  $A_{\infty}$  categories is pervasive. We will mention more applications later in the introduction. For now, it is worth recalling that the core of the Homological Mirror Symmetry Conjecture, due to Kontsevich [15], is indeed a comparison between dg enhancements of the bounded derived category of coherent sheaves on a Calabi–Yau threefold and the Fukaya category (hence an  $A_{\infty}$  category) on a mirror Calabi–Yau threefold.

In order to state our first main result we need to introduce an additional category. If **A** and **B** are unital  $A_{\infty}$  categories, we can consider the  $A_{\infty}$  category  $\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}, \mathbf{B})$  which will be carefully defined in Section 1.4. Its objects are the unital  $A_{\infty}$  functors from **A** to **B** and two unital  $A_{\infty}$  functors  $\mathsf{F}, \mathsf{G} \colon \mathbf{A} \to \mathbf{B}$  are equivalent  $\mathsf{F} \approx \mathsf{G}$  if they are isomorphic in the 0-th cohomology of  $\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}, \mathbf{A})$ . Hence we can take the quotient  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}/\approx$  of  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$  with respect to this equivalence relation. One can go further and look at all h-projective unital  $A_{\infty}$  categories and form the full subcategory  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}_{\mathbf{hp}} \hookrightarrow \mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$ . Recall that an  $A_{\infty}$  category **A** is *h-projective* if the complex of morphism  $\mathbf{A}(A, B)$  is such, for all A, B in **A**. From this it is clear that  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}_{\mathbf{hp}}$  and  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$  coincide when  $\Bbbk$  is a field. Anyway, in complete generality, we can form the quotient  $\mathbf{A}_{\infty}\mathbf{Cat}_{hp}^{\mathbf{u}} / \approx$ .

With this in mind, we can finally state our first main result.

**Theorem A.** The faithful functors  $dgCat \hookrightarrow A_{\infty}Cat \hookrightarrow A_{\infty}Cat^{u}$  induce natural equivalences

$$\operatorname{Ho}(\operatorname{dgCat}) \cong \operatorname{Ho}(\operatorname{A}_{\infty}\operatorname{Cat}) \cong \operatorname{Ho}(\operatorname{A}_{\infty}\operatorname{Cat}^{\mathbf{u}}).$$

Moreover, these categories are equivalent to  $\mathbf{A}_{\infty}\mathbf{Cat}_{\mathbf{hp}}^{\mathbf{u}}/\approx$ .

The existence of the equivalence  $\operatorname{Ho}(\operatorname{dgCat}) \cong \operatorname{Ho}(\mathbf{A}_{\infty}\mathbf{Cat})$  is the content of Theorem 3.6 while the equivalence  $\operatorname{Ho}(\operatorname{dgCat}) \cong \operatorname{Ho}(\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}})$  and the one between  $\operatorname{Ho}(\operatorname{dgCat})$  and  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}} / \approx$ are proved in Theorem 4.1. Note that, if  $\Bbbk$  is a field, the last part of Theorem A just says that  $\operatorname{Ho}(\operatorname{dgCat})$  and  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}} / \approx$  are equivalent.

One important application of the result above is about uniqueness of enhancements for algebraic triangulated categories. Roughly, a triangulated category is algebraic if it admits a higher categorical model: either dg or  $A_{\infty}$  or  $\infty$ -stable categorical. The quest for the uniqueness of such a model was initiated by a very influential conjecture by Bondal, Larsen and Lunts in [3] for geometric triangulated categories. The conjecture was proved by Lunts and Orlov in the seminal paper [17] and the result was then further extended in [1] and [7] (see also [9]) up to the last and most general result in [4]. All these papers prove uniqueness of enhancements for larger classes of interesting triangulated categories using the language of dg categories. Theorem A immediately implies that such results extend to  $A_{\infty}$  enhancements which are linear over any commutative ring.

Finally, it is important to note that, following [22], Theorem A implies an analogous  $\infty$ categorical version with several remarkable applications. Let us indeed denote by  $\text{Ho}(\mathbf{dgCat})_{\infty}$ and  $\text{Ho}(\mathbf{A}_{\infty}\mathbf{Cat})_{\infty}$  the  $\infty$ -categorical enhancements of  $\text{Ho}(\mathbf{dgCat})$  and  $\text{Ho}(\mathbf{A}_{\infty}\mathbf{Cat})$ , respectively
(see Section 3.2 for more details). We then have the following.

#### **Theorem B.** The $\infty$ -categories $\operatorname{Ho}(\operatorname{dgCat})_{\infty}$ and $\operatorname{Ho}(\operatorname{A}_{\infty}\operatorname{Cat})_{\infty}$ are equivalent.

We should note that such a result is nothing but Theorem 1.1 in [19] for strictly unital  $A_{\infty}$  categories. Unfortunately, as the authors later realized, the proof in loc. cit. turned out to be wrong. If we stick to dg or  $A_{\infty}$  categories which are linear over a field, Theorem B was proved in [22]. Actually, we will explain in Section 3.2 that the same argument as in [22], together with Proposition 3.1, yields a proof of Theorem B over an arbitrary commutative ring. It is worth pointing out that, as observed in [19, Remark 1.2], Theorem B combined with the results in [12] shows that Gepner-Haugseng's model for the collection of all  $\infty$ -categories enriched in chain complexes in [11] is equivalent to Ho $(\mathbf{A}_{\infty}\mathbf{Cat})_{\infty}$ .

We now want to discuss our main and highly nontrivial application of Theorem A: a new proof for the existence of internal Homs in the homotopy category Ho(dgCat). In order to make this precise, recall that given two dg categories  $\mathbf{A}_1$  and  $\mathbf{A}_2$ , one can form their tensor product  $\mathbf{A}_1 \otimes \mathbf{A}_2$ in dgCat. In order to get a well defined tensor product in Ho(dgCat) we need to *derive* it by setting  $\mathbf{A}_1 \otimes^{\mathbb{L}} \mathbf{A}_2 := \mathbf{A}_1 \otimes \mathbf{A}_2^{\text{hp}}$ , where  $\mathbf{A}_2^{\text{hp}}$  stands for a h-projective resolution of  $\mathbf{A}_2$  (see Section 4.1 for more details). The main result in [25], later reproved in [6], shows that the tensor product  $\otimes^{\mathbb{L}}$  has a right adjoint  $\mathbb{R}\underline{Hom}$  in Ho(dgCat). Namely, we have a natural bijection

$$\operatorname{Ho}(\operatorname{dgCat})(\mathbf{A}_1 \otimes^{\mathbb{L}} \mathbf{A}_2, \mathbf{A}_3) \xleftarrow{1:1} \operatorname{Ho}(\operatorname{dgCat})(\mathbf{A}_1, \mathbb{R}\underline{Hom}(\mathbf{A}_2, \mathbf{A}_3))$$

## for $A_1$ , $A_2$ and $A_3$ in dgCat.

The astonishing fact is that, well before the appearance of [25], Kontsevich envisioned that such internal Homs should exist and could be described in terms of  $A_{\infty}$  functors between the corresponding dg categories. Such a claim, originally mentioned in [8], was later recasted by Keller in his ICM talk [14] (see Section 4.3 therein) in the following form.

Claim (Kontsevich, Keller). Let  $\mathbf{A}_1$  and  $\mathbf{A}_2$  be dg categories such that  $\mathbf{A}_1$  is h-projective and the unit map  $\mathbb{k} \to \mathbf{A}_1(A, A)$  admits a retraction as a morphism of complexes, for all  $A \in \mathbf{A}_1$ . Then the dg category  $\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}}(\mathbf{A}_1, \mathbf{A}_2)$ , whose objects are strictly unital  $A_{\infty}$  functors, is the category of internal Homs between  $\mathbf{A}_1$  and  $\mathbf{A}_2$ .

It turns out that, by using Theorem A, we can prove the following result which implies, as a special case, the claim above (see Remark 5.3). At the same time, due to its gorgeous generality, it provides a completely new proof of the result in [25] about the existence of internal Homs.

**Theorem C.** Given three dg categories  $A_1, A_2, A_3$ , there is a natural bijection of sets

$$\operatorname{Ho}(\operatorname{\mathbf{dgCat}})(\mathbf{A}_1 \otimes^{\mathbb{L}} \mathbf{A}_2, \mathbf{A}_3) \xrightarrow{1:1} \operatorname{Ho}(\operatorname{\mathbf{dgCat}})(\mathbf{A}_1, \operatorname{\mathbf{Fun}}_{\mathbf{A}_{\infty} \operatorname{\mathbf{Cat}}^{\mathbf{u}}}(\mathbf{A}_2^{\operatorname{hp}}, \mathbf{A}_3))$$

proving that the symmetric monoidal category Ho(dgCat) is closed. In particular, we get a natural bijection of sets

$$\operatorname{Ho}(\operatorname{\mathbf{dgCat}})(\mathbf{A}_1,\mathbf{A}_2) \xleftarrow{1:1} \operatorname{Isom}(H^0(\operatorname{\mathbf{Fun}}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}_1^{\operatorname{hp}},\mathbf{A}_2)))$$

which is compatible with compositions in the first and second entry.

Some comments are now in order here. First of all, the first part of Theorem C implies that the internal Hom dg category  $\mathbb{R}\underline{Hom}(\mathbf{A}_2, \mathbf{A}_3)$  is isomorphic in Ho(**dgCat**) to the dg category  $\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat^{u}}}(\mathbf{A}_2^{hp}, \mathbf{A}_3)$ . The advantage is that, once we know that internal Homs can be described as suitable equivalence classes of  $A_{\infty}$  functors, then the claim about the compatibility with compositions becomes straightforward (see Section 5.2 for more details and a precise description of the composition). This is less easy to achieve if one keeps the description of internal Homs in terms of bimodules as in [25] (and [6]). Such a compatibility is indeed described as an open question in the introduction of [25]. Theorem C provides an easy answer to it.

**Related work and further applications.** The first comparison has to be made with our previous paper [5]. Besides the obvious observation that our new results imply essentially all the ones in [5], we should go back to our first claim in the introduction: the fact that this paper corrects and overcomes some mistakes in the literature.

It was proved by Lefèvre-Hasegawa [16, Theorem 3.2.1.1] for  $A_{\infty}$  algebras and later by Seidel [23, Lemma 2.1] that any cohomologically unital  $A_{\infty}$  algebra or category can be replaced with a strictly unital one, at least when we work over a field. Similarly, [16, Theorem 3.2.2.1] and [23, Remark 2.2] claim that the same is true for functors: an  $A_{\infty}$  functors between strictly unital  $A_{\infty}$  categories can be replaced by a strictly unital one, up to homotopy. Unfortunately, after carefully

thinking about these claims, one realizes that none of them can be true in this generality. And the falsity of the first claim about categories (and algebras) was indeed later observed by Seidel in an erratum to [23].

While many technical parts of [5] remain valid (and will also be used in this paper) others, heavily relying on the two claims above, have to be revisited. For example, [5, Proposition 2.5] is easily seen to be false as soon as we consider  $A_{\infty}$  categories **A** such that the complex  $\mathbf{A}(A, A)$  has trivial cohomology but it is not trivial, for some some A in **A**. This produces a cascade of problems in the proof of [5, Theorem A] some of which can be overcome by readjusting the arguments while some of them needs the new (and at the same time more general) approach which we adopt in the present paper. A careful comparison shows that the new Theorem A replaces the old one for most of its parts once we observe that the categories of cohomologically unital and of unital  $A_{\infty}$ categories are the same, over a field. There is only one claim in [5] that is not covered by our new results: the equivalence between Ho(**dgCat**) and  $\mathbf{A}_{\infty}\mathbf{Cat}/\approx$ . Not only we cannot prove such a claim but we actually expect it to be false (see Remark 4.14).

Moreover, for similar reasons, the description of the internal Homs in terms of strictly unital  $A_{\infty}$  functors has to be replaced by the one which uses unital  $A_{\infty}$  functors. The result is that the new Theorem C replaces and generalizes the old [5, Theorem B].

Finally, as we have already explained before, the techniques we develop to prove Theorem A for categories linear over a commutative ring, allow us to provide a complete proof to Theorem 1.1 for strictly unital  $A_{\infty}$  categories and contained in [19]. Actually this takes the form of Theorem B. The latter result together with Theorem C gives then access to the many very interesting applications discussed in the second part of [19] (see, in particular, Sections 3.3 and 4 therein).

**Plan of the paper.** In Section 1 we briefly recall the basic definitions and constructions which are used all along the paper. We refer to the existing literature for more details but an issue that we try to analyze carefully is the difference between the various notions of unit for  $A_{\infty}$  categories (see Section 1.3).

In Section 2 we show the existence of a crucial pair of adjoint functors between the category of dg categories and the one of  $A_{\infty}$  categories (for later use in Section 5, the key step of the proof needs to be treated in a more general setting, which makes Section 2 the more technical part of the paper). Here we work with non-unital categories, and the analysis has to be refined in Section 3 and Section 4 in order to deal with strictly unital and unital  $A_{\infty}$  categories, thus proving Theorem A. In Section 3.2 we outline the proof of Theorem B.

As for internal Homs, the proof of Theorem C, is carried out in Section 5 with a preliminary discussion about multifunctors in Section 5.1. One of the aims of Section 5 is to clarify the behaviour of composition for the new description of the dg category of internal Homs, which is part of the statement of Theorem C.

**Notation and conventions.** We assume that a universe containing an infinite set is fixed. Throughout the paper, we will simply call sets the members of this universe. In general the collection of objects of a category need not be a set: we will always specify if we are requiring this extra condition. We work over a commutative ring  $\Bbbk$ . We will always assume that the collection of objects in a  $\Bbbk$ -linear category is a set.

The shift by an integer n of a graded k-module  $M = \bigoplus_{i \in \mathbb{Z}} M^i$  will be denoted by  $M[n] = \bigoplus_{i \in \mathbb{Z}} M^{n+i}$ . If  $x \in M$ , we will often write x[n] to denote the same element in M[n]. If x is homogeneous, say  $x \in M^i$ , then we set  $\deg(x) := i$  and  $\deg'(x) := i + 1$ .

We recall the Koszul sign rule: if  $f: M \to M'$  and  $g: N \to N'$  are morphisms of graded kmodules, with g homogeneous, then  $f \otimes g: M \otimes N \to M' \otimes N'$  maps  $x \otimes y$ , with x homogeneous, to  $(-1)^{\deg(g) \deg(x)} f(x) \otimes g(y)$ .

Complexes (or dg modules) are cohomological (namely, the differential has degree +1).

# 1. Preliminaries on $A_{\infty}$ categories and functors

In this section we provide a concise introduction to  $A_{\infty}$  categories and functors. In the whole paper the subtle relation between the various notions of unit is crucial. We provide here the basic definitions and properties which will be used later.

As in [5], we will follow the sign conventions in [16], which are different from (but equivalent to) those used in other references, like [2] and [23]. In particular, given graded k-modules  $M_1, \ldots, M_i, N$ , a k-linear map  $f: M_i \otimes \cdots \otimes M_1 \to N$  of degree n is identified with the k-linear map

(1.1) 
$$(-1)^{n+i-1}\iota_N^{-1} \circ f \circ (\iota_{M_i} \otimes \cdots \otimes \iota_{M_1}) \colon M_i[1] \otimes \cdots \otimes M_1[1] \to N[1]$$

of degree n + i - 1, where  $\iota_M \colon M[1] \to M$  is the natural isomorphism of degree 1, for every graded  $\Bbbk$ -module M.

1.1. Non-unital  $A_{\infty}$  categories, functors and natural transformations. We start by recalling the explicit definitions of non-unital  $A_{\infty}$  categories, functors and (pre)natural transformations. A conceptual explanation of the otherwise mysterious formulas appearing in this section will be given in Section 1.2.

**Definition 1.1.** A non-unital  $A_{\infty}$  category **A** consists of a set of objects  $Ob(\mathbf{A})$ , of graded  $\Bbbk$ -modules  $\mathbf{A}(A, A')$  for every  $A, A' \in \mathbf{A}$  and of  $\Bbbk$ -linear maps of degree 2 - i

(1.2) 
$$\mathbf{m}^{i} = \mathbf{m}_{\mathbf{A}}^{i} \colon \mathbf{A}(A_{i-1}, A_{i}) \otimes \cdots \otimes \mathbf{A}(A_{0}, A_{1}) \to \mathbf{A}(A_{0}, A_{i}),$$

for every i > 0 and every  $A_0, \ldots, A_i \in \mathbf{A}$ . The maps must satisfy the  $A_{\infty}$  associativity relations

(1.3) 
$$\sum_{k=1}^{n} \sum_{i=0}^{n-k} (-1)^{i+k(n-i-k)} \mathbf{m}^{n-k+1} \circ (\mathrm{id}^{\otimes n-i-k} \otimes \mathbf{m}^k \otimes \mathrm{id}^{\otimes i}) = 0$$

for every n > 0.

In particular, (1.3) with n = 1 shows that  $m^1$  defines a differential on each  $\mathbf{A}(A, A')$ , which will always be regarded as a complex in this way. It is also important to observe that  $m^1$  satisfies the graded Leibniz rule with respect to the composition defined by  $m^2$  (by the case n = 2) and that  $m^2$ is associative, up to a homotopy defined by  $m^3$  (by the case n = 3). This implies that we obtain the non-unital graded *cohomology category*  $H(\mathbf{A})$  of  $\mathbf{A}$  such that  $Ob(H(\mathbf{A})) = Ob(\mathbf{A})$ ,

$$H(\mathbf{A})(A,A') = \bigoplus_{i} H^{i}(\mathbf{A}(A_{1},A_{2}))$$

for every  $A, A' \in \mathbf{A}$  and (associative) composition induced from  $m^2$ .

**Definition 1.2.** A non-unital  $A_{\infty}$  functor  $F : \mathbf{A} \to \mathbf{B}$  between two non-unital  $A_{\infty}$  categories  $\mathbf{A}$  and  $\mathbf{B}$  is a collection  $F = \{F^i\}_{i \ge 0}$ , where  $F^0 : \operatorname{Ob}(\mathbf{A}) \to \operatorname{Ob}(\mathbf{B})$  is a map of sets and

(1.4) 
$$\mathsf{F}^{i} \colon \mathbf{A}(A_{i-1}, A_{i}) \otimes \cdots \otimes \mathbf{A}(A_{0}, A_{1}) \to \mathbf{B}(\mathsf{F}^{0}(A_{0}), \mathsf{F}^{0}(A_{i})),$$

for i > 0, are k-linear maps of degree 1 - i, for every  $A_0, \ldots, A_i \in \mathbf{A}$ . The maps must satisfy the following relations

(1.5) 
$$\sum_{k=1}^{n} \sum_{i=0}^{n-k} (-1)^{i+k(n-i-k)} \mathsf{F}^{n-k+1} \circ (\mathrm{id}^{\otimes n-i-k} \otimes \mathrm{m}_{\mathbf{A}}^{k} \otimes \mathrm{id}^{\otimes i})$$
$$= \sum_{\substack{i_{1}+\dots+i_{r}=n\\i_{1},\dots,i_{r}>0}} (-1)^{\sum_{t=1}^{r-1} \sum_{u=t+1}^{r} (1-i_{t})i_{u}} \mathrm{m}_{\mathbf{B}}^{r} \circ (\mathsf{F}^{i_{r}} \otimes \dots \otimes \mathsf{F}^{i_{1}}),$$

for every n > 0. A non-unital  $A_{\infty}$  functor  $\mathsf{F}$  is *strict* if  $\mathsf{F}^i = 0$  for every i > 1.

From (1.5) with n = 1 we see that  $\mathsf{F}^1$  commutes with the differentials  $\mathrm{m}^1$ . Moreover,  $\mathsf{F}^1$  preserves the compositions  $\mathrm{m}^2$ , up to a homotopy defined by  $\mathsf{F}^2$  (by the case n = 2). It follows that  $\mathsf{F}^0$  and  $\mathsf{F}^1$  induces a non-unital graded functor  $H(\mathsf{F}): H(\mathbf{A}) \to H(\mathbf{B})$ .

**Remark 1.3.** A non-unital  $A_{\infty}$  category **A** such that  $\mathbf{m}^i = 0$  for all i > 2 is called a *non-unital dg* category; for such categories  $\mathbf{m}^1$  and  $\mathbf{m}^2$  are usually denoted by d and  $\circ$ . A strict non-unital  $A_{\infty}$  functor  $\mathsf{F}$  between two dg categories is called a *non-unital dg functor*; in this case one often writes  $\mathsf{F}$  instead of  $\mathsf{F}^0$  or  $\mathsf{F}^1$ . There is a category  $\mathbf{A}_{\infty}\mathbf{Cat^n}$  (with objects the non-unital  $A_{\infty}$  categories and morphisms the non-unital  $A_{\infty}$  functors) which contains as a subcategory  $\mathbf{dgCat^n}$  (with objects the non-unital dg categories and morphisms the non-unital dg categories and morphisms the non-unital  $A_{\infty}$  functors) which contains as a subcategory  $\mathbf{dgCat^n}$  (with objects the non-unital dg categories and morphisms the non-unital dg functors). While the composition in  $\mathbf{dgCat^n}$  is the obvious one, the composition in  $\mathbf{A}_{\infty}\mathbf{Cat^n}$  is more subtle (see Section 1.2); however, we will not need its explicit definition.

**Definition 1.4.** Given  $\mathsf{F}, \mathsf{G} \colon \mathbf{A} \to \mathbf{B}$  in  $\mathbf{A}_{\infty}\mathbf{Cat^n}$ , a prenatural transformation  $\theta \colon \mathsf{F} \to \mathsf{G}$  of degree p is given by  $\Bbbk$ -linear maps of degree p - i

(1.6) 
$$\theta^{i} \colon \mathbf{A}(A_{i-1}, A_{i}) \otimes \cdots \otimes \mathbf{A}(A_{0}, A_{1}) \to \mathbf{B}\big(\mathsf{F}^{0}(A_{0}), \mathsf{G}^{0}(A_{i})\big)$$

for every  $i \ge 0$  and every  $A_0, \ldots, A_i \in \mathbf{A}$ . We say that  $\theta$  is a *natural transformation* if

$$(1.7) \sum_{k=1}^{n} \sum_{i=0}^{n-k} (-1)^{i+k(n-i-k)} \theta^{n-k+1} \circ (\mathrm{id}^{\otimes n-i-k} \otimes \mathrm{m}_{\mathbf{A}}^{k} \otimes \mathrm{id}^{\otimes i}) \\ + \sum_{\substack{i_{1}+\dots+i_{r}+k+j_{1}+\dots+j_{s}=n\\i_{1},\dots,i_{r},j_{1},\dots,j_{s}>0,k\geq 0}} (-1)^{p+r(p-1)+\sum_{t=1}^{r}(1-i_{t})(n-\sum_{u=1}^{t-1}i_{u})+(p-k)\sum_{t=1}^{s}j_{t}+\sum_{t=1}^{s-1}\sum_{u=t+1}^{s}(1-j_{t})j_{u}} \\ \mathrm{m}_{\mathbf{B}}^{r+s+1} \circ (\mathbf{G}^{j_{s}} \otimes \dots \otimes \mathbf{G}^{j_{1}} \otimes \theta^{k} \otimes \mathbf{F}^{i_{r}} \otimes \dots \otimes \mathbf{F}^{i_{1}}) = 0$$

for every  $n \geq 0$ .

Observe that  $\theta^0$  can be identified with a collection of elements  $\theta^0_A \in \mathbf{B}(\mathsf{F}^0(A), \mathsf{G}^0(A))^p$  for every  $A \in \mathbf{A}$ . Moreover, (1.7) with n = 0 shows that these elements are closed, whereas the case n = 1

implies that their images in cohomology define a natural transformation  $H(\theta): H(\mathsf{F}) \to H(\mathsf{G})$  of degree p.

1.2. Reminder on the bar and cobar constructions. This section is a quick reminder about the bar and cobar constructions. In [5, Sections 1.2 and 1.3] the reader can find definitions and properties of some notions which are not recalled here, like those of (graded or dg) quiver, cocategory and cofunctor. For a more detailed presentation see also [2].

We denote by  $dgcoCat^n$  the category whose objects are non-unital cocomplete dg cocategories and whose morphisms are non-unital dg cofunctors.

Given  $\mathbf{A} \in \mathbf{A}_{\infty}\mathbf{Cat^{n}}$ , the bar construction  $\mathbf{B}_{\infty}(\mathbf{A}) \in \mathbf{dgcoCat^{n}}$  associated to  $\mathbf{A}$  is simply defined to be  $\overline{\mathbf{T}}^{c}(\mathbf{A}[1])$  (where  $\mathbf{A}$  is viewed as a graded quiver) as a non-unital graded cocategory. As for the differential, an arbitrary choice of maps  $\mathbf{m}_{\mathbf{A}}^{i}$  as in (1.2) determines a morphism of graded quivers  $\overline{\mathbf{T}}^{c}(\mathbf{A}[1]) \rightarrow \mathbf{A}[1]$  of degree 1 (recall (1.1)), which extends uniquely to a  $(\mathrm{id}_{\overline{\mathbf{T}}^{c}(\mathbf{A}[1])}, \mathrm{id}_{\overline{\mathbf{T}}^{c}(\mathbf{A}[1])})$ -coderivation  $d_{\mathbf{A}} : \overline{\mathbf{T}}^{c}(\mathbf{A}[1]) \rightarrow \overline{\mathbf{T}}^{c}(\mathbf{A}[1])$  of degree 1. It is easy to see that  $d_{\mathbf{A}} \circ d_{\mathbf{A}} = 0$  if and only if (1.3) holds for every n > 0, in which case we set  $\mathbf{B}_{\infty}(\mathbf{A}) := (\overline{\mathbf{T}}^{c}(\mathbf{A}[1]), d_{\mathbf{A}})$ .

Similarly,  $F: \mathbf{A} \to \mathbf{B}$  in  $\mathbf{A}_{\infty}\mathbf{Cat^{n}}$  induces  $B_{\infty}(F): B_{\infty}(\mathbf{A}) \to B_{\infty}(\mathbf{B})$  in  $\mathbf{dgcoCat^{n}}$ . More precisely, an arbitrary choice of maps  $F^{0}: \mathrm{Ob}(\mathbf{A}) \to \mathrm{Ob}(\mathbf{B})$  and  $F^{i}$  for i > 0 as in (1.4) determines a morphism of graded quivers  $\overline{T}^{c}(\mathbf{A}[1]) \to \mathbf{B}[1]$  of degree 0, which extends uniquely to a graded cofunctor  $\widehat{F}: \overline{T}^{c}(\mathbf{A}[1]) \to \overline{T}^{c}(\mathbf{B}[1])$ . Then one can check that  $d_{\mathbf{B}} \circ \widehat{F} = \widehat{F} \circ d_{\mathbf{A}}$  if and only if (1.5) holds for every n > 0, in which case we set  $B_{\infty}(F) := \widehat{F}$ . Moreover, the composition in  $\mathbf{A}_{\infty}\mathbf{Cat^{n}}$ is defined in such a way that

# $\mathrm{B}_\infty\colon \mathbf{A}_\infty\mathbf{Cat^n}\to \mathbf{dgcoCat^n}$

is a functor, which actually turns out to be fully faithful.

Finally, given  $\mathsf{F}, \mathsf{G} \colon \mathbf{A} \to \mathbf{B}$  in  $\mathbf{A}_{\infty}\mathbf{Cat^n}$  (more generally,  $\mathsf{F}$  and  $\mathsf{G}$  could be given by arbitrary maps  $\mathsf{F}^0, \mathsf{G}^0 \colon \mathrm{Ob}(\mathbf{A}) \to \mathrm{Ob}(\mathbf{B})$  and  $\mathsf{F}^i, \mathsf{G}^i$  for i > 0 as in (1.4)), a prenatural transformation  $\theta \colon \mathsf{F} \to \mathsf{G}$  of degree p determines  $\Bbbk$ -linear maps  $\mathrm{T}^c(\mathbf{A}[1])(A, A') \to \mathbf{B}[1](\mathsf{F}^0(A), \mathsf{G}^0(A'))$  of degree p-1 for every  $A, A' \in \mathbf{A}$ , which extend uniquely to a  $(\widehat{\mathsf{F}}, \widehat{\mathsf{G}})$ -coderivation  $\widehat{\theta} \colon \mathrm{T}^c(\mathbf{A}[1]) \to \overline{\mathrm{T}}^c(\mathbf{B}[1])$ of degree p-1. Here we still denote by  $\widehat{\mathsf{F}}, \widehat{\mathsf{G}} \colon \mathrm{T}^c(\mathbf{A}[1]) \to \overline{\mathrm{T}}^c(\mathbf{B}[1])$  the extensions by 0 of  $\widehat{\mathsf{F}}$ and  $\widehat{\mathsf{G}}$ . Again, it can be easily proved that  $d_{\mathbf{B}} \circ \widehat{\theta} + (-1)^p \widehat{\theta} \circ d_{\mathbf{A}} = 0$  (where we still denote by  $d_{\mathbf{A}} \colon \mathrm{T}^c(\mathbf{A}[1]) \to \mathrm{T}^c(\mathbf{A}[1])$  the extensions by 0 of  $d_{\mathbf{A}}$ ) if and only if  $\theta$  is a natural transformation.

**Remark 1.5.** Given  $F: \mathbf{A} \to \mathbf{B}$  in  $\mathbf{A}_{\infty}\mathbf{Cat^n}$  and a prenatural transformation  $\theta: F \to F$  of degree 1 such that  $\theta^0 = 0$ , we can define  $\mathsf{G}^0 := \mathsf{F}^0$  and  $\mathsf{G}^i := \mathsf{F}^i + \theta^i$  for i > 0. Then we can regard  $\theta$  as a prenatural transformation  $\mathsf{F} \to \mathsf{G}$ , and it is not difficult to show that in this way  $\widehat{\mathsf{G}} = \widehat{\mathsf{F}} + \widehat{\theta}$ . This clearly implies that  $\mathsf{G}$  is a non-unital  $A_{\infty}$  functor if and only if  $\theta: \mathsf{F} \to \mathsf{G}$  is a natural transformation.

As a matter of notation, we set

# $B := B_{\infty}|_{dgCat^{n}} \colon dgCat^{n} \to dgcoCat^{n},$

which is a faithful (but not full) functor. Dually, the *cobar construction* yields a faithful (but not full) functor

# $\Omega\colon \mathbf{dgcoCat^n}\to \mathbf{dgCat^n}.$

In particular, for  $\mathbf{C} \in \mathbf{dgcoCat^n}$ ,  $\Omega(\mathbf{C})$  is simply defined to be  $\overline{T}(\mathbf{C}[-1])$  as a non-unital graded category, with differential induced from the differential and the cocomposition in  $\mathbf{C}$ .

By [5, Proposition 1.21] there is an adjunction

$$\Omega$$
: dgcoCat<sup>n</sup>  $\rightleftharpoons$  dgCat<sup>n</sup> :B,

with counit denoted by  $\alpha : \Omega \circ B \to id_{dgCat^n}$  and unit denoted by  $\beta : id_{dgcoCat^n} \to B \circ \Omega$ . Since  $B_{\infty}$  is fully faithful, for every  $A \in A_{\infty}Cat^n$  there exists unique  $\gamma_A \in A_{\infty}Cat^n(A, \Omega(B_{\infty}(A)))$  such that

$$\beta_{B_{\infty}(\mathbf{A})} = B_{\infty}(\gamma_{\mathbf{A}}) \colon B_{\infty}(\mathbf{A}) \to B_{\infty}(\Omega(B_{\infty}(\mathbf{A}))) = B(\Omega(B_{\infty}(\mathbf{A}))).$$

Denoting by  $I^n \colon \mathbf{dgCat}^n \to \mathbf{A}_\infty \mathbf{Cat}^n$  the inclusion functor and setting

$$\mathsf{U}^{\mathsf{n}} := \Omega \circ \mathrm{B}_{\infty} \colon \mathbf{A}_{\infty} \mathbf{Cat}^{\mathsf{n}} \to \mathbf{dgCat}^{\mathsf{n}},$$

it is clear that the  $A_{\infty}$  functors  $\gamma_{\mathbf{A}} : \mathbf{A} \to \Omega(B_{\infty}(\mathbf{A})) = U^{\mathsf{n}}(\mathbf{A})$  (for  $\mathbf{A} \in \mathbf{A}_{\infty}\mathbf{Cat^{\mathsf{n}}}$ ) define a natural transformation  $\gamma : \operatorname{id}_{\mathbf{A}_{\infty}\mathbf{Cat^{\mathsf{n}}}} \to \mathsf{I}^{\mathsf{n}} \circ \mathsf{U}^{\mathsf{n}}$ .

1.3. Notions of unity. Now we need to discuss the various notions of units which will be used in the rest of the paper.

**Definition 1.6.** A cohomologically unital  $A_{\infty}$  category is a non-unital  $A_{\infty}$  category **A** such that  $H(\mathbf{A})$  is a category (i.e.  $H(\mathbf{A})$  is unital).

**Definition 1.7** ([18, Definition 7.3 and Lemma 7.4]). An  $A_{\infty}$  category **A** is *unital* if it is cohomologically unital and, denoting (for every  $A \in \mathbf{A}$ ) by  $e_A \in \mathbf{A}(A, A)$  a (closed degree 0) morphism representing  $\mathrm{id}_A \in H(\mathbf{A})(A, A)$ , the following morphisms of complexes

$$\mathrm{m}^2(-\otimes e_A)\colon \mathbf{A}(A,A') \to \mathbf{A}(A,A') \qquad \mathrm{m}^2(e_A\otimes -)\colon \mathbf{A}(A',A) \to \mathbf{A}(A',A)$$

are homotopic to the identity for every  $A, A' \in \mathbf{A}$ .

**Definition 1.8.** A strictly unital  $A_{\infty}$  category is a non-unital  $A_{\infty}$  category **A** such that for every  $A \in \mathbf{A}$  there exists a degree 0 morphisms  $\mathrm{id}_A \in \mathbf{A}(A, A)$  satisfying the following properties:

- (1)  $\mathrm{m}^2(-\otimes \mathrm{id}_A) = \mathrm{id}_{\mathbf{A}(A,A')}$  and  $\mathrm{m}^2(\mathrm{id}_A \otimes -) = \mathrm{id}_{\mathbf{A}(A',A)}$  for every  $A, A' \in \mathbf{A}$ ;
- (2)  $\mathrm{m}^{i}(f_{i}\otimes\cdots\otimes f_{1})=0$  if  $i\neq 2$  and  $f_{j}=\mathrm{id}_{A}$  for some  $j\in\{1,\ldots,i\}$  and some  $A\in\mathbf{A}$ .

For a non-unital  $A_{\infty}$  category  $\mathbf{A}$ , its *augmentation* is the strictly unital  $A_{\infty}$  category  $\mathbf{A}^+$  such that  $Ob(\mathbf{A}^+) = Ob(\mathbf{A})$  and

$$\mathbf{A}^+(A,A') = \begin{cases} \mathbf{A}(A,A') & \text{if } A \neq A' \\ \mathbf{A}(A,A') \oplus \Bbbk \, \mathbf{1}_A & \text{if } A = A', \end{cases}$$

with  $m_{\mathbf{A}^+}^i$  the unique extension of  $m_{\mathbf{A}}^i$  such that the additional morphisms  $1_A$  is the unit of A in  $\mathbf{A}^+$ , for every  $A \in \mathbf{A}$  and every i > 0. To avoid confusion, when  $\mathbf{A}$  is strictly unital, the unit in  $\mathbf{A}$  is denoted by  $\mathrm{id}_A$  while the one on  $\mathbf{A}^+$  is  $1_A$ , for every  $A \in \mathbf{A}$ .

Similarly, we get *cohomologically unital dg categories*, *unital dg categories* and *strictly unital dg categories*. In accordance to the existing literature, strictly unital dg categories will be simply referred to as *dg categories*.

**Example 1.9.** (i) In the special case of (dg or  $A_{\infty}$ ) categories with only one object, then, for obvious reasons, we will talk about (dg or  $A_{\infty}$ ) algebras.

(ii) Given two (non-unital, cohomologically unital, unital or strictly unital) dg categories **A** and **B**, we can define a (non-unital, cohomologically unital, unital or strictly unital) dg category  $\mathbf{A} \otimes \mathbf{B}$ , which is the tensor product of **A** and **B**. Its objects are the pairs (A, B) with  $A \in \mathbf{A}$  and  $B \in \mathbf{B}$ , while  $(\mathbf{A} \otimes \mathbf{B})((A, B), (A', B')) = \mathbf{A}(A, A') \otimes \mathbf{B}(B, B')$ . If **A** and **B** are  $A_{\infty}$  categories, then defining an appropriate tensor product is a more delicate issue which will be discussed in [21].

There is also a notion of homotopy unital  $A_{\infty}$  category (which will not be used in this paper), such that the following implications hold for  $A_{\infty}$  categories (see [18, Section 8.12.]):

strictly unital  $\implies$  homotopy unital  $\implies$  unital  $\implies$  cohomologically unital

**Remark 1.10.** If k is a field, then an  $A_{\infty}$  category is unital if and only if it is cohomologically unital. This is simply due to the fact that, over a field, two morphisms of complexes are homotopic if they induce the same map in cohomology.

Of course, there are also the corresponding notions of strictly unital, unital and cohomologically unital  $A_{\infty}$  functors (see [23, pp 23] and [18, Definition 8.1. and Proposition 8.2.]).

**Definition 1.11.** Let  $F: \mathbf{A} \to \mathbf{B}$  be a non-unital  $A_{\infty}$  functor.

F is cohomologically unital (respectively unital) if A and B are cohomologically unital (respectively unital) and H(F) is unital.

F is *strictly unital* if A and B are strictly unital and the following properties are satisfied:

- (1)  $\mathsf{F}^1(\mathrm{id}_A) = \mathrm{id}_{\mathsf{F}^0(A)}$  for every  $A \in \mathbf{A}$ ;
- (2)  $\mathsf{F}^{i}(f_{i} \otimes \cdots \otimes f_{1}) = 0$  if i > 1 and  $f_{j} = \mathrm{id}_{A}$  for some  $j \in \{1, \ldots, i\}$  and some  $A \in \mathbf{A}$ .

**Remark 1.12.** Recalling Remark 1.10, we see that over a field there is no distinction between unital and cohomologically unital.

The following definition is only partially standard.

**Definition 1.13.** A non-unital  $A_{\infty}$  functor  $F: \mathbf{A} \to \mathbf{B}$  is a quasi-isomorphism (respectively a homotopy isomorphism) if  $F^0$  is bijective and  $F^1: \mathbf{A}(A, A') \to \mathbf{B}(F^0(A), F^0(A'))$  is a quasiisomorphism (respectively a homotopy equivalence) of complexes for every  $A, A' \in \mathbf{A}$ .

When **B** is cohomologically unital (respectively unital),  $F: \mathbf{A} \to \mathbf{B}$  is a quasi-equivalence (respectively a homotopy equivalence) if H(F) is essentially surjective and  $F^1: \mathbf{A}(A, A') \to \mathbf{B}(F^0(A), F^0(A'))$  is a quasi-isomorphism (respectively a homotopy equivalence) of complexes for every  $A, A' \in \mathbf{A}$ .

**Remark 1.14.** Clearly every homotopy isomorphism (respectively homotopy equivalence) is a quasi-isomorphism (respectively quasi-equivalence), and the viceversa holds if k is a field.

We will denote by  $\mathbf{A}_{\infty}\mathbf{Cat}$  (respectively  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$ ) the subcategory of  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}$  whose objects are strictly unital (respectively unital)  $A_{\infty}$  categories and whose morphisms are strictly unital (respectively unital)  $A_{\infty}$  functors. Similarly, **dgCat** denotes the subcategory of **dgCat**<sup>**n**</sup> whose objects are strictly unital dg categories and whose morphisms are strictly unital dg functors. Moreover,  $\mathbf{A}_{\infty}\mathbf{Cat}_{\mathbf{dg}}$  will be the full subcategory of  $\mathbf{A}_{\infty}\mathbf{Cat}$  whose objects are dg categories. **Remark 1.15.** If k is a field,  $A_{\infty}Cat^{u}$  coincides with what was denoted by  $A_{\infty}Cat^{c}$  in [5].

In order to study the relation between  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$  and  $\mathbf{dgCat}$ , later we will need the following result (which comes from an  $A_{\infty}$  categorical version of Yoneda's lemma).

**Lemma 1.16.** Given  $A \in A_{\infty}Cat^{u}$ , there exists a homotopy isomorphism  $Y_{A} : A \to R_{A}$  with  $R_{A} \in dgCat$ .

Proof. See [2, Corollary 1.4].

Coming to prenatural transformations, we will consider the following notion.

**Definition 1.17.** Given  $\mathsf{F}, \mathsf{G} \colon \mathbf{A} \to \mathbf{B}$  in  $\mathbf{A}_{\infty}\mathbf{Cat^n}$  with  $\mathbf{A}$  strictly unital, a prenatural transformation  $\theta \colon \mathsf{F} \to \mathsf{G}$  is *strictly unital* if  $\theta^i(f_i \otimes \cdots \otimes f_1) = 0$  whenever i > 0 and there exists  $j \in \{1, \ldots, i\}$ such that  $f_j = \mathrm{id}_A$  for some  $A \in \mathbf{A}$ .

1.4. Category of functors and equivalence relations. Given  $\mathbf{A}, \mathbf{B} \in \mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}$ , there is a natural non-unital  $A_{\infty}$  category  $\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}}(\mathbf{A}, \mathbf{B})$ , whose set of objects is  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}(\mathbf{A}, \mathbf{B})$  and whose morphisms are prenatural transformations (see [5, Section 1.4]). As for the maps  $\mathbf{m}^{i} = \mathbf{m}_{\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}}(\mathbf{A}, \mathbf{B})$ , for our aims it is enough to know that  $\mathbf{m}^{1}(\theta)^{n}$  is given by the left-hand-side of (1.7), for every  $\mathsf{F}, \mathsf{G} \in \mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}(\mathbf{A}, \mathbf{B})$  and every prenatural transformation  $\theta: \mathsf{F} \to \mathsf{G}$  of degree p.

Observe that, if **B** is (strictly) unital or is a dg category, then  $\operatorname{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}}(\mathbf{A}, \mathbf{B})$  has the same property. When **A** and **B** are strictly unital (respectively unital), the full  $A_{\infty}$  subcategory of  $\operatorname{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}}(\mathbf{A}, \mathbf{B})$  whose set of objects is  $\mathbf{A}_{\infty}\mathbf{Cat}(\mathbf{A}, \mathbf{B})$  (respectively  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}(\mathbf{A}, \mathbf{B})$ ) will be denoted by  $\operatorname{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}}(\mathbf{A}, \mathbf{B})$  (respectively  $\operatorname{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}, \mathbf{B})$ ).

## Definition 1.18. Let $F, G \in A_{\infty}Cat^{n}(A, B)$ .

(i)  $\mathsf{F}$  and  $\mathsf{G}$  are *weakly equivalent* (denoted by  $\mathsf{F} \approx \mathsf{G}$ ) if  $\mathbf{B}$  is unital and  $\mathsf{F} \cong \mathsf{G}$  in the (unital) category  $H^0(\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^n}(\mathbf{A}, \mathbf{B}))$ .

(ii) F and G are *homotopic* (denoted by  $F \sim G$ ) if  $F^0 = G^0$  and there exists a prenatural transformation  $\theta: F \to G$  of degree 0 such that  $\theta^0 = 0$  and  $G^i = F^i + m^1(\theta)^i$  for every i > 0.

**Remark 1.19.** As it is proved in [23, Section (1h)], homotopy is an equivalence relation. Since it will be useful later, we also point out the following property, which can be directly deduced from the proof. Let  $\mathsf{F}, \mathsf{G}, \mathsf{H} \in \mathbf{A}_{\infty}\mathbf{Cat^n}(\mathbf{A}, \mathbf{B})$  with  $\mathsf{F} \sim \mathsf{G}$  and  $\mathsf{G} \sim \mathsf{H}$  through homotopies  $\theta_1$  and  $\theta_2$ , respectively. Assuming that there exists n > 0 such that  $\theta_2^i = 0$  for i < n, then  $\mathsf{F} \sim \mathsf{H}$  through a homotopy  $\theta$  such that  $\theta_1^i = \theta_1^i$  for i < n.

**Remark 1.20.** If  $F \in A_{\infty}Cat^{n}(\mathbf{A}, \mathbf{B})$  and  $\theta: F \to F$  is a prenatural transformation of degree 0 such that  $\theta^{0} = 0$ , then there exists  $\mathbf{G} \in \mathbf{A}_{\infty}Cat^{n}(\mathbf{A}, \mathbf{B})$  such that  $F \sim \mathbf{G}$  with  $\mathbf{G}^{i} = \mathbf{F}^{i} + \mathbf{m}^{1}(\theta)^{i}$  for every i > 0, where  $\theta$  is regarded as a prenatural transformation  $F \to \mathbf{G}$ . Indeed, we set  $\mathbf{G}^{0} := \mathbf{F}^{0}$  and, for n > 0, we define inductively  $\mathbf{G}^{n}$  as the sum of  $\mathbf{F}^{n}$  and of the left-hand-side of (1.7) (which involves  $\mathbf{G}^{i}$  only with i < n, since  $\theta^{0} = 0$ ). Then  $\mathbf{G}^{i} = \mathbf{F}^{i} + \mathbf{m}^{1}(\theta)^{i}$  for i > 0 by construction, while the fact that  $\mathbf{G} \in \mathbf{A}_{\infty}Cat^{n}(\mathbf{A}, \mathbf{B})$  follows from Remark 1.5, taking into account that  $\mathbf{m}^{1}(\theta)$  is a natural transformation (because  $\mathbf{m}^{1} \circ \mathbf{m}^{1} = 0$ ) of degree 1 and clearly  $\mathbf{m}^{1}(\theta)^{0} = 0$ .

Since  $\approx$  is compatible with compositions, from the category  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$  one can obtain a quotient category  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}/\approx$  with the same objects and whose morphisms are given by

$$\mathbf{A}_{\infty}\mathbf{Cat^{u}}/\approx (\mathbf{A},\mathbf{B}):=\mathbf{A}_{\infty}\mathbf{Cat^{u}}(\mathbf{A},\mathbf{B})/\approx .$$

Similarly one can construct  $\mathbf{A}_{\infty}\mathbf{Cat}/\approx$  from  $\mathbf{A}_{\infty}\mathbf{Cat}$ .

Later we will need the following results.

**Lemma 1.21.** Let  $\mathsf{F}, \mathsf{F}' \in \mathbf{A}_{\infty}\mathbf{Cat^n}(\mathbf{A}, \mathbf{B})$  with  $\mathbf{B}$  unital. If there exists a natural transformation  $\theta \colon \mathsf{F} \to \mathsf{F}'$  of degree 0 such that  $H(\theta) \colon H(\mathsf{F}) \to H(\mathsf{F}')$  is an isomorphism, then  $\mathsf{F} \approx \mathsf{F}'$ .

*Proof.* It follows from [18, Proposition 7.15].

**Lemma 1.22.** Let  $F: \mathbf{A} \to \mathbf{B}$  be a homotopy equivalence (in particular,  $\mathbf{B}$  is unital). Then  $\mathbf{A}$  and F are also unital and there exists  $G \in \mathbf{A}_{\infty}\mathbf{Cat^{u}}(\mathbf{B},\mathbf{A})$  such that  $G \circ F \approx \mathrm{id}_{\mathbf{A}}$  and  $F \circ G \approx \mathrm{id}_{\mathbf{B}}$  (hence the image of F is an isomorphism in  $\mathbf{A}_{\infty}\mathbf{Cat^{u}}/\approx$ ).

Proof. It follows from [18, Theorem 8.8].

**Corollary 1.23.** Let  $F, F' \in A_{\infty}Cat^{n}(A, B)$  with B unital. Then  $F \approx F'$  in each of the following cases.

- (1) **B** is strictly unital and  $\mathsf{F} \sim \mathsf{F}'$ .
- (2) There exists a homotopy equivalence  $G: \mathbf{B} \to \mathbf{B}'$  such that  $G \circ F \approx G \circ F'$ .
- (3) There exists a homotopy equivalence  $H: \mathbf{A}' \to \mathbf{A}$  such that  $F \circ H \approx F' \circ H$ .

Proof. If **B** is strictly unital and  $\theta: \mathsf{F} \to \mathsf{F}'$  is a homotopy, it is straightforward to check that the prenatural transformation  $\tilde{\theta}: \mathsf{F} \to \mathsf{F}'$  defined by  $\tilde{\theta}^i := \theta^i$  for i > 0 and  $\tilde{\theta}^0(A) := \mathrm{id}_{\mathsf{F}^0(A)}$  for every  $A \in \mathbf{A}$  is a natural transformation (see also the paragraph before [23, Lemma 2.5]). Thus part (1) follows from Lemma 1.21, whereas parts (2) and (3) are easy consequences of Lemma 1.22.

#### 2. The non-unital case

Using the notation introduced in Section 1.2, we first state the following result.

**Proposition 2.1.** There is an adjunction

whose unit is  $\gamma: \operatorname{id}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}} \to \mathsf{I}^{\mathsf{n}} \circ \mathsf{U}^{\mathsf{n}}$  and whose counit is  $\alpha: \mathsf{U}^{\mathsf{n}} \circ \mathsf{I}^{\mathsf{n}} = \Omega \circ \mathsf{B} \to \operatorname{id}_{\mathbf{dgCat}^{\mathbf{n}}}$ . Moreover,  $\gamma_{\mathbf{A}}$  (for every  $\mathbf{A} \in \mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}$ ) and  $\alpha_{\mathbf{B}}$  (for every  $\mathbf{B} \in \mathbf{dgCat}^{\mathbf{n}}$ ) are homotopy isomorphisms.

It is easy to see that the same proof of [5, Proposition 1.22] can be adapted to work when  $\Bbbk$  is an arbitrary commutative ring and with homotopy isomorphism in place of quasi-isomorphism. However, when dealing with the strictly unital case in Section 3, it will be useful to know the crucial proof of the fact that, for every  $\mathbf{A} \in \mathbf{A}_{\infty} \mathbf{Cat}^{\mathbf{n}}$  and every  $A, A' \in \mathbf{A}$ ,

(2.2) 
$$\gamma_{\mathbf{A}}^{1} \colon \mathbf{A}(A, A') \to \mathsf{U}^{\mathsf{n}}(\mathbf{A})(A, A') = \Omega(\mathsf{B}_{\infty}(\mathbf{A}))(A, A')$$

is a homotopy equivalence of complexes. Actually we will prove a more general statement in Section 2.3, from which we will also deduce a result that will be needed in Section 5. To this aim, we first prove a technical result in Section 2.1 and then introduce the morphism which replaces (2.2) in a more general setting in Section 2.2.

2.1. A criterion for homotopy equivalence. We will need the following general and possibly known result about filtered complexes. We include the proof since we could not find a suitable reference.

**Lemma 2.2.** Let C be a complex of k-modules endowed with an ascending and exhaustive filtration  $F^nC$  (with  $n \in \mathbb{N}$ ) such that  $F^0C = 0$ . Assume that for every n > 1 the exact sequence of complexes

$$0 \to \mathbf{F}^{n-1}C \to \mathbf{F}^nC \to \mathrm{gr}^nC := (\mathbf{F}^nC)/(\mathbf{F}^{n-1}C) \to 0$$

splits as a sequence of graded modules and the complex  $\operatorname{gr}^n C$  is null-homotopic. Then the inclusion  $F^1C \hookrightarrow C$  is a homotopy equivalence of complexes.

*Proof.* We can assume that as a graded module  $C = \bigoplus_{n>0} C_n$  with  $F^n C = \bigoplus_{0 < m \le n} C_m$  and  $\operatorname{gr}^n C = C_n$ . We will write  $C_{\le n}$  or  $C_{< n+1}$  instead of  $F^n C$ . For n > 0 we will denote by  $d_{\le n}$  the differential of  $C_{\le n}$  (which is the restriction of the differential d of C) and by  $d_n$  the induced differential on  $C_n$ . Observe that  $d_1 = d_{\le 1}$  and

$$d_{\leq n} = \begin{pmatrix} d_{< n} & e_n \\ 0 & d_n \end{pmatrix} : C_{\leq n} = C_{< n} \oplus C_n \to C_{\leq n} = C_{< n} \oplus C_n$$

for n > 1, where  $e_n \colon C_n \to C_{< n}$  is a degree 1 map such that

$$d_{< n} \circ e_n = -e_n \circ d_n.$$

Denoting by  $i_n: C_1 \hookrightarrow C_{\leq n}$  the inclusion, we claim that there exist morphisms of complexes  $p_n: C_{\leq n} \to C_1$  and degree -1 maps  $h_{\leq n}: C_{\leq n} \to C_{\leq n}$  such that

(2.4) 
$$\operatorname{id}_{C_1} = p_n \circ i_n,$$

(2.5) 
$$\operatorname{id}_{C_{\leq n}} = i_n \circ p_n + d_{\leq n} \circ h_{\leq n} + h_{\leq n} \circ d_{\leq n}$$

for every n > 0, and satisfying the compatibility conditions

(2.6) 
$$p_n|_{C_{< n}} = p_{n-1}, \quad h_{\le n}|_{C_{< n}} = h_{< n}$$

for every n > 1. Assuming this, one can conclude the proof very easily. Indeed, the maps  $p: C \to C_1$  and  $h: C \to C$  such that  $p|_{C_{\leq n}} = p_n$  and  $h|_{C_{\leq n}} = h_{\leq n}$  for every n > 0 are well defined (and unique), thanks to (2.6). Moreover, since each  $p_n$  is a morphism of complexes and (2.4) and (2.5) hold, also p is a morphism of complexes and (denoting by  $i: C_1 \hookrightarrow C$  the inclusion) we obtain

$$\operatorname{id}_{C_1} = p \circ i, \qquad \operatorname{id}_C = i \circ p + d \circ h + h \circ d,$$

thus proving that i is a homotopy equivalence.

So it remains to prove the claim, and to this purpose we proceed by induction on n. As we can obviously take  $p_1 = i_1 = \mathrm{id}_{C_1}$  and  $h_{\leq 1} = 0$ , we assume that n > 1 and that the maps  $p_m$  and  $h_m$ with all the required properties have already been chosen for 0 < m < n. In particular,  $p_{n-1}$  is a morphism of complexes and

(2.8) 
$$id_{C_{< n}} = i_{n-1} \circ p_{n-1} + d_{< n} \circ h_{< n} + h_{< n} \circ d_{< n}$$

Moreover, since  $C_n$  is null-homotopic, there exists a degree -1 map  $h_n: C_n \to C_n$  such that

(2.9) 
$$\operatorname{id}_{C_n} = d_n \circ h_n + h_n \circ d_n$$

Setting

$$p_n := \begin{pmatrix} p_{n-1} & -p_{n-1} \circ e_n \circ h_n \end{pmatrix} : C_{\leq n} = C_{< n} \oplus C_n \to C_1,$$
$$h_{\leq n} := \begin{pmatrix} h_{< n} & -h_{< n} \circ e_n \circ h_n \\ 0 & h_n \end{pmatrix} : C_{\leq n} = C_{< n} \oplus C_n \to C_{\leq n} = C_{< n} \oplus C_n$$

,

(2.6) is certainly satisfied. Using, beyond the fact that  $p_{n-1}$  is a morphism of complexes, (2.3) and (2.9), we obtain also

$$d_{1} \circ p_{n} = d_{1} \circ \left(p_{n-1} - p_{n-1} \circ e_{n} \circ h_{n}\right) = \left(d_{1} \circ p_{n-1} - d_{1} \circ p_{n-1} \circ e_{n} \circ h_{n}\right)$$
$$= \left(p_{n-1} \circ d_{< n} - p_{n-1} \circ d_{< n} \circ e_{n} \circ h_{n}\right) = \left(p_{n-1} \circ d_{< n} - p_{n-1} \circ e_{n} \circ d_{n} \circ h_{n}\right)$$
$$= \left(p_{n-1} \circ d_{< n} - p_{n-1} \circ e_{n} \circ (\operatorname{id}_{C_{n}} - h_{n} \circ d_{n})\right) = \left(p_{n-1} - p_{n-1} \circ e_{n} \circ h_{n}\right) \circ \left(\begin{array}{c}d_{< n} & e_{n}\\0 & d_{n}\end{array}\right) = p_{n} \circ d_{\leq n},$$

which shows that  $p_n$  is a morphism of complexes. Taking into account that  $p_n \circ i_n = p_{n-1} \circ i_{n-1}$ , (2.4) follows directly from (2.7). Finally, by (2.8), (2.9) and (2.3),

which proves (2.5).

Remark 2.3. The assumption that the sequence splits in Lemma 2.2 is essential. To see this, just consider the case in which C is given by a non-split short exact sequence  $0 \to M \xrightarrow{i} N \to P \to 0$ and  $F^1C$  is the subcomplex  $0 \to M \xrightarrow{\sim} i(M) \to 0$ , while  $F^nC = C$  for n > 1. On the other hand, one can very easily prove that the inclusion  $F^1C \hookrightarrow C$  is a quasi-isomorphism of complexes, even without that assumption.

2.2. The relevant morphism. In this section, we fix two non-unital  $A_{\infty}$  categories A and B, and we denote by **C** the non-unital dg cocategory  $\overline{B_{\infty}(\mathbf{A})^+ \otimes B_{\infty}(\mathbf{B})^+}$ . We will also consider the dg quiver  $\mathbf{A}^+ \otimes \mathbf{B}^+$ .

Our aim here is to construct a suitable morphism of complexes

$$\overline{\mathbf{A}^+ \otimes \mathbf{B}^+} \big( (A, B), (A', B') \big) \to \Omega(\mathbf{C}) \big( (A, B), (A', B') \big)$$

where  $A, A' \in \mathbf{A}$  and  $B, B' \in \mathbf{B}$ . Its precise definition is in (2.16) below.

Moving in this direction, note that, given  $A, A' \in \mathbf{A}$  and  $B, B' \in \mathbf{B}$ , we have

(2.10) 
$$\overline{\mathbf{A}^+ \otimes \mathbf{B}^+} ((A, B), (A', B')) = \mathbf{A}(A, A') \otimes \mathbf{B}(B, B') \oplus \mathbf{A}(A, A')^{\delta_{B,B'}} \oplus \mathbf{B}(B, B')^{\delta_{A,A'}}$$

as a dg k-module. In order to explicitly describe also  $\Omega(\mathbf{C})((A, B), (A', B'))$ , we first introduce some notation.

For every  $i, j \in \mathbb{N}$ , we denote by  $C_{i,j}((A, B), (A', B'))$  the graded k-module

$$\bigoplus_{\substack{A=A_0,A_1,\ldots,A_{i-1},A_i=A'\in\mathbf{A}\\B=B_0,B_1,\ldots,B_{j-1},B_j=B'\in\mathbf{B}}} \mathbf{A}(A_{i-1},A_i)[1]\otimes\cdots\otimes\mathbf{A}(A_0,A_1)[1]\otimes\mathbf{B}(B_{j-1},B_j)[1]\otimes\cdots\otimes\mathbf{B}(B_0,B_1)[1],$$

which is meant to be 0 when i = 0 and  $A \neq A'$  or j = 0 and  $B \neq B'$  or i = j = 0. Given  $m_1, n_1, \ldots, m_l, n_l \in \mathbb{N}$ , we denote by  $C_{(m_1, \ldots, m_l), (n_1, \ldots, n_l)}((A, B), (A', B'))$  the graded k-module

$$\bigoplus_{\substack{A=A_0,A_1,\ldots,A_{l-1},A_l=A'\in\mathbf{A}\\B=B_0,B_1,\ldots,B_{l-1},B_l=B'\in\mathbf{B}}} C_{m_l,n_l} ((A_{l-1},B_{l-1}),(A_l,B_l)) [-1] \otimes \cdots \otimes C_{m_1,n_1} ((A_0,B_0),(A_1,B_1)) [-1]$$

(in particular,  $C_{(i),(j)}((A, B), (A', B')) = C_{i,j}((A, B), (A', B'))[-1])$ . For every  $m, n \ge 0$  we define moreover

$$L_{m,n}((A,B), (A',B')) := \bigoplus_{\substack{m_1 + \dots + m_l = m \\ n_1 + \dots + n_l = n}} C_{(m_1,\dots,m_l), (n_1,\dots,n_l)}((A,B), (A',B')).$$

Note that, in particular,  $L_{0,0}((A, B), (A', B')) = 0$  and

(2.11) 
$$L_{1,0}((A,B),(A',B')) = \mathbf{A}(A,A')^{\delta_{B,B'}}$$

(2.12) 
$$L_{0,1}((A,B),(A',B')) = \mathbf{B}(B,B')^{\delta_{A,A'}}$$

(2.13) 
$$L_{1,1}((A,B),(A',B')) = \mathbf{A}(A,A') \otimes \mathbf{B}(B,B') \oplus \mathbf{B}(B,B') \otimes \mathbf{A}(A,A') \\ \oplus (\mathbf{A}(A,A')[1] \otimes \mathbf{B}(B,B')[1])[-1]$$

Then the non-unital dg category  $\Omega(\mathbf{C})$  has the same objects as  $\overline{\mathbf{A}^+ \otimes \mathbf{B}^+}$ , and

$$\Omega(\mathbf{C})\big((A,B),(A',B')\big) = \bigoplus_{m,n\geq 0} L_{m,n}\big((A,B),(A',B')\big)$$

as a graded k-module for every  $A, A' \in \mathbf{A}$  and  $B, B' \in \mathbf{B}$ . While the composition in  $\Omega(\mathbf{C})$ is the natural one given by the tensor product of the cobar construction, the differential on  $\Omega(\mathbf{C})((A, B), (A', B'))$  extends (in such a way that the graded Leibnitz rule holds)  $\mu + \Delta$ , where  $\mu$ and  $\Delta$  are determined, respectively, by the differential and the comultiplication on the dg cocategory  $\mathbf{C}$ . More precisely, given

$$c = (f_m[1] \otimes \cdots \otimes f_1[1] \otimes g_n[1] \otimes \cdots \otimes g_1[1])[-1] \in C_{(m),(n)}((A,B),(A',B'))$$

with the  $f_i$  and the  $g_j$  homogeneous, we have

$$\Delta(c) = \sum_{(i,j)\in I_{m,n}} (-1)^{\deg(c_{\leq i,\emptyset})\deg'(c_{\emptyset,>j}) + \deg(c_{>i,\emptyset})} c_{>i,>j} \otimes c_{\leq i,\leq j},$$

where  $I_{m,n} := \{0, \ldots, m\} \times \{0, \ldots, n\} \setminus \{(0,0), (m,n)\}$ . Here > i and  $\leq i$  (respectively > j and  $\leq j$ ) denote the (descending) intervals [m,i) = [m.i+1] and [i,1] (respectively [n,j) and [j,1]), and in general

$$c_{[i',i),[j',j)} := (f_{i'}[1] \otimes \cdots \otimes f_{i+1}[1] \otimes g_{j'}[1] \otimes \cdots \otimes g_{j+1}[1])[-1]$$

for  $1 \le i \le i' \le m$  and  $1 \le j \le j' \le n$ . Obviously the empty interval is denoted also by  $\emptyset$ , while the full interval [m, 1] or [n, 1] will be denoted by \*. Clearly

$$\Delta\Big(L_{m,n}\big((A,B),(A',B')\big)\Big)\subseteq L_{m,n}\big((A,B),(A',B')\big)$$

for every  $m, n \ge 0$ . On the other hand, the component  $\mu^1$  of  $\mu$  induced from  $\mathbf{m}_{\mathbf{A}}^1$  and  $\mathbf{m}_{\mathbf{B}}^1$  is given on c as above by

$$\mu^{1}(c) = \sum_{i=1}^{m} (-1)^{\deg'(c_{>i,\emptyset})} \mu^{1}_{i,0}(c) + \sum_{j=1}^{n} (-1)^{\deg'(c_{*,>j})} \mu^{1}_{0,j}(c),$$

where

$$\mu_{i,0}^{1}(c) := (f_{m}[1] \otimes \cdots \otimes f_{i+1}[1] \otimes \mathbf{m}_{\mathbf{A}}^{1}(f_{i})[1] \otimes f_{i-1}[1] \otimes \cdots \otimes f_{1}[1] \otimes g_{n}[1] \otimes \cdots \otimes g_{1}[1])[-1],$$
  
$$\mu_{0,j}^{1}(c) := (f_{m}[1] \otimes \cdots \otimes f_{1}[1] \otimes g_{n}[1] \otimes \cdots \otimes g_{j+1}[1] \otimes \mathbf{m}_{\mathbf{B}}^{1}(g_{j})[1] \otimes g_{j-1}[1] \otimes \cdots \otimes g_{1}[1])[-1].$$

Hence also in this case

$$\mu^{1}\Big(L_{m,n}\big((A,B),(A',B')\big)\Big) \subseteq L_{m,n}\big((A,B),(A',B')\big)$$

for every  $m, n \ge 0$ . As for the other components  $\mu^i$  of  $\mu$  induced from  $\mathbf{m}_{\mathbf{A}}^i$  and  $\mathbf{m}_{\mathbf{B}}^i$  with i > 1, for our purposes it is enough to observe that

$$\mu^{i}\Big(L_{m,n}\big((A,B),(A',B')\big)\Big) \subseteq \bigoplus_{0 < m' < m} L_{m',n}\big((A,B),(A',B')\big) \bigoplus_{0 < n' < n} L_{m,n'}\big((A,B),(A',B')\big)$$

for every  $m, n \ge 0$ . This implies that  $\mu^1 + \Delta$  is a differential (often denoted simply by d) on each  $L_{m,n}((A, B), (A', B'))$ , which will be regarded as a complex in this way. Moreover,

$$L_{*,0}((A,B), (A',B')) := \bigoplus_{m \ge 0} L_{m,0}((A,B), (A',B'))$$
$$L_{0,*}((A,B), (A',B')) := \bigoplus_{n \ge 0} L_{0,n}((A,B), (A',B'))$$
$$L_{>0}((A,B), (A',B')) := \bigoplus_{m,n>0} L_{m,n}((A,B), (A',B'))$$

are subcomplexes of  $\Omega(\mathbf{C})((A, B), (A', B'))$ , and obviously there is a decomposition

$$\Omega(\mathbf{C})\big((A,B),(A',B')\big) = L_{*,0}\big((A,B),(A',B')\big) \oplus L_{0,*}\big((A,B),(A',B')\big) \oplus L_{>0}\big((A,B),(A',B')\big).$$

Now, for every  $A, A' \in \mathbf{A}$  and  $B, B' \in \mathbf{B}$ , we will consider the maps (see (2.13))

(2.14) 
$$\mathbf{A}(A,A') \otimes \mathbf{B}(B,B') \to L_{1,1}((A,B),(A',B'))$$
$$f \otimes g \mapsto (0,(-1)^{\deg(f)\deg(g)}g \otimes f,0)$$

and

(2.15) 
$$L_{1,1}((A,B),(A',B')) \to \mathbf{A}(A,A') \otimes \mathbf{B}(B,B')$$
$$(f \otimes g, g' \otimes f', (f''[1] \otimes g''[1])[-1]) \mapsto f \otimes g + (-1)^{\deg(f')\deg(g')} f' \otimes g'$$

It is easy to check that (2.14) and (2.15) are morphisms of complexes. Remembering (2.10), it is then clear that (2.11), (2.12) and (2.14) define the morphism of complexes

$$(2.16) \quad \overline{\mathbf{A}^+ \otimes \mathbf{B}^+} \big( (A, B), (A', B') \big) \to \Omega(\mathbf{C}) \big( (A, B), (A', B') \big) = \bigoplus_{m,n \ge 0} L_{m,n} \big( (A, B), (A', B') \big)$$

we are interested in.

2.3. A general result. In order to prove the properties of (2.16) we first need the following key result, whose proof is rather technical.

**Lemma 2.4.** Let  $A, A' \in \mathbf{A}$  and  $B, B' \in \mathbf{B}$ .

- (1) The maps (2.14) and (2.15) are mutually inverse homotopy equivalences of complexes.
- (2) Given  $m, n \in \mathbb{N}$  with m > 1 or n > 1, the complex  $L_{m,n}((A, B), (A', B'))$  is null-homotopic.

*Proof.* We start by observing that the composition of (2.15) with (2.14) is  $id_{\mathbf{A}(A,A')\otimes \mathbf{B}(B,B')}$ , while the composition of (2.14) with (2.15) is the map

$$\xi \colon L_{1,1}\big((A,B),(A',B')\big) \to L_{1,1}\big((A,B),(A',B')\big)$$
$$\big(f \otimes g, g' \otimes f', (f''[1] \otimes g''[1])[-1]\big) \mapsto \big(0,(-1)^{\deg(f)\deg(g)}g \otimes f + g' \otimes f',0\big)$$

We define also  $\xi \colon L_{m,n}((A,B),(A',B')) \to L_{m,n}((A,B),(A',B'))$  to be 0 for m > 1, and to be the identity for m = 1 and n = 0.

Therefore, in order to prove both (1) and (2) (where, by symmetry, we can assume m > 1), we just need to find, when m > 1 or m = n = 1, a k-linear map

$$r: L_{m,n}((A,B), (A',B')) \to L_{m,n}((A,B), (A',B'))$$

of degree -1 such that

$$d \circ r + r \circ d = \mathrm{id} - \xi.$$

More generally, we define r for m > 0 as follows. By linearity an element of  $L_{m,n}((A, B), (A', B'))$  can be assumed to be of the form

$$c = c^{l} \otimes \cdots \otimes c^{1} \in C_{(m_1,\dots,m_l),(n_1,\dots,n_l)}((A,B),(A',B')),$$

where  $m_1 + \cdots + m_l = m$ ,  $n_1 + \cdots + n_l = n$  and  $c^k \in C_{(m_k),(n_k)}((A_{k-1}, B_{k-1}), (A_k, B_k))$  homogeneous (for  $k = 1, \ldots, l$ ), with  $A_0 = A$ ,  $A_l = A'$ ,  $B_0 = B$  and  $B_l = B'$ . Given  $1 \le i \le j \le l$ , we will often use the shorthand  $c^{[j,i]} := c^j \otimes \cdots \otimes c^i$ , as well as its variants  $c^{[j,i)}$ ,  $c^{(j,i]}$  and  $c^{(j,i)}$  (with obvious meanings). Setting

$$t := \max\{k \in \{1, \dots, l\} \mid m_k > 0\}$$
  

$$s' := \min\{k \in \{1, \dots, t\} \mid m_i = 0 \text{ for } k < i < t\}$$
  

$$s := \begin{cases} s' & \text{if } (m_t, n_t) = (1, 0) \\ t & \text{otherwise} \end{cases}$$

(note that they are well defined because m > 0), we define recursively

$$r(c) := \sum_{k=s}^{t-1} (-1)^{\deg(c^{[l,t)}) + \deg'(c^t) \deg(c^{(t,k)})} c^{[l,t)} \otimes c^{(t,k)} \otimes r(c^t \otimes c^k) \otimes c^{(k,1)}$$

(so r(c) = 0 if s = t, in particular if  $(m_t, n_t) \neq (1, 0)$ ). If  $(m_t, n_t) = (1, 0)$ ,  $c^t = f \in \mathbf{A}(A_{t-1}, A_t)$ and  $c^k = (f_{m_k}[1] \otimes \cdots \otimes f_1[1] \otimes g_{n_k}[1] \otimes \cdots \otimes g_1[1])[-1]$  (with  $s \leq k < t$ ), then

$$r(c^t \otimes c^k) := (-1)^{\deg(f)}(f[1] \otimes f_{m_k}[1] \otimes \cdots \otimes f_1[1] \otimes g_{n_k}[1] \otimes \cdots \otimes g_1[1])[-1].$$

For the rest of the proof we assume m > 1 or m = n = 1. First we note that  $\xi(c) = c^{[l,t)} \otimes \xi(c^{[t,1]})$ . Indeed, we can assume t < l, and then  $\xi(c) = \xi(c^{[t,1]}) = 0$  if m > 1, whereas  $\xi(c) = c$  and  $\xi(c^{[t,1]}) = c^{[t,1]}$  if m = n = 1 (in which case l = 2,  $(m_2, n_2) = (0, 1)$  and  $(m_1, n_1) = (1, 0)$ ). Since moreover

$$\begin{aligned} (d \circ r + r \circ d)(c) &= d\big((-1)^{\deg(c^{[l,t)})} c^{[l,t)} \otimes r(c^{[t,1]})\big) + r\big(d(c^{[l,t)}) \otimes c^{[t,1]} + (-1)^{\deg(c^{[l,t)})} c^{[l,t)} \otimes d(c^{[t,1]})\big) \\ &= (-1)^{\deg(c^{[l,t)})} d(c^{[l,t)}) \otimes r(c^{[t,1]}) + c^{[l,t)} \otimes d\big(r(c^{[t,1]})\big) + (-1)^{\deg'(c^{[l,t)})} d(c^{[l,t)}) \otimes r(c^{[t,1]}) + c^{[l,t)} \otimes r\big(d(c^{[t,1]})\big) \\ &= c^{[l,t)} \otimes (d \circ r + r \circ d)(c^{[t,1]}), \end{aligned}$$

it is enough to prove that

(2.17) 
$$(d \circ r + r \circ d)(c^{[t,1]}) = c^{[t,1]} - \xi(c^{[t,1]}).$$

We have

$$\begin{split} d\big(r(c^{[t,1]})\big) &= d\big(\sum_{k=s}^{t-1} (-1)^{\deg'(c^t) \deg(c^{(t,k)})} c^{(t,k)} \otimes r(c^t \otimes c^k) \otimes c^{(k,1]}\big) \\ &= \sum_{k=s}^{t-1} (-1)^{\deg'(c^t) \deg(c^{(t,k)}) + \deg(c^{(t,k)})} c^{(t,k)} \otimes d\big(r(c^t \otimes c^k)\big) \otimes c^{(k,1]} \\ &+ \sum_{k=s}^{t-1} \sum_{i=1}^{k-1} (-1)^{\deg'(c^t) \deg(c^{(t,k)}) + \deg'(c^{[t,i)})} c^{(t,k)} \otimes r(c^t \otimes c^k) \otimes c^{(k,i)} \otimes d(c^i) \otimes c^{(i,1]} \\ &+ \sum_{k=s}^{t-1} \sum_{i=k+1}^{t-1} (-1)^{\deg'(c^t) \deg(c^{(t,k)}) + \deg(c^{(t,i)})} c^{(t,i)} \otimes d(c^i) \otimes c^{(i,k)} \otimes r(c^t \otimes c^k) \otimes c^{(k,1]} \end{split}$$

and

$$\begin{split} r(d(c^{[t,1]})) &= r(\sum_{i=1}^{t} (-1)^{\deg(c^{[t,i)})} c^{[t,i)} \otimes d(c^{i}) \otimes c^{(i,1]}) \\ &= r(d(c^{t}) \otimes c^{(t,1]}) + \sum_{i=s}^{t-1} (-1)^{\deg(c^{[t,i)}) + \deg'(c^{t}) \deg(c^{(t,i)})} c^{(t,i)} \otimes r(c^{t} \otimes d(c^{i})) \otimes c^{(i,1]} \\ &+ \sum_{i=1}^{t-1} \sum_{k=\max\{i+1,s\}}^{t-1} (-1)^{\deg(c^{[t,i)}) + \deg'(c^{t}) \deg(c^{(t,k)})} c^{(t,k)} \otimes r(c^{t} \otimes c^{k}) \otimes c^{(k,i)} \otimes d(c^{i}) \otimes c^{(i,1]} \\ &+ \sum_{i=1}^{t-1} \sum_{k=s}^{i-1} (-1)^{\deg(c^{[t,i)}) + \deg'(c^{t}) \deg'(c^{(t,k)})} c^{(t,i)} \otimes d(c^{i}) \otimes c^{(i,k)} \otimes r(c^{t} \otimes c^{k}) \otimes c^{(k,1]}, \end{split}$$

whence

$$(2.18) \quad (d \circ r + r \circ d)(c^{[t,1]}) = r(d(c^t) \otimes c^{(t,1]}) + \sum_{k=s}^{t-1} (-1)^{\deg(c^t) \deg(c^{(t,k)})} c^{(t,k)} \otimes (d(r(c^t \otimes c^k)) + (-1)^{\deg(c^t)} r(c^t \otimes d(c^k))) \otimes c^{(k,1]}.$$

First we assume  $(m_t, n_t) \neq (1, 0)$ , in which case the right-hand-side of (2.18) is just  $r(\Delta(c^t) \otimes c^{(t,1]})$ . If  $m_t > 1$  then

$$(d \circ r + r \circ d)(c^{[t,1]}) = r((-1)^{\deg(c^{t}_{m_{t},\emptyset})}c^{t}_{m_{t},\emptyset} \otimes c^{t}_{< m_{t},*} \otimes c^{(t,1]})$$
  
=  $(-1)^{\deg(c^{t}_{m_{t},\emptyset})}r(c^{t}_{m_{t},\emptyset} \otimes c^{t}_{< m_{t},*}) \otimes c^{(t,1]} = c^{t} \otimes c^{(t,1]} = c^{[t,1]},$ 

hence (2.17) holds in this case. If  $m_t = 1$  and  $n_t > 0$  then

$$\begin{aligned} (d \circ r + r \circ d)(c^{[t,1]}) &= r \Big( \big( (-1)^{\deg(c^{t}_{1,\emptyset})} c^{t}_{1,\emptyset} \otimes c^{t}_{\emptyset,*} - (-1)^{\deg(c^{t}_{1,\emptyset})} deg'(c^{t}_{\emptyset,*}) c^{t}_{\emptyset,*} \otimes c^{t}_{1,\emptyset} \big) \otimes c^{(t,1]} \\ &= (-1)^{\deg(c^{t}_{1,\emptyset})} r(c^{t}_{1,\emptyset} \otimes c^{t}_{\emptyset,*}) \otimes c^{(t,1]} \\ &+ (-1)^{\deg(c^{t}_{1,\emptyset})} \sum_{k=s'}^{t-1} (-1)^{\deg'(c^{t}_{1,\emptyset})} \big( \deg(c^{t}_{\emptyset,*}) + \deg(c^{(t,k)}) \big) c^{t}_{\emptyset,*} \otimes c^{(t,k)} \otimes r(c^{t}_{1,\emptyset} \otimes c^{k}) \otimes c^{(k,1]} \\ &- (-1)^{\deg(c^{t}_{1,\emptyset}) \deg'(c^{t}_{\emptyset,*})} \sum_{k=s'}^{t-1} (-1)^{\deg(c^{t}_{\emptyset,*}) + \deg'(c^{t}_{1,\emptyset}) \deg(c^{(t,k)})} c^{t}_{\emptyset,*} \otimes c^{(t,k)} \otimes r(c^{t}_{1,\emptyset} \otimes c^{k}) \otimes c^{(k,1]} = c^{[t,1]}, \end{aligned}$$

thus proving (2.17) also in this case.

Finally we assume  $(m_t, n_t) = (1, 0)$ . Then we have

$$r(d(c^{t}) \otimes c^{(t,1]}) = \sum_{k=s}^{t-1} (-1)^{\deg(c^{t})\deg(c^{(t,k)})} c^{(t,k)} \otimes r(\mathbf{m}_{\mathbf{A}}^{1}(c^{t}) \otimes c^{k}) \otimes c^{(k,1]},$$

and so from (2.18) we obtain

$$(2.19) \quad (d \circ r + r \circ d)(c^{[t,1]}) = \sum_{k=s}^{t-1} (-1)^{\deg(c^t) \deg(c^{(t,k)})} c^{(t,k)} \otimes \left( r\left(\mathbf{m}_{\mathbf{A}}^1(c^t) \otimes c^k\right) + d\left(r(c^t \otimes c^k)\right) + (-1)^{\deg(c^t)} r\left(c^t \otimes d(c^k)\right) \right) \otimes c^{(k,1]}.$$

Since

$$\mu^{1}(r(c^{t} \otimes c^{k})) = \sum_{i=1}^{m_{k}+1} (-1)^{\deg'(r(c^{t} \otimes c^{k})_{>i,\emptyset})} \mu^{1}_{i,0}(r(c^{t} \otimes c^{k})) + \sum_{j=1}^{n_{k}} (-1)^{\deg'(r(c^{t} \otimes c^{k})_{*,>j})} \mu^{1}_{0,j}(r(c^{t} \otimes c^{k}))$$

$$= \sum_{i=1}^{m_{k}} (-1)^{\deg(c^{t}) + \deg(c^{k}_{>i,\emptyset})} r(c^{t} \otimes \mu^{1}_{i,0}(c^{k})) - r(\mathbf{m}^{1}_{\mathbf{A}}(c^{t}) \otimes c^{k}) + \sum_{j=1}^{n_{k}} (-1)^{\deg(c^{t}) + \deg(c^{k}_{*,>j})} r(c^{t} \otimes \mu^{1}_{0,j}(c^{k}))$$

$$= -r(\mathbf{m}^{1}_{\mathbf{A}}(c^{t}) \otimes c^{k}) - (-1)^{\deg(c^{t})} r(c^{t} \otimes (\sum_{i=1}^{m_{k}} (-1)^{\deg'(c^{k}_{>i,\emptyset})} \mu^{1}_{i,0}(c^{k}) + \sum_{j=1}^{n_{k}} (-1)^{\deg'(c^{k}_{*,>j})} \mu^{1}_{0,j}(c^{k})) )$$

$$= -r(\mathbf{m}^{1}_{\mathbf{A}}(c^{t}) \otimes c^{k}) - (-1)^{\deg(c^{t})} r(c^{t} \otimes (\sum_{i=1}^{m_{k}} (-1)^{\deg'(c^{k}_{>i,\emptyset})} \mu^{1}_{i,0}(c^{k}) + \sum_{j=1}^{n_{k}} (-1)^{\deg'(c^{k}_{*,>j})} \mu^{1}_{0,j}(c^{k})) )$$

and

$$\begin{split} \Delta \big( r(c^{t} \otimes c^{k}) \big) &= \sum_{(i,j) \in I_{m_{k}+1,n_{k}}} (-1)^{\deg \big( r(c^{t} \otimes c^{k})_{\leq i,\emptyset} \big) \deg' \big( r(c^{t} \otimes c^{k})_{\emptyset,>j} \big) + \deg \big( r(c^{t} \otimes c^{k})_{>i,\emptyset} \big) r(c^{t} \otimes c^{k})_{>i,>j} \otimes r(c^{t} \otimes c^{k})_{\leq i,\leq j} \big)} \\ &= \sum_{(i,j) \in I_{m_{k},n_{k}}} (-1)^{\deg (c^{k}_{\leq i,\emptyset}) \deg' (c^{k}_{\emptyset,>j}) + \deg (c^{k}_{>i,\emptyset}) + \deg' (c^{t})} r(c^{t} \otimes c^{k}_{>i,>j}) \otimes c^{k}_{\leq i,\leq j} + c^{t} \otimes c^{k} \\ &- (-1)^{\deg (c^{t}) \deg (c^{k})} (c^{k} \otimes c^{t})^{\delta_{m_{k},0}} + \sum_{j=\delta_{m_{k},0}}^{n_{k}-1} (-1)^{\left( \deg (c^{k}_{*,\emptyset}) + \deg' (c^{t}) \right) \deg' (c^{k}_{\emptyset,>j}) + 1} c^{k}_{\emptyset,>j} \otimes r(c^{t} \otimes c^{k}_{*,\leq j}) \\ &= c^{t} \otimes c^{k} - (-1)^{\deg (c^{t}) \deg (c^{k})} (c^{k} \otimes c^{t})^{\delta_{m_{k},0}} \\ &- (-1)^{\deg (c^{t})} r(c^{t} \otimes \sum_{(i,j) \in I_{m_{k},n_{k}}} (-1)^{\deg (c^{k}_{\leq i,\emptyset}) \deg' (c^{k}_{\emptyset,>j}) + \deg (c^{k}_{>i,\emptyset})} c^{k}_{>i,>j} \otimes c^{k}_{\leq i,\leq j}) \\ &= c^{t} \otimes c^{k} - (-1)^{\deg (c^{t}) \deg (c^{k})} (c^{k} \otimes c^{t})^{\delta_{m_{k},0}} - (-1)^{\deg (c^{t})} r(c^{t} \otimes \Delta (c^{k})), \end{split}$$

we see that

$$r\left(\mathbf{m}_{\mathbf{A}}^{1}(c^{t})\otimes c^{k}\right)+d\left(r(c^{t}\otimes c^{k})\right)+(-1)^{\deg(c^{t})}r\left(c^{t}\otimes d(c^{k})\right)=c^{t}\otimes c^{k}-(-1)^{\deg(c^{t})\deg(c^{k})}(c^{k}\otimes c^{t})^{\delta_{m_{k},0}}.$$

Substituting the last equality in (2.19) and remembering that  $m_k = 0$  for s < k < t, while  $m_s = 0$  if and only if m = 1 (in which case s = 1), we get

$$(d \circ r + r \circ d)(c^{[t,1]}) = \begin{cases} c^{[t,1]} & \text{if } m > 1\\ c^{[t,1]} - (-1)^{\deg(c^t) \deg(c^{(t,1]})} c^{(t,1]} \otimes c^t & \text{if } m = 1, \end{cases}$$

from which we conclude that (2.17) is satisfied also in this case.

We can finally prove the main result of this section. Note that, when **B** is the 0 dg algebra, (2.16) boils down to (2.2). Hence the first part of the following result shows, in particular, that (2.2) is a homotopy equivalence, as wanted.

**Proposition 2.5.** For every  $A, A' \in \mathbf{A}$  and  $B, B' \in \mathbf{B}$  the map (2.16) is a homotopy equivalence. Moreover, a morphism of complexes

$$\Omega(\mathbf{C})\big((A,B),(A',B')\big)\to \overline{\mathbf{A}^+\otimes\mathbf{B}^+}\big((A,B),(A',B')\big)$$

is a homotopy equivalence if and only if its restriction to  $\bigoplus_{0 \le m,n \le 1} L_{m,n}((A,B),(A',B'))$  is a homotopy equivalence.

*Proof.* By construction (2.16) is the composition of a map

(2.20) 
$$\overline{\mathbf{A}^+ \otimes \mathbf{B}^+} \big( (A, B), (A', B') \big) \to \bigoplus_{0 \le m, n \le 1} L_{m,n} \big( (A, B), (A', B') \big)$$

with the inclusion

(2.21) 
$$\bigoplus_{0 \le m, n \le 1} L_{m,n}((A,B), (A',B')) \hookrightarrow \Omega(\mathbf{C})((A,B), (A',B')).$$

Now, part (1) of Lemma 2.4 immediately implies that (2.20) is a homotopy equivalence. Therefore it is enough to prove that (2.21) is a homotopy equivalence, as well. Clearly this is true if (and only if) each of the three inclusions

$$L_{1,0}((A,B), (A',B')) \hookrightarrow L_{*,0}((A,B), (A',B'))$$
$$L_{0,1}((A,B), (A',B')) \hookrightarrow L_{0,*}((A,B), (A',B'))$$
$$L_{1,1}((A,B), (A',B')) \hookrightarrow L_{>0}((A,B), (A',B'))$$

is a homotopy equivalence. To this aim we apply Lemma 2.2 to the complexes on the right-handsides of the above inclusions, endowed with the filtrations

$$F^{n}L_{*,0}((A,B),(A',B')) := \bigoplus_{0 \le m \le n} L_{m,0}((A,B),(A',B'))$$
$$F^{n}L_{0,*}((A,B),(A',B')) := \bigoplus_{0 \le m \le n} L_{0,m}((A,B),(A',B'))$$
$$F^{n}L_{>0}((A,B),(A',B')) := \bigoplus_{m,m'>0,m+m' \le n+1} L_{m,m'}((A,B),(A',B'))$$

Note that the assumptions of Lemma 2.2 are satisfied because

$$gr^{n}L_{*,0}((A,B),(A',B')) = L_{n,0}((A,B),(A',B'))$$
$$gr^{n}L_{0,*}((A,B),(A',B')) = L_{0,n}((A,B),(A',B'))$$
$$gr^{n}L_{>0}((A,B),(A',B')) = \bigoplus_{0 < m \le n} L_{m,n+1-m}((A,B),(A',B'))$$

are null-homotopic for n > 1 by part (2) of Lemma 2.4.

Let us now single out the following direct consequence.

**Corollary 2.6.** Assume that  $\mathbf{A}$  and  $\mathbf{B}$  are non-unital dg categories. Then there is a natural non-unital dg functor  $\tilde{N}: \Omega(\mathbf{C}) \to \overline{\mathbf{A}^+ \otimes \mathbf{B}^+}$ , which is a homotopy isomorphism.

*Proof.* The definition of  $\mathbb{N}$  can be found in [5, Section 3.1], where it is also proved that it is a quasiisomorphism (hence a homotopy isomorphism) when  $\mathbb{k}$  is a field. Over an arbitrary commutative ring we can apply the second part of Proposition 2.5. Indeed, it can be readily checked that, for every  $A, A' \in \mathbf{A}$  and  $B, B' \in \mathbf{B}$ , the restriction of

$$\widetilde{\mathsf{N}}: \Omega(\mathbf{C})((A,B), (A',B')) \to \overline{\mathbf{A}^+ \otimes \mathbf{B}^+}((A,B), (A',B'))$$

to  $\bigoplus_{0 \le m,n \le 1} L_{m,n}((A, B), (A', B'))$  is given by the natural maps (2.11), (2.12) and (2.15). Such restriction is then a homotopy equivalence by part (1) of Lemma 2.4.

## 3. The strictly unital case

In this section we prove the equivalence between  $\text{Ho}(\mathbf{dgCat})$  and  $\text{Ho}(\mathbf{A}_{\infty}\mathbf{Cat})$ . We deal with this in Theorem 3.6, after proving the existence of a natural adjunction in Proposition 3.1. In Section 3.2 we finally prove Theorem B.

3.1. The adjunction. In analogy with Proposition 2.1, we have the following result in the strictly unital setting. Its proof is the content of this subsection and at the end of it we will deduce Theorem 3.6.

## Proposition 3.1. There is an adjunction

 $U: \mathbf{A}_{\infty} \mathbf{Cat} \rightleftarrows \mathbf{dgCat} : I,$ 

where I is the inclusion functor. Moreover, the unit  $\rho: id_{\mathbf{A}_{\infty}\mathbf{Cat}} \to I \circ U$  and the counit  $\sigma: U \circ I \to id_{\mathbf{dgCat}}$  are such that  $\rho_{\mathbf{A}}$  (for every  $\mathbf{A} \in \mathbf{A}_{\infty}\mathbf{Cat}$ ) and  $\sigma_{\mathbf{B}}$  (for every  $\mathbf{B} \in \mathbf{dgCat}$ ) are quasiisomorphisms. Finally,  $\rho_{\mathbf{A}}$  is even a homotopy isomorphism if  $\mathbf{A}$  satisfies the following condition:

(3.1)  $\mathbb{k} \cong \mathbb{k} \mathrm{id}_A$  and the inclusion  $\mathbb{k} \mathrm{id}_A \hookrightarrow \mathbf{A}(A, A)^0$  of  $\mathbb{k}$ -modules splits, for every  $A \in \mathbf{A}$ .

This result is proved in [5, Proposition 2.1] assuming that  $\Bbbk$  is a field. Actually the first part of the proof works without changes over an arbitrary commutative ring. Only the argument showing that  $\rho_{\mathbf{A}} \colon \mathbf{A} \to \mathsf{U}(\mathbf{A})$  is a quasi-isomorphism for every  $\mathbf{A} \in \mathbf{A}_{\infty}\mathbf{Cat}$  (respectively, a homotopy isomorphism when  $\mathbf{A}$  satisfies (3.1)) needs to be modified. We now give a different proof, valid over every commutative ring.

To this aim, we fix a strictly unital  $A_{\infty}$  category **A**. As it is explained at the beginning of the proof of [5, Proposition 2.1],  $\rho_{\mathbf{A}} = \pi_{\mathbf{A}} \circ \gamma_{\mathbf{A}}$ , where the non-unital  $A_{\infty}$  functor  $\gamma_{\mathbf{A}} \colon \mathbf{A} \to \mathsf{U}^{\mathsf{n}}(\mathbf{A})$  is a homotopy isomorphism by Proposition 2.1, and the non-unital dg functor  $\pi_{\mathbf{A}}$  is defined to be the composition

$$\pi_{\mathbf{A}} \colon \mathsf{U}^{\mathsf{n}}(\mathbf{A}) \hookrightarrow \mathsf{U}^{\mathsf{n}}(\mathbf{A})^{+} \twoheadrightarrow \mathsf{U}^{\mathsf{n}}(\mathbf{A})^{+}/J = \mathsf{U}(\mathbf{A})_{2}$$

with  $J = J_{\mathbf{A}}$  the smallest dg ideal of  $U^{\mathsf{n}}(\mathbf{A})^+$  such that  $\rho_{\mathbf{A}}$  is a strictly unital  $A_{\infty}$  functor.

First we recall from Section 2.2 (whose notation we adapt and simplify in an obvious way to the setting where **B** is the 0 dg algebra) that, for every  $A, A' \in \mathbf{A}$ ,

$$\mathsf{U}^{\mathsf{n}}(\mathbf{A})(A,A') = \bigoplus_{n \ge 0} L_n(A,A')$$

as a graded k-module, where

$$L_n(A, A') := \bigoplus_{n_1 + \dots + n_l = n} C_{(n_1, \dots, n_l)}(A, A'),$$

with

$$C_{(n_1,\dots,n_l)}(A,A') := \bigoplus_{A=A_0,A_1,\dots,A_{l-1},A_l=A'\in\mathbf{A}} C_{n_l}(A_{l-1}A_l)[-1] \otimes \dots \otimes C_{n_1}(A_0,A_1)[-1]$$

and, for every  $i \ge 0$ ,

$$C_i(A,A') := \bigoplus_{A=A_0,A_1,\dots,A_{i-1},A_i=A' \in \mathbf{A}} \mathbf{A}(A_{i-1},A_i)[1] \otimes \dots \otimes \mathbf{A}(A_0,A_1)[1].$$

The differential d on  $U^{n}(\mathbf{A})(A, A')$  extends  $\mu + \Delta$ , where  $\mu$  and  $\Delta$  are determined, respectively, by the differential and the comultiplication on the dg cocategory  $B_{\infty}(\mathbf{A})$ . Explicitly, given

(3.2) 
$$c = (f_n[1] \otimes \cdots \otimes f_1[1])[-1] \in C_{(n)}(A, A')$$

with the  $f_i$  homogeneous, we have

$$\Delta(c) = \sum_{i=1}^{n-1} (-1)^{\deg(c_{>i})} c_{>i} \otimes c_{\le i}.$$

The components  $\mu^k$  of  $\mu$  induced from  $\mathbf{m}^k = \mathbf{m}^k_{\mathbf{A}}$  are given by

(3.3) 
$$\mu^k(c) = \sum_{i=1}^{n+1-k} \pm \mu_i^k(c),$$

(with  $1 \le k \le n$ ), where

$$\mu_i^k(c) := \left(f_n[1] \otimes \cdots \otimes f_{i+k}[1] \otimes \mathbf{m}_{\mathbf{A}}^k(f_{i+k-1} \otimes \cdots \otimes f_i)[1] \otimes f_{i-1}[1] \otimes \cdots \otimes f_1[1]\right)[-1].$$

As for the signs in (3.3), we just need to know that they are  $(-1)^{\deg'(c_{>i})}$  for k=2.

Now we can give the following more explicit description of J.

**Lemma 3.2.** The dg ideal J coincides with the (a priori not necessarily dg) ideal J' of  $U^n(\mathbf{A})^+$  generated by all the elements of one of the following two forms:

- (1)  $1_A \mathrm{id}_A$ , where  $A \in \mathbf{A}$ ;
- (2) c as in (3.2) with n > 1 and such that  $f_j = id_{\tilde{A}}$  for some  $j \in \{1, \ldots, n\}$  and some  $\tilde{A} \in \mathbf{A}$ .

*Proof.* If c is as in (3.2), we have

$$\rho_{\mathbf{A}}^{n}(f_{n}\otimes\cdots\otimes f_{1})=\pi_{\mathbf{A}}(\gamma_{\mathbf{A}}^{n}(f_{n}\otimes\cdots\otimes f_{1}))=\pm\pi_{\mathbf{A}}(c).$$

Since  $\rho_{\mathbf{A}}$  is strictly unital, it follows that  $\pi_{\mathbf{A}}(c) = 0$  if c is a generator of J' of the form (2). On the other hand,  $\pi_{\mathbf{A}}(\mathrm{id}_A) = \mathrm{id}_A$  coincides with the image of  $1_A$  through the projection  $\mathsf{U}^n(\mathbf{A})^+ \to \mathsf{U}(\mathbf{A})$ , for every  $A \in \mathbf{A}$ . Therefore J contains also the generators of J' of the form (1), hence  $J' \subseteq J$ . To prove the other inclusion it is clearly enough to show that  $d(c) \in J'$  for every generator c of J'. As this is obviously true when c is of the form (1), we can assume that c is of the form (2). Then it is clear from the definition that  $\mu^k(c) \in J'$  if  $k \neq 2$ , and so it remains to prove that J' contains

$$\mu^{2}(c) + \Delta(c) = \sum_{i=1}^{n-1} (-1)^{\deg'(c_{>i})} \mu_{i}^{2}(c) + \sum_{i=1}^{n-1} (-1)^{\deg(c_{>i})} c_{>i} \otimes c_{\leq i} = \sum_{i=1}^{n-1} (-1)^{\deg'(c_{>i})} \left( \mu_{i}^{2}(c) - c_{>i} \otimes c_{\leq i} \right).$$

Now, it is immediate to see that (for 0 < i < n)  $\mu_i^2(c) \in J'$  if  $i \neq j, j-1$  and  $c_{>i} \otimes c_{\leq i} \in J'$  if 1 < i < n-1 or  $i = 1 \neq j$  or  $i = n-1 \neq j-1$ . Moreover, if j = 1 then

$$\mu_1^2(c) - c_{>1} \otimes c_{\leq 1} = c_{>1} \otimes (1_{\tilde{A}} - \operatorname{id}_{\tilde{A}}) \in J'.$$

Similarly, if j = n then

$$\mu_{n-1}^2(c) - c_{\geq n} \otimes c_{< n} = (1_{\tilde{A}} - \mathrm{id}_{\tilde{A}}) \otimes c_{< n} \in J'.$$

Finally, if 1 < j < n then

$$\mu_{j-1}^2(c) = \mu_j^2(c) = (f_n[1] \otimes \cdots \otimes f_{j+1}[1] \otimes f_{j-1}[1] \otimes \cdots \otimes \otimes f_1[1])[-1],$$

whence  $(-1)^{\deg'(c_{\geq j})}\mu_{j-1}^2(c) + (-1)^{\deg'(c_{\geq j})}\mu_j^2(c) = 0.$ 

From Lemma 3.2 we immediately deduce the following result.

**Corollary 3.3.** The non-unital dg functor  $\pi_{\mathbf{A}} : U^{\mathsf{n}}(\mathbf{A}) \to U(\mathbf{A})$  is full and its kernel  $I = I_{\mathbf{A}}$  is a dg ideal of  $U^{\mathsf{n}}(\mathbf{A})$  such that I(A, A') (for every  $A, A' \in \mathbf{A}$ ) is the k-subspace of  $U^{\mathsf{n}}(\mathbf{A})(A, A')$  generated by all the elements of one of the following two forms, where  $c_{n_l}^l \otimes \cdots \otimes c_{n_1}^1 \in C_{(n_1,\dots,n_l)}(A, A')$ :

- (1)  $c_{n_l}^l \otimes \cdots \otimes c_{n_1}^1 c_{n_l}^l \otimes \cdots \otimes c_{n_{i+1}}^{i+1} \otimes \operatorname{id}_{\tilde{A}} \otimes c_{n_i}^i \otimes \cdots \otimes c_{n_1}^1$  (for suitable  $\tilde{A} \in \mathbf{A}$ ), with  $n_1 + \cdots + n_l > 0$ and  $i \in \{0, \ldots, l\}$ ;
- (2)  $c_{n_l}^l \otimes \cdots \otimes c_{n_1}^1$ , with  $c_{n_i}^i$  of the form (2) in Lemma 3.2 for some  $i \in \{1, \ldots, l\}$ .

For every  $A, A' \in \mathbf{A}$  the filtration  $L_{\leq n}(A, A') := \bigoplus_{m \leq n} L_m(A, A')$  on  $U^n(\mathbf{A})(A, A')$  (where  $n \geq 0$ ) induces a filtration  $I_{\leq n}(A, A') := L_{\leq n}(A, A') \cap I(A, A')$  on I(A, A') and a filtration

$$F^{n}\mathsf{U}(\mathbf{A})(A,A') := \left(L_{\leq n}(A,A') + I(A,A')\right)/I(A,A') \cong L_{\leq n}(A,A')/I_{\leq n}(A,A')$$

on  $\mathsf{U}(\mathbf{A})(A, A') \cong \mathsf{U}^{\mathsf{n}}(\mathbf{A})(A, A')/I(A, A').$ 

Since  $\gamma_{\mathbf{A}}^1 : \mathbf{A}(A, A') \to L_{\leq 1}(A, A')$  is an isomorphism of complexes and  $I_{\leq 1}(A, A') = 0$ , we see that  $\rho_{\mathbf{A}}^1 : \mathbf{A}(A, A') \to F^1 \cup (\mathbf{A})(A, A')$  is an isomorphism, as well. Therefore we just need to show that the inclusion  $F^1 \cup (\mathbf{A})(A, A') \hookrightarrow \cup (\mathbf{A})(A, A')$  is a quasi-isomorphism, and even a homotopy equivalence if  $\mathbf{A}$  satisfies (3.1). By Lemma 2.2 and Remark 2.3 it is enough to prove that for every n > 1 the complex  $\operatorname{gr}^n \cup (\mathbf{A})(A, A')$  is null-homotopic, and also that the inclusion  $F^{n-1} \cup (\mathbf{A})(A, A') \hookrightarrow F^n \cup (\mathbf{A})(A, A')$  splits as a morphism of graded k-modules if  $\mathbf{A}$  satisfies (3.1).

Now, recall from Lemma 2.4 and its proof that, for n > 1, the complex  $L_n(A, A')$  (endowed with the differential d extending  $\mu^1 + \Delta$ ) is null-homotopic, and a map  $r: L_n(A, A') \to L_n(A, A')$ of degree -1 satisfying  $d \circ r + r \circ d = \text{id}$  can be defined (also for n = 1) as follows. By linearity an element of  $L_n(A, A')$  can be assumed to be of the form

(3.4) 
$$c = c^{l} \otimes \cdots \otimes c^{1} \in C_{(n_{1},\dots,n_{l})}(A,A'),$$

where  $n_1 + \cdots + n_l = n$  and  $c^k \in C_{(n_k)}(A_{k-1}, A_k)$  homogeneous (for  $k = 1, \ldots, l$ ), with  $A_0 = A$ and  $A_l = A'$ . Then

$$r(c) := \begin{cases} 0 & \text{if } n_l > 1 \text{ or } n = 1\\ r(c^l \otimes c^{l-1}) \otimes c^{l-2} \otimes \cdots \otimes c^1 & \text{if } n_l = 1 < n, \end{cases}$$

where, if  $n_l = 1 < n$ ,  $c^t = f \in \mathbf{A}(A_{l-1}, A_l)$  and  $c^{l-1} = (f_{n_{l-1}}[1] \otimes \cdots \otimes f_1[1])[-1]$ , then

$$r(c^{l} \otimes c^{l-1}) := (-1)^{\deg(f)}(f[1] \otimes f_{n_{l-1}}[1] \otimes \cdots \otimes f_{1}[1])[-1].$$

Since

$$\operatorname{gr}^{n} \mathsf{U}(\mathbf{A})(A, A') \cong \left( L_{\leq n}(A, A') + I(A, A') \right) / \left( L_{< n}(A, A') + I(A, A') \right) \cong L_{n}(A, A') / I_{n}(A, A'),$$

where

$$I_n(A, A') := L_n(A, A') \cap (L_{< n}(A, A') + I_{\le n}(A, A')),$$

from Lemma 3.4 we deduce that  $\operatorname{gr}^{n} U(\mathbf{A})(A, A')$  is null-homotopic for n > 1.

**Lemma 3.4.** The map  $r: L_n(A, A') \to L_n(A, A')$  preserves the subcomplex  $I_n(A, A')$  for every n > 1 and every  $A, A' \in \mathbf{A}$ .

Proof. As r preserves both  $L_n(A, A')$  and  $L_{<n}(A, A')$ , it is enough to prove that, if  $c \in I_{\le n}(A, A')$ , then  $r(c) \in L_{<n}(A, A') + I_{\le n}(A, A')$ . We can clearly assume that c is as in part (1) or (2) of Corollary 3.3. In the latter case it is obvious from the definition that r(c) is either 0 or a generator of the same form in  $I_{\le n}(A, A')$ . So we can assume c to be of the form (1) with  $n_1 + \cdots + n_l = n - 1$ , and it is enough to show that  $r(c') \in L_{<n}(A, A') + I_{\le n}(A, A')$ , where

$$c' := c_{n_l}^l \otimes \cdots \otimes c_{n_{i+1}}^{i+1} \otimes \operatorname{id}_{\tilde{A}} \otimes c_{n_i}^i \otimes \cdots \otimes c_{n_1}^1.$$

Now, if  $i \ge l-1$ , then r(c') is either 0 or a generator of the form (2) in  $I_{\le n}(A, A')$ . On the other hand, if i < l-1, then  $r(c') \in L_{< n}(A, A') + I_{\le n}(A, A')$  because  $r(c_{n_l}^l \otimes \cdots \otimes c_{n_1}^1) \in L_{< n}(A, A')$  and  $r(c_{n_l}^l \otimes \cdots \otimes c_{n_1}^1) - r(c')$  is either 0 or a generator of the form (1) in  $I_{\le n}(A, A')$ .

Finally, Lemma 3.5 easily implies that the inclusion  $F^{n-1}U(\mathbf{A})(A, A') \hookrightarrow F^n U(\mathbf{A})(A, A')$  splits as a morphism of graded k-modules if **A** satisfies (3.1) and n > 1.

**Lemma 3.5.** If **A** satisfies (3.1), then for every n > 1 and every  $A, A' \in \mathbf{A}$  there exists a morphism of graded  $\Bbbk$ -modules  $u: L_n(A, A') \to L_{\leq n}(A, A')$  such that the map

$$\tilde{u} := \begin{pmatrix} \mathrm{id} & u \end{pmatrix} \colon L_{< n}(A, A') \oplus L_n(A, A') = L_{\le n}(A, A') \to L_{< n}(A, A')$$

sends  $I_{\leq n}(A, A')$  to  $I_{< n}(A, A')$ .

*Proof.* By hypothesis for every  $\tilde{A} \in \mathbf{A}$  there exists a morphism of graded k-modules  $p: \mathbf{A}(\tilde{A}, \tilde{A}) \to \mathbb{k}$  such that  $p(\mathrm{id}_{\tilde{A}}) = 1$ . First, by linearity, every  $c \in L_n(A, A')$  can be assumed to be as in (3.4). Setting

$$S(c) := \{i = 1, \dots, l \mid n_i = 1 \text{ and } A_{i-1} = A_i\},\$$

we denote, for every subset S of S(c), by  $u_S(c)$  the expression obtained from c by deleting the terms  $c^i$  with  $i \in S$ . In case  $S = S(c) = \{1, \ldots, l\}$  (which implies A = A'), we mean  $u_S(c) = id_A$ . Now we can define

$$u(c) := \sum_{\emptyset \neq S \subseteq S(c)} (-1)^{|S|-1} \prod_{i \in S} p(c^i) u_S(c).$$

It is immediate from the definition that  $\tilde{u}$  sends a generator of the form (2) in Corollary 3.3 to a linear combination of generators of the same form. Hence, given c as above with the additional assumption that there exists  $j \in \{1, \ldots, l\}$  such that  $c^j = \mathrm{id}_{A_j}$  (in particular,  $j \in S(c)$ ), we just need to show that  $\tilde{u}(\tilde{c}) \in I(A, A')$ , where  $\tilde{c} := u_{\{j\}}(c) - c \in I_{\leq n}(A, A')$  is a generator of the form (1). Equivalently, we must prove that  $-\tilde{c} + \tilde{u}(\tilde{c}) \in I(A, A')$ . In fact we have

$$-\tilde{c} + \tilde{u}(\tilde{c}) = -u_{\{j\}}(c) + c + u_{\{j\}}(c) - u(c) = c - \sum_{\emptyset \neq S \subseteq S(c)} (-1)^{|S|-1} \prod_{i \in S} p(c^i) u_S(c)$$
$$= \sum_{S \subseteq S(c)} (-1)^{|S|} \prod_{i \in S} p(c^i) u_S(c) = \sum_{S \subseteq S(c) \setminus \{j\}} (-1)^{|S|} \prod_{i \in S} p(c^i) (u_{S \cup \{j\}}(c) - u_S(c)),$$

and each  $u_{S \cup \{j\}}(c) - u_S(c)$  is a generator of the form (1) (or 0 if  $S \cup \{j\} = S(c) = \{1, \ldots, l\}$ ).  $\Box$ 

This concludes the proof of Proposition 3.1. Now, from this result, with the same proof of [5, Theorem 2.2], we get the following.

**Theorem 3.6.** The functors I and U induce the functors

 $\operatorname{Ho}(\mathsf{I})\colon \operatorname{Ho}(\operatorname{\mathbf{dgCat}}) \to \operatorname{Ho}(\operatorname{\mathbf{A}_{\infty}Cat}) \qquad \textit{and} \qquad \operatorname{Ho}(\mathsf{U})\colon \operatorname{Ho}(\operatorname{\mathbf{A}_{\infty}Cat}) \to \operatorname{Ho}(\operatorname{\mathbf{dgCat}})$ 

which are quasi-inverse equivalences of categories.

**Remark 3.7.** As in [5, Remark 2.3], it can also be proved that there is an equivalence of categories between Ho(dgCat) and Ho( $A_{\infty}Cat_{dg}$ ). Hence Ho( $A_{\infty}Cat$ ) and Ho( $A_{\infty}Cat_{dg}$ ) are equivalent, as well.

3.2. **Proof of Theorem B.** We refer to [22] for the (few) basic notions about  $\infty$ -categories which are needed in this section. We denote by  $\operatorname{Ho}(\operatorname{dgCat})_{\infty}$  (resp.  $\operatorname{Ho}(\operatorname{A}_{\infty}\operatorname{Cat})_{\infty}$ ) the  $\infty$ -category obtained by localizing the nerve of the category  $\operatorname{dgCat}$  (resp.  $\operatorname{A}_{\infty}\operatorname{Cat}$ ) by the image under the nerve functor of the class  $\mathcal{W}^{\operatorname{dg}}$  of quasi-equivalences in  $\operatorname{dgCat}$  (resp. the class  $\mathcal{W}^{\operatorname{A}_{\infty}}$  of quasiequivalences in  $\operatorname{A}_{\infty}\operatorname{Cat}$ ).

Now, as it was pointed out in [22], from Proposition 3.1 one can also formally deduce Theorem B which is a stronger  $\infty$ -categorical version of Theorem 3.6 in the form of [22, Corollary 5.2]. This is due to the fact that the adjunction of Proposition 3.1 is a Dwyer-Kan adjunction, meaning that the following five conditions hold (see [22, Definition 2.1, Theorem 2.2]):

- (1)  $\mathsf{U}$  is left adjoint to  $\mathsf{I}$ ;
- (2)  $\mathsf{I}(\mathcal{W}^{\mathrm{dg}}) \subseteq \mathcal{W}^{\mathrm{A}_{\infty}};$
- (3)  $\mathsf{U}(\mathcal{W}^{A_{\infty}}) \subseteq \mathcal{W}^{dg};$
- (4) the component of the unit  $\rho_{\mathbf{A}} \in \mathcal{W}^{A_{\infty}}$  for every  $\mathbf{A} \in \mathbf{A}_{\infty}\mathbf{Cat}$ ;
- (5) the component of the counit  $\sigma_{\mathbf{B}} \in \mathcal{W}^{dg}$  for every  $\mathbf{B} \in \mathbf{dgCat}$ .

Observe that, replacing [5, Proposition 2.1] with Proposition 3.1 everything works when k is an arbitrary commutative ring (and not just a field as in [22]).

**Remark 3.8.** As it is pointed out in [22], one can consider different models for  $\infty$ -categories and for all of them there is an analogue of [22, Corollary 5.2]. Namely, one gets [22, Corollaries 2.5, 3.2, 4.3, 4.5, 5.1]. All their proofs rely on Proposition 3.1 and thus remain valid over an arbitrary commutative ring. As a consequence, Theorem B could be restated and proved by indifferently using each of these models.

Actually we can say that

$$\mathsf{U} \colon (\mathbf{A}_{\infty}\mathbf{Cat}, \mathcal{W}^{A_{\infty}}) \rightleftarrows (\mathbf{dgCat}, \mathcal{W}^{\mathrm{dg}}) : \mathsf{I}$$

is a Dwyer-Kan adjunction even if  $\mathcal{W}^{A_{\infty}}$  and  $\mathcal{W}^{dg}$  are the classes of pretriangulated (or Morita) equivalences in  $\mathbf{A}_{\infty}\mathbf{Cat}$  and in  $\mathbf{dgCat}$ , respectively (see [24, §1.4 and Definition 1.36] or [20, Definition 1.4.7.]). Indeed, every quasi-equivalence is a pretriangulated (and Morita) equivalence so (4) and (5) are satisfied. To prove (2) it suffices to notice that  $\operatorname{pretr}_{A_{\infty}}(\mathbf{A}) = \operatorname{pretr}_{dg}(\mathbf{A})$  if  $\mathbf{A} \in \mathbf{dgCat}$  (see [20, Remark 1.7]). As for (3), suppose that  $\mathbf{F} : \mathbf{A} \to \mathbf{B}$  in  $\mathbf{A}_{\infty}\mathbf{Cat}$  induces a quasi-equivalence  $\operatorname{pretr}_{A_{\infty}}(\mathsf{F})$ :  $\operatorname{pretr}_{A_{\infty}}(\mathbf{A}) \to \operatorname{pretr}_{A_{\infty}}(\mathbf{B})$ . Then

$$\begin{array}{c|c} \operatorname{pretr}_{A_{\infty}}(\mathbf{A}) & \xrightarrow{\operatorname{pretr}_{A_{\infty}}(\mathsf{F})} & \operatorname{pretr}_{A_{\infty}}(\mathbf{B}) \\ \end{array} \\ \left. \operatorname{pretr}_{A_{\infty}}(\rho_{\mathbf{A}}) \right| & & & & & & \\ \operatorname{pretr}_{A_{\infty}}(\mathsf{U}(\mathbf{A})) & \xrightarrow{\operatorname{pretr}_{A_{\infty}}(\mathsf{U}(\mathsf{F}))} & & \operatorname{pretr}_{A_{\infty}}(\mathsf{U}(\mathbf{B})) \end{array}$$

is a commutative diagram in  $\mathbf{A}_{\infty}\mathbf{Cat}$  in which the upper and the vertical arrows are quasiequivalences. Hence  $\operatorname{pretr}_{A_{\infty}}(\mathsf{U}(\mathsf{F})) = \operatorname{pretr}_{\operatorname{dg}}(\mathsf{U}(\mathsf{F}))$  is a quasi-equivalence, as well.

#### 4. The unital case

In this section we prove the following result, where  $\mathbf{A}_{\infty}\mathbf{Cat}_{hp}^{\mathbf{u}}$  denotes the full subcategory of  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$  with objects the h-projective unital  $A_{\infty}$  categories (as in the case of dg categories, we say that  $\mathbf{A} \in \mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{n}}$  is *h-projective* if  $\mathbf{A}(A, B)$  is a h-projective complex of k-modules for every  $A, B \in \mathbf{A}$ ).

**Theorem 4.1.** The inclusion functor  $J: \mathbf{dgCat} \to \mathbf{A}_{\infty}\mathbf{Cat^{u}}$  induces an equivalence of categories  $\operatorname{Ho}(J): \operatorname{Ho}(\mathbf{dgCat}) \to \operatorname{Ho}(\mathbf{A}_{\infty}\mathbf{Cat^{u}})$ . Furthermore, the categories  $\operatorname{Ho}(\mathbf{dgCat})$  and  $\mathbf{A}_{\infty}\mathbf{Cat^{u}}_{hp} \approx$  are equivalent (hence  $\operatorname{Ho}(\mathbf{dgCat})$  and  $\mathbf{A}_{\infty}\mathbf{Cat^{u}}_{hp} \approx$  are equivalent if  $\Bbbk$  is a field).

The proof is contained in Section 4.2 and it is based on some preliminary results which are discussed in Section 4.1.

4.1. Preliminary results. We begin with the following result about  $A_{\infty}$  functors which corrects a similar statement in [16].

**Lemma 4.2.** Let  $F: \mathbf{A} \to \mathbf{B}$  be a unital  $A_{\infty}$  functor between two strictly unital  $A_{\infty}$  categories. If **A** satisfies (3.1), then F is homotopic to a strictly unital  $A_{\infty}$  functor.

Proof. Since F is unital, for every  $A \in \mathbf{A}$  there exists  $h_A \in \mathbf{B}(\mathsf{F}^0(A), \mathsf{F}^0(A))^{-1}$  such that  $\mathsf{F}^1(\mathrm{id}_A) = \mathrm{id}_{\mathsf{F}^0(A)} - \mathrm{m}^1_{\mathbf{B}}(h_A)$ . Then we define a prenatural transformation  $\theta \colon \mathsf{F} \to \mathsf{F}$  of degree 0 as follows: for every  $f \in \mathbf{A}(A, A')$  we set

$$\theta^{1}(f) := \begin{cases} p(f)h_{A} & \text{if } A = A' \\ 0 & \text{if } A \neq A' \end{cases}$$

(where  $p: \mathbf{A}(A, A) \to \mathbb{k}$  is as in the proof of Lemma 3.5) and  $\theta^i := 0$  for  $i \neq 1$ . By Remark 1.20 we can find  $\widetilde{\mathsf{F}} \in \mathbf{A}_{\infty} \mathbf{Cat^n}(\mathbf{A}, \mathbf{B})$  such that  $\mathsf{F} \sim \widetilde{\mathsf{F}}$  and  $\widetilde{\mathsf{F}}^i = \mathsf{F}^i + \mathrm{m}^1(\theta)^i$  for i > 0. By definition for every  $A \in \mathbf{A}$  we have

$$\widetilde{\mathsf{F}}^{1}(\mathrm{id}_{A}) = \mathsf{F}^{1}(\mathrm{id}_{A}) + \mathrm{m}^{1}(\theta)^{1}(\mathrm{id}_{A}) = \mathrm{id}_{\mathsf{F}^{0}(A)} - \mathrm{m}^{1}_{\mathbf{B}}(h_{A}) + \theta^{1}(\mathrm{m}^{1}_{\mathbf{A}}(\mathrm{id}_{A})) + \mathrm{m}^{1}_{\mathbf{B}}(\theta^{1}(\mathrm{id}_{A})) = \mathrm{id}_{\mathsf{F}^{0}(A)}.$$

To conclude, using Remark 1.19 and an easy recursive argument, it should be clear that it is enough to prove the following statement. Assume that  $\mathsf{F}^1(\mathrm{id}_A) = \mathrm{id}_{\mathsf{F}^0(A)}$  for every  $A \in \mathbf{A}$  and that there exist n > 1 and  $1 \le m \le n$  such that  $\mathsf{F}^i(f_i \otimes \cdots \otimes f_1) = 0$  if there exists  $j \in \{1, \ldots, i\}$  such that  $f_j = \mathrm{id}_A$  (for some  $A \in \mathbf{A}$ ) and either 1 < i < n or i = n and j < m. Then we can find  $\mathsf{G} \in \mathbf{A}_\infty \mathbf{Cat}^n(\mathbf{A}, \mathbf{B})$  such that  $\mathsf{F} \sim \mathsf{G}$  through a homotopy  $\theta$  with  $\theta^i = 0$  for i < n - 1,  $\mathsf{G}^i = \mathsf{F}^i$  for i < n and  $\mathsf{G}^n(f_n \otimes \cdots \otimes f_1) = 0$  if there exists  $j \in \{1, \ldots, n\}$  such that  $f_j = \mathrm{id}_A$  (for some  $A \in \mathbf{A}$ ) and  $j \leq m$ .

To this aim, a direct but tedious check shows that we can define  $\theta$  by

$$\theta^{n-1}(f_{n-1}\otimes\cdots\otimes f_1):=(-1)^m\mathsf{F}^n(f_{n-1}\otimes\cdots\otimes f_m\otimes \mathrm{id}_A\otimes f_{m-1}\otimes\cdots\otimes f_1)$$
$$\theta^n(f_n\otimes\cdots\otimes f_1):=(-1)^m\mathsf{F}^{n+1}(f_n\otimes\cdots\otimes f_m\otimes \mathrm{id}_A\otimes f_{m-1}\otimes\cdots\otimes f_1)$$

and  $\theta^i := 0$  for  $i \neq n-1, n$ . See also [20, Lemma 3.7] (where the assumption (3.1) is erroneously missing) for more details of the computation.

In a similar fashion we have the following result about natural transformations.

**Lemma 4.3.** Let  $\mathsf{F}, \mathsf{G} \in \mathbf{A}_{\infty}\mathbf{Cat}(\mathbf{A}, \mathbf{B})$  and let  $\theta \colon \mathsf{F} \to \mathsf{G}$  be a natural transformation of degree p. Then there exists a prenatural transformation  $\tilde{\theta} \colon \mathsf{F} \to \mathsf{G}$  of degree p-1 such that  $\theta - \mathrm{m}^{1}(\tilde{\theta}) \colon \mathsf{F} \to \mathsf{G}$  is a strictly unital natural transformation.

Proof. The argument is similar (and a bit simpler) to the one of Lemma 4.2. In this case the only key step consists in the proof of the following statement. Assume that there exist n > 0 and  $1 \le m \le n$  such that  $\theta^i(f_i \otimes \cdots \otimes f_1) = 0$  if there exists  $j \in \{1, \ldots, i\}$  such that  $f_j = \mathrm{id}_A$  (for some  $A \in \mathbf{A}$ ) and either 0 < i < n or i = n and j < m. Then we can find a prenatural transformation  $\overline{\theta} \colon \mathsf{F} \to \mathsf{G}$  of degree p - 1 such that  $\overline{\theta}^i = 0$  for i < n - 1,  $\mathrm{m}^1(\overline{\theta})^i = 0$  for i < n and  $\mathrm{m}^1(\overline{\theta})^n(f_n \otimes \cdots \otimes f_1) = \theta^n(f_n \otimes \cdots \otimes f_1)$  if there exists  $j \in \{1, \ldots, n\}$  such that  $f_j = \mathrm{id}_A$  (for some  $A \in \mathbf{A}$ ) and  $j \le m$ . Here we can define  $\overline{\theta}$  by

$$\overline{\theta}^{n-1}(f_{n-1}\otimes\cdots\otimes f_1):=(-1)^m\theta^n(f_{n-1}\otimes\cdots\otimes f_m\otimes \mathrm{id}_A\otimes f_{m-1}\otimes\cdots\otimes f_1)$$
$$\overline{\theta}^n(f_n\otimes\cdots\otimes f_1):=(-1)^m\theta^{n+1}(f_n\otimes\cdots\otimes f_m\otimes \mathrm{id}_A\otimes f_{m-1}\otimes\cdots\otimes f_1)$$

and  $\overline{\theta}^i := 0$  for  $i \neq n-1, n$ . See also [20, Lemma 3.8] for more details.

We can then prove the following.

**Lemma 4.4.** If  $F, F' \in A_{\infty}Cat_{dg}(A, B)$  are such that  $F \approx F'$ , then F and F' have the same image in  $Ho(A_{\infty}Cat_{dg})$ .

*Proof.* This is [5, Lemma 2.10]. The only point of the proof that must be modified is the existence of a suitable strictly unital natural transformation  $F \rightarrow F'$ , for which we invoke Lemma 4.3.

In the following we will need to use the fact that  $\mathbf{dgCat}$  admits a model structure, where the weak equivalences are the quasi-equivalences and the fibrations are the full dg functors whose  $H^0$  is an isofibration (see [24]). Recall that, in general, if  $\mathbf{C}$  is a model category,  $X \in \mathbf{C}$  is cofibrant and  $Y \in \mathbf{C}$  is fibrant, then the natural map  $\mathbf{C}(X, Y) \to \operatorname{Ho}(\mathbf{C})(X, Y)$  induces a bijection

(4.1) 
$$\mathbf{C}(X,Y)/ \asymp \overset{1:1}{\longleftrightarrow} \operatorname{Ho}(\mathbf{C})(X,Y)$$

(see [13, Theorem 1.2.10]), where the equivalence relation  $\approx$  on  $\mathbf{C}(X, Y)$  can be defined as follows.<sup>1</sup> First a *cylinder object* for X is given by morphisms  $i_0, i_1 \colon X \to X'$  and a weak equivalence  $s \colon X' \to X$  such that  $s \circ i_0 = s \circ i_1 = \mathrm{id}_X$  and  $(i_0, i_1) \colon X \coprod X \to X'$  is a cofibration. Then, given

<sup>&</sup>lt;sup>1</sup>Usually this equivalence relation is called homotopy and is denoted by  $\sim$ , but we will not do that, in order to avoid confusion with the already defined notion of homotopy for  $A_{\infty}$  functors.

 $f_0, f_1 \in \mathbf{C}(X, Y)$ , we have  $f_0 \simeq f_1$  if and only if there exist a cylinder object  $(X', i_0, i_1, s)$  for Xand  $h \in \mathbf{C}(X', Y)$  such that  $f_k = h \circ i_k$ , for k = 0, 1 (see [13, Definition 1.2.4 and Corollary 1.2.6]). Moreover, if  $f \in \mathbf{C}(X, Y)$  is a weak equivalence between two fibrant and cofibrant objects, then (always by [13, Theorem 1.2.10]) there exists  $g \in \mathbf{C}(Y, X)$  such that  $g \circ f \simeq \mathrm{id}_X$  and  $f \circ g \simeq \mathrm{id}_Y$ .

**Remark 4.5.** One can easily see that, by construction, the bijection in (4.1) is indeed natural with respect to pre and post composition, if one restricts to fibrant and cofibrant objects of C.

**Remark 4.6.** If  $(X', i_0, i_1, s)$  is a cylinder object for a cofibrant object X, then X' is cofibrant, as well: this follows immediately from the fact that cofibrations are stable under composition and pushouts (see [13, Corollary 1.1.11]).

**Remark 4.7.** It is clear from the definition that every dg category is fibrant. On the other hand, if  $\mathbf{A} \in \mathbf{dgCat}$  is cofibrant, then  $\mathbf{A}$  is also h-projective. Indeed, for every  $A, B \in \mathbf{A}$  the complex of  $\Bbbk$ -modules  $\mathbf{A}(A, B)$  is cofibrant by [25, Proposition 2.3], hence h-projective by [13, Lemma 2.3.8]. It follows that every dg category admits a h-projective resolution, namely a quasi-equivalence from a h-projective dg category.

**Remark 4.8.** If **A** and **B** are h-projective dg-categories, then so is  $\mathbf{A} \otimes \mathbf{B}$ .

**Lemma 4.9.** If  $F_0, F_1 \in \mathbf{dgCat}(\mathbf{A}, \mathbf{B})$  are such that  $\mathbf{A}$  is cofibrant and  $F_0 \simeq F_1$ , then  $F_0 \approx F_1$ .

*Proof.* By definition there exist a cylinder object  $(\mathbf{A}', \mathsf{I}_0, \mathsf{I}_1, \mathsf{S})$  for  $\mathbf{A}$  and  $\mathsf{H} \in \mathbf{dgCat}(\mathbf{A}', \mathbf{B})$  such that  $\mathsf{F}_k = \mathsf{H} \circ \mathsf{I}_k$ , for k = 0, 1. Note that both  $\mathbf{A}'$  (by Remark 4.6) and  $\mathbf{A}$  are cofibrant, hence h-projective by Remark 4.7. So the quasi-equivalence  $\mathsf{S}$  is actually a homotopy equivalence. Since  $\mathsf{S} \circ \mathsf{I}_0 = \mathsf{S} \circ \mathsf{I}_1$ , part (2) of Corollary 1.23 implies  $\mathsf{I}_0 \approx \mathsf{I}_1$ . It follows that  $\mathsf{F}_0 = \mathsf{H} \circ \mathsf{I}_0 \approx \mathsf{H} \circ \mathsf{I}_1 = \mathsf{F}_1$ .  $\Box$ 

**Lemma 4.10.** Given  $F \in A_{\infty}Cat_{dg}(A, B)$  with A cofibrant and satisfying (3.1), there exists  $F' \in dgCat(A, B)$  such that  $F \approx F'$ .

*Proof.* By Proposition 3.1 the diagram in  $A_{\infty}Cat$ 



is such that the square on the left commutes. Instead the square on the right commutes when F is a dg functor, but not in general. Taking into account that  $\sigma_{\mathbf{A}} \circ \rho_{\mathbf{A}} = \mathrm{id}_{\mathbf{A}}$  and  $\sigma_{\mathbf{B}} \circ \rho_{\mathbf{B}} = \mathrm{id}_{\mathbf{B}}$ , in any case we have

$$\mathsf{F} \circ \sigma_{\mathbf{A}} \circ \rho_{\mathbf{A}} = \mathsf{F} = \sigma_{\mathbf{B}} \circ \rho_{\mathbf{B}} \circ \mathsf{F} = \sigma_{\mathbf{B}} \circ \mathsf{U}(\mathsf{F}) \circ \rho_{\mathbf{A}}$$

Since  $\rho_{\mathbf{A}}$  is a homotopy equivalence, from part (3) of Corollary 1.23 we obtain

(4.2) 
$$F \circ \sigma_{\mathbf{A}} \approx \sigma_{\mathbf{B}} \circ \mathsf{U}(\mathsf{F}).$$

Now, let  $S: \mathbb{C} \to U(\mathbb{A})$  be a quasi-equivalence in **dgCat** with  $\mathbb{C}$  cofibrant (given, for instance, by a cofibrant replacement of  $U(\mathbb{A})$ ). Then  $\sigma_{\mathbb{A}} \circ S: \mathbb{C} \to \mathbb{A}$  is a quasi-equivalence in **dgCat** between two

fibrant and cofibrant objects. Therefore there exists  $G \in dgCat(A, C)$  such that  $\sigma_A \circ S \circ G \asymp id_A$ . By Lemma 4.9 this implies

(4.3) 
$$\sigma_{\mathbf{A}} \circ \mathsf{S} \circ \mathsf{G} \approx \mathrm{id}_{\mathbf{A}}.$$

Using (4.3) and (4.2) we obtain

$$\mathsf{F} = \mathsf{F} \circ \mathrm{id}_{\mathbf{A}} \approx \mathsf{F} \circ \sigma_{\mathbf{A}} \circ \mathsf{S} \circ \mathsf{G} \approx \sigma_{\mathbf{B}} \circ \mathsf{U}(\mathsf{F}) \circ \mathsf{S} \circ \mathsf{G}.$$

To conclude, just observe that  $\sigma_{\mathbf{B}}$ , U(F), S and G are all dg functors, hence the same is true for their composition.

The following is the crucial technical result of this section. It will also play an important role in the description of the internal Homs which is the content of the next section.

**Proposition 4.11.** For every  $A, B \in dgCat$  with A h-projective there is a natural bijection

$$\mathbf{A}_{\infty}\mathbf{Cat^{u}}/\approx (\mathbf{A},\mathbf{B}) \xleftarrow{1:1} \operatorname{Ho}(\mathbf{dgCat})(\mathbf{A},\mathbf{B}).$$

*Proof.* First we claim that we can assume that  $\mathbf{A}$  is semi-free (hence cofibrant by [8, Lemma B.6]). Indeed, there exists a quasi-equivalence  $\tilde{\mathbf{A}} \to \mathbf{A}$  in **dgCat** with  $\tilde{\mathbf{A}}$  semi-free (see [8, Lemma B.5]). Then  $\mathbf{A} \cong \tilde{\mathbf{A}}$  in Ho(**dgCat**), whence there is a natural bijection

$$\operatorname{Ho}(\operatorname{\mathbf{dgCat}})(\mathbf{A},\mathbf{B}) \xleftarrow{1:1} \operatorname{Ho}(\operatorname{\mathbf{dgCat}})(\tilde{\mathbf{A}},\mathbf{B}).$$

Taking into account that  $\tilde{\mathbf{A}}$  (by Remark 4.7) and  $\mathbf{A}$  are h-projective, Lemma 1.22 implies  $\mathbf{A} \cong \tilde{\mathbf{A}}$ in  $\mathbf{A}_{\infty} \mathbf{Cat}^{\mathbf{u}} / \approx$ . Thus there is a natural bijection

$$\mathbf{A}_{\infty}\mathbf{Cat^{u}}/\approx (\mathbf{A},\mathbf{B}) \xleftarrow{1:1} \mathbf{A}_{\infty}\mathbf{Cat^{u}}/\approx (\tilde{\mathbf{A}},\mathbf{B}).$$

It follows that the existence of the required bijection is equivalent to the existence of a natural bijection

$$\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}} / \approx (\tilde{\mathbf{A}}, \mathbf{B}) \xrightarrow{1:1} \mathrm{Ho}(\mathbf{dgCat})(\tilde{\mathbf{A}}, \mathbf{B}).$$

This proves the claim, and so for the rest of the proof we assume that  $\mathbf{A}$  is semi-free. Note that, by construction, under this assumption  $\mathbf{A}$  also satisfies (3.1).

By Lemma 4.9 the inclusion  $dgCat(A, B) \hookrightarrow A_{\infty}Cat^{u}(A, B)$  induces a map

(4.4) 
$$\varphi: \operatorname{dgCat}(\mathbf{A}, \mathbf{B})/\simeq \to \mathbf{A}_{\infty}\operatorname{Cat}^{\mathbf{u}}/\approx (\mathbf{A}, \mathbf{B}).$$

By (4.1) it is enough to prove that  $\varphi$  is bijective. Indeed, given  $\mathsf{F}, \mathsf{F}' \in \mathbf{dgCat}(\mathbf{A}, \mathbf{B})$  such that  $\mathsf{F} \approx \mathsf{F}'$ , by Lemma 4.4  $\mathsf{F}$  and  $\mathsf{F}'$  have the same image in  $\mathrm{Ho}(\mathbf{A}_{\infty}\mathbf{Cat}_{\mathbf{dg}})$ , hence also in  $\mathrm{Ho}(\mathbf{dgCat})$  (see Remark 3.7). Therefore  $\mathsf{F} \simeq \mathsf{F}'$ , again by (4.1), and this proves that  $\varphi$  is injective. Finally, since  $\mathbf{A}$  is semi-free, Lemma 4.2 implies that the natural injective map

$$\mathbf{A}_{\infty}\mathbf{Cat}/\approx(\mathbf{A},\mathbf{B})\rightarrow\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}/\approx(\mathbf{A},\mathbf{B})$$

is also surjective. We conclude that  $\varphi$  is surjective by Lemma 4.10.

4.2. The equivalences. We are now ready to prove Theorem 4.1. The argument is split in a couple of steps.

**Proposition 4.12.** The inclusion functor  $J: \mathbf{dgCat} \to \mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$  induces an equivalence of categories  $\operatorname{Ho}(J): \operatorname{Ho}(\mathbf{dgCat}) \to \operatorname{Ho}(\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}).$ 

*Proof.* Obviously J preserves quasi-equivalences, hence it induces the functor Ho(J). Now we want to define a functor  $K: A_{\infty}Cat^{u} \to Ho(dgCat)$ . To this aim, recalling Lemma 1.16 and Lemma 1.22, first we choose, for every  $A \in A_{\infty}Cat^{u}$ , homotopy equivalences  $Y_{A}: A \to R_{A}$  and  $Z_{A}: R_{A} \to A$ , with  $R_{A} \in dgCat$ , such that  $Z_{A} \circ Y_{A} \approx id_{A}$  and  $Y_{A} \circ Z_{A} \approx id_{R_{A}}$ . We also choose, for every  $A \in A_{\infty}Cat^{u}$ , a quasi-equivalence  $S_{A}: K(A) \to R_{A}$  in dgCat with K(A) h-projective. This defines K on objects. As for morphisms, given  $F: A \to B$  in  $A_{\infty}Cat^{u}$ , we define  $K(F) \in Ho(dgCat)(K(A), K(B))$  as follows. The image in  $A_{\infty}Cat^{u} / \approx (K(A), R_{B})$  of the composition in  $A_{\infty}Cat^{u}$ 

$$\mathsf{K}(\mathbf{A}) \xrightarrow{\mathsf{S}_{\mathbf{A}}} \mathbf{R}_{\mathbf{A}} \xrightarrow{\mathsf{Z}_{\mathbf{A}}} \mathbf{A} \xrightarrow{\mathsf{F}} \mathbf{B} \xrightarrow{\mathsf{Y}_{\mathbf{B}}} \mathbf{R}_{\mathbf{B}}$$

corresponds (by Proposition 4.11) to a unique  $f \in Ho(dgCat)(K(A), R_B)$ . Then we can define  $K(F) := [S_B]^{-1} \circ f$ , where  $[S_B] \in Ho(dgCat)(K(B), R_B)$  denotes the image of  $S_B$ . It is immediate to see that K is really a functor and that it takes quasi-equivalences in  $A_{\infty}Cat^u$  to isomorphisms in Ho(dgCat). Thus K induces a functor  $K': Ho(A_{\infty}Cat^u) \to Ho(dgCat)$ . In order to conclude that Ho(J) is an equivalence with quasi-inverse K', it remains to show that there exist natural isomorphisms

$$\phi \colon \mathsf{K}' \circ \operatorname{Ho}(\mathsf{J}) \to \operatorname{id}_{\operatorname{Ho}(\operatorname{\mathbf{dgCat}})}, \qquad \psi \colon \operatorname{Ho}(\mathsf{J}) \circ \mathsf{K}' \to \operatorname{id}_{\operatorname{Ho}(\operatorname{\mathbf{A}}_{\infty}\operatorname{\mathbf{Cat}}^{\mathbf{u}})}.$$

It is easy to check that, for every  $\mathbf{A} \in \operatorname{Ho}(\operatorname{dgCat})$ , we can define  $\phi_{\mathbf{A}} \in \operatorname{Ho}(\operatorname{dgCat})(\mathsf{K}(\mathbf{A}), \mathbf{A})$ as the unique morphism corresponding (again by Proposition 4.11) to the image of  $\mathsf{Z}_{\mathbf{A}} \circ \mathsf{S}_{\mathbf{A}}$  in  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}} / \approx (\mathsf{K}(\mathbf{A}), \mathbf{A})$ . On the other hand, for every  $\mathbf{A} \in \operatorname{Ho}(\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}})$ , we can directly define  $\psi_{\mathbf{A}} \in \operatorname{Ho}(\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}})(\mathsf{K}(\mathbf{A}), \mathbf{A})$  as the image of  $\mathsf{Z}_{\mathbf{A}} \circ \mathsf{S}_{\mathbf{A}}$ .

**Proposition 4.13.** The categories Ho(dgCat) and  $A_{\infty}Cat^{u}_{hp} \approx are equivalent.$ 

*Proof.* Let **C** be the full subcategory of Ho(dgCat) whose objects are h-projective, and let **C'** be the full subcategory of  $\mathbf{A}_{\infty}\mathbf{Cat}_{hp}^{\mathbf{u}}/\approx$  whose objects are (strictly unital) dg categories. The inclusion  $\mathbf{C} \hookrightarrow \operatorname{Ho}(dgCat)$  is clearly an equivalence, and we claim that the same is true for the inclusion  $\mathbf{C}' \hookrightarrow \mathbf{A}_{\infty}\mathbf{Cat}_{hp}^{\mathbf{u}}/\approx$ . Indeed, using the notation of the proof of Proposition 4.12, for every  $\mathbf{A} \in \mathbf{A}_{\infty}\mathbf{Cat}_{hp}^{\mathbf{u}}$  there exists a quasi-equivalence  $\mathsf{Z}_{\mathbf{A}} \circ \mathsf{S}_{\mathbf{A}}$ :  $\mathsf{K}(\mathbf{A}) \to \mathbf{A}$  with  $\mathsf{K}(\mathbf{A}) \in \mathbf{C}'$ . Since both  $\mathbf{A}$  and  $\mathsf{K}(\mathbf{A})$  are h-projective,  $\mathsf{Z}_{\mathbf{A}} \circ \mathsf{S}_{\mathbf{A}}$  is actually a homotopy equivalence, hence its image in  $\mathbf{A}_{\infty}\mathbf{Cat}_{hp}^{\mathbf{u}}/\approx$  is an isomorphism by Lemma 1.22. The conclusion follows from the fact that, as an easy consequence of Proposition 4.11,  $\mathbf{C}$  and  $\mathbf{C}'$  are isomorphic categories. □

**Remark 4.14.** One could hope to prove in a similar way that the categories Ho(dgCat) and  $A_{\infty}Cat_{hp}/\approx$  are equivalent (where  $A_{\infty}Cat_{hp}$  denotes the full subcategory of  $A_{\infty}Cat$  with objects the h-projective strictly unital  $A_{\infty}$  categories). Unfortunately the above proof cannot be adapted to work in this setting (even when k is a field, in which case  $A_{\infty}Cat_{hp}/\approx$  coincides with  $A_{\infty}Cat/\approx$ ). Indeed, one would need a variant of Proposition 4.11, with  $A_{\infty}Cat$  in place

of  $\mathbf{A}_{\infty}\mathbf{Cat^{u}}$ . But such a statement is clearly false (just take  $\mathbf{A}$  and  $\mathbf{B}$  two dg algebras with  $\mathbf{A} = H(\mathbf{B}) = 0$  and  $\mathbf{B} \neq 0$ ).

## 5. Internal Homs via $A_{\infty}$ functors

In this section we prove Kontsevich–Keller's claim about internal Homs with no restrictions on the base ring. Namely, we provide a completely new proof of Theorem C in Section 5.2 which is preceded by some preliminary results about multifunctors in Section 5.1.

5.1.  $A_{\infty}$  multifunctors. Let us briefly recall some constructions which are carefully described in Sections 1.2 and 1.4 in [5] and which were originally introduced in [2]. For this reason we will be concise in the presentation and we will refer to these original sources for more details.

More specifically, given  $\mathbf{A}_1, \ldots, \mathbf{A}_n, \mathbf{A} \in \mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}$ , an  $A_{\infty}$  multifunctor from  $\mathbf{A}_1, \ldots, \mathbf{A}_n$  to  $\mathbf{A}$  is a morphism of graded quivers

$$\mathsf{F} \colon \overline{\mathrm{B}_{\infty}(\mathbf{A}_{1})^{+} \otimes \cdots \otimes \mathrm{B}_{\infty}(\mathbf{A}_{n})^{+}} \to \mathbf{A}[1]$$

such that the natural extension

$$\overline{\mathrm{B}_{\infty}(\mathbf{A}_{1})^{+}\otimes\cdots\otimes\mathrm{B}_{\infty}(\mathbf{A}_{n})^{+}}\to\mathrm{B}_{\infty}(\mathbf{A})$$

of  $\mathbf{F}$  as graded cofunctor commutes with the differentials. Furthermore, an  $A_{\infty}$  multifunctor is unital if all its restrictions are unital. The set of all unital  $A_{\infty}$  multifunctors from  $\mathbf{A}_1, \ldots, \mathbf{A}_n$  to  $\mathbf{A}$  will be denoted by  $\mathbf{A}_{\infty}\mathbf{Cat^u}(\mathbf{A}_1, \ldots, \mathbf{A}_n, \mathbf{A})$ . It is also important to know that, by[2, Proposition 8.15], there is a unital  $A_{\infty}$  category  $\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat^u}}(\mathbf{A}_1, \ldots, \mathbf{A}_n, \mathbf{A})$  whose set of objects is  $\mathbf{A}_{\infty}\mathbf{Cat^u}(\mathbf{A}_1, \ldots, \mathbf{A}_n, \mathbf{A})$  (morphisms are suitably defined prenatural transformations). Note that, if  $\mathbf{A}$  is a dg category, then  $\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat^u}}(\mathbf{A}_1, \ldots, \mathbf{A}_n, \mathbf{A})$  is a dg category as well.

**Proposition 5.1.** For every  $A_1, A_2, A_3 \in A_{\infty}Cat^u$  there is an isomorphism in  $A_{\infty}Cat^u$ 

$$\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}_{1},\mathbf{A}_{2},\mathbf{A}_{3})\cong\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}_{1},\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}_{2},\mathbf{A}_{3})).$$

*Proof.* It follows from [2, Proposition 9.18] together with [2, Proposition 4.12].

In complete analogy with the case of  $A_{\infty}$  functors (see Section 1.4), if  $\mathsf{F}_1$  and  $\mathsf{F}_2$  are in  $\mathbf{A}_{\infty}\mathbf{Cat^{u}}(\mathbf{A}_1,\ldots,\mathbf{A}_n,\mathbf{A})$ , we say that  $\mathsf{F}_1$  and  $\mathsf{F}_2$  are *weakly equivalent* (denoted by  $\mathsf{F}_1 \approx \mathsf{F}_2$ ) if they are isomorphic in the category  $H^0(\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat^{u}}}(\mathbf{A}_1,\ldots,\mathbf{A}_n,\mathbf{A}))$ . The relation  $\approx$  is clearly compatible with compositions and then we can define a quotient (multi)category  $\mathbf{A}_{\infty}\mathbf{Cat^{u}}/\approx$  with the same objects and whose morphisms are given by

$$\mathbf{A}_\infty\mathbf{Cat^u}/pprox (\mathbf{A}_1,\ldots,\mathbf{A}_n,\mathbf{A}):=\mathbf{A}_\infty\mathbf{Cat^uA}_1,\ldots,\mathbf{A}_n,\mathbf{A})/pprox$$
 .

**Proposition 5.2.** For every  $A_1, A_2, A_3 \in \mathbf{dgCat}$  there is a natural bijection

$$\mathbf{A}_{\infty}\mathbf{Cat^{u}}/\approx (\mathbf{A}_{1}\otimes \mathbf{A}_{2}, \mathbf{A}_{3}) \xrightarrow{1:1} \mathbf{A}_{\infty}\mathbf{Cat^{u}}/\approx (\mathbf{A}_{1}, \mathbf{A}_{2}, \mathbf{A}_{3})$$

*Proof.* We just sketch the proof, which is essentially the same as that of [5, Proposition 3.8], with a few adjustments at some points. Keeping the same notation of [5, Section 3],  $\tilde{N} \in \mathbf{dgCat^n}(\Omega(\mathbf{C}), \overline{\mathbf{A}_1^+ \otimes \mathbf{A}_2^+})$  (where  $\mathbf{C} := \overline{\mathrm{B}(\mathbf{A}_1)^+ \otimes \mathrm{B}(\mathbf{A}_2)^+}$ ) is actually a homotopy isomorphism by Corollary 2.6. Then, as  $\overline{\mathbf{A}_1^+ \otimes \mathbf{A}_2^+} \in \mathbf{dgCat}$  when  $\mathbf{A}_1, \mathbf{A}_2 \in \mathbf{dgCat}$  (see the proof of [5,

Lemma 3.7]), by Lemma 1.22  $\tilde{N}$  is unital and there exists  $H \in A_{\infty}Cat^{\mathbf{u}}(\overline{\mathbf{A}_{1}^{+} \otimes \mathbf{A}_{2}^{+}}, \Omega(\mathbf{C}))$  such that  $H \circ \tilde{N} \approx id_{\Omega(\mathbf{C})}$ . No further change is needed in the rest of the proof, except that in the end we use Lemma 1.21 instead of [23, Lemma 1.6].

5.2. Proof of Theorem C. Given  $\mathbf{A}_1, \mathbf{A}_2, \mathbf{A}_3 \in \mathbf{dgCat}$ , it is enough to prove that there are the following natural bijections (where  $\mathbf{A}_i^{\text{hp}}$  denotes a h-projective resolution of  $\mathbf{A}_i$ , for i = 1, 2)

(5.1) 
$$\begin{array}{ccc} \operatorname{Ho}(\operatorname{dgCat})(\mathbf{A}_{1} \otimes^{\mathbb{L}} \mathbf{A}_{2}, \mathbf{A}_{3}) & & \\ & & (A) \bigvee^{1:1} & \\ \operatorname{Ho}(\operatorname{dgCat})(\mathbf{A}_{1}^{\operatorname{hp}} \otimes \mathbf{A}_{2}^{\operatorname{hp}}, \mathbf{A}_{3}) & & \operatorname{Ho}(\operatorname{dgCat})(\mathbf{A}_{1}, \operatorname{Fun}_{\mathbf{A}_{\infty}\operatorname{Cat}^{\mathbf{u}}}(\mathbf{A}_{2}^{\operatorname{hp}}, \mathbf{A}_{3})) \\ & & (B) \bigvee^{1:1} & & 1:1 \bigvee^{(F)} \\ \mathbf{A}_{\infty}\operatorname{Cat}^{\mathbf{u}} / \approx (\mathbf{A}_{1}^{\operatorname{hp}} \otimes \mathbf{A}_{2}^{\operatorname{hp}}, \mathbf{A}_{3}) & & \operatorname{Ho}(\operatorname{dgCat})(\mathbf{A}_{1}^{\operatorname{hp}}, \operatorname{Fun}_{\mathbf{A}_{\infty}\operatorname{Cat}^{\mathbf{u}}}(\mathbf{A}_{2}^{\operatorname{hp}}, \mathbf{A}_{3})) \\ & & (C) \bigvee^{1:1} & & 1:1 \bigvee^{(E)} \\ \mathbf{A}_{\infty}\operatorname{Cat}^{\mathbf{u}} / \approx (\mathbf{A}_{1}^{\operatorname{hp}}, \mathbf{A}_{2}^{\operatorname{hp}}, \mathbf{A}_{3}) \xleftarrow{1:1} & & \\ \mathbf{A}_{\infty}\operatorname{Cat}^{\mathbf{u}} / \approx (\mathbf{A}_{1}^{\operatorname{hp}}, \mathbf{A}_{2}^{\operatorname{hp}}, \mathbf{A}_{3}) \xleftarrow{1:1} \\ & (D) & & \operatorname{A}_{\infty}\operatorname{Cat}^{\mathbf{u}} / \approx (\mathbf{A}_{1}^{\operatorname{hp}}, \operatorname{Fun}_{\mathbf{A}_{\infty}\operatorname{Cat}^{\mathbf{u}}}(\mathbf{A}_{2}^{\operatorname{hp}}, \mathbf{A}_{3})), \end{array}$$

since this would imply the wanted natural bijection

$$\operatorname{Ho}(\operatorname{\mathbf{dgCat}})(\mathbf{A}_1 \otimes^{\mathbb{L}} \mathbf{A}_2, \mathbf{A}_3) \xrightarrow{1:1} \operatorname{Ho}(\operatorname{\mathbf{dgCat}})(\mathbf{A}_1, \operatorname{\mathbf{Fun}}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}_2^{\operatorname{hp}}, \mathbf{A}_3)).$$

Now, the existence of (A), and (F) follows from the isomorphisms  $\mathbf{A}_1 \otimes^{\mathbb{L}} \mathbf{A}_2 \cong \mathbf{A}_1^{\text{hp}} \otimes \mathbf{A}_2^{\text{hp}}$  and  $\mathbf{A}_1^{\text{hp}} \cong \mathbf{A}_1$  in Ho(**dgCat**). Taking into account that  $\mathbf{A}_1^{\text{hp}} \otimes \mathbf{A}_2^{\text{hp}}$  is h-projective by Remark 4.8, (B) and (E) are due to Proposition 4.11. Finally, Proposition 5.2 implies (C), whereas (D) is a direct consequence of Proposition 5.1.

This clearly implies that Ho(dgCat) is symmetric monoidal category whose internal Hom  $\mathbb{R}\underline{Hom}(\mathbf{A}, \mathbf{B})$ , for two dg categories  $\mathbf{A}$  and  $\mathbf{B}$  is, up to isomorphism in Ho(dgCat), the dg category  $Fun_{\mathbf{A}_{\infty}Cat^{u}}(\mathbf{A}^{hp}, \mathbf{B})$ .

**Remark 5.3.** It is worth pointing out that the above conclusion is enough to prove Kontsevich– Keller's Claim in the introduction. Indeed, if **A** is a h-projective dg category with the additional property that the unit map  $\mathbb{k} \to \mathbf{A}(A, A)$  admits a retraction as a morphism of complexes, for all  $A \in \mathbf{A}$ , then the fully faithful embedding  $\mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}}(\mathbf{A}, \mathbf{B}) \hookrightarrow \mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{u}}(\mathbf{A}, \mathbf{B})$  is indeed a quasiequivalence. The argument is the same as in [5, Corollary 2.6], where we replace (the erroneous) [5, Proposition 2.5] with Lemma 4.2 (note that (3.1) is clearly satisfied in our assumptions).

On the other hand, as **B** and its cofibrant replacements **B** are isomorphic in Ho(dgCat), the universal property of the internal Hom yields the isomorphism

$$\operatorname{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}^{\operatorname{hp}},\mathbf{B})\cong\operatorname{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\mathbf{A}^{\operatorname{hp}},\mathbf{B})$$

in Ho(dgCat). Finally, as we explained in the proof of Proposition 4.11,  $\mathbf{A}^{hp}$  and the cofibrant replacement  $\tilde{\mathbf{A}}$  are isomorphic in  $\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}/\approx$ , thus we can simply set

$$\mathbb{R}\underline{Hom}(\mathbf{A},\mathbf{B}) := \mathbf{Fun}_{\mathbf{A}_{\infty}\mathbf{Cat}^{\mathbf{u}}}(\tilde{\mathbf{A}},\tilde{\mathbf{B}})$$

yielding a bijection

(5.2) 
$$\operatorname{Ho}(\operatorname{dgCat})(\mathbf{A}, \mathbf{B}) \xleftarrow{1:1} \operatorname{Isom}(H^0(\mathbb{R}\underline{Hom}(\mathbf{A}, \mathbf{B}))) = \mathbf{A}_{\infty} \operatorname{Cat}^{\mathbf{u}} / \approx (\tilde{\mathbf{A}}, \tilde{\mathbf{B}}),$$

where the latter equality is by definition.

As in the proof Proposition 4.11, the bijection (5.2) boils down to the composition of bijections

 $\operatorname{Ho}(\operatorname{\mathbf{dgCat}})(\mathbf{A},\mathbf{B}) \xleftarrow{1:1} \operatorname{Ho}(\operatorname{\mathbf{dgCat}})(\tilde{\mathbf{A}},\tilde{\mathbf{B}}) \xleftarrow{1:1} \operatorname{\mathbf{dgCat}}(\tilde{\mathbf{A}},\tilde{\mathbf{B}})/\asymp \xleftarrow{1:1} \mathbf{A}_{\infty} \mathbf{Cat}^{\mathbf{u}} / \approx (\tilde{\mathbf{A}},\tilde{\mathbf{B}}).$ 

Now, the first and the last bijections are compatible with pre and post compositions by definition, while the second one is such in view of Remark 4.5. This concludes the proof.

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