Fourier-Mukai functors: existence

Paolo Stellari



Bologna, September 2011

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Derived categories (...roughly...)

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Definition

The **bounded derived category** $D^{b}(\mathbf{A})$ of the abelian category \mathbf{A} is such that:

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It is a **triangulated** category.

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A category **T** is **triangulated** if it is has an automorphism (called **shift**) [1] : **T** \rightarrow **T**, and a family of distinguished triangles $A \rightarrow B \rightarrow C \rightarrow A$ [1] satisfying certain axioms.

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A functor $F : T \to T'$ between triangulated categories is **exact** if it preserves shifts and distinguished triangles, up to isomorphism.

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$$\blacksquare \ \mathcal{E} \otimes (-) : \mathrm{D}^{\mathrm{b}}(X) \to \mathrm{D}^{\mathrm{b}}(X).$$

Mukai's example (1981)

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Mukai studied a **duality** between $D^{b}(A)$ and $D^{b}(\hat{A})$ (here A is an abelian variety).

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$$\mathsf{F}\colon \mathrm{D}^\mathrm{b}(A)\longrightarrow \mathrm{D}^\mathrm{b}(\hat{A})$$

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The inverse of F sends a skyscraper sheaf \mathcal{O}_p (here p is a closed point of \hat{A}) on \hat{A} to the degree 0 line bundle $L_p \in \text{Pic}^0(A)$ parametrized by p.

Fourier-Mukai functors

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For X_1 and X_2 smooth projective varieties, we define the exact functor $\Phi_{\mathcal{E}} \colon D^b(X_1) \to D^b(X_2)$ as

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Definition

An exact functor $F: D^b(X_1) \to D^b(X_2)$ is a Fourier–Mukai functor (or of Fourier–Mukai type) if there exist $\mathcal{E} \in D^b(X_1 \times X_2)$ and an isomorphism of functors $F \cong \Phi_{\mathcal{E}}$. The complex \mathcal{E} is called a kernel of F.

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Example

(1) and (2) allowed to give a partial description of the group of autoequivalences for K3 surfaces as conjectured by Szendroi (Huybrechts–Macrì–S.).

Two basic questions

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Remark

A positive answer to the first one was conjectured by Bondal–Larsen–Lunts (and Orlov).



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Orlov's result

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Theorem (Olov, 1997)

Let X_1 and X_2 be smooth projective varieties and let $F: D^b(X_1) \to D^b(X_2)$ be an exact fully faithful functor admitting a left adjoint. Then there exists a unique (up to isomorphim) $\mathcal{E} \in D^b(X_1 \times X_2)$ such that $F \cong \Phi_{\mathcal{E}}$.

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Bondal–van den Bergh: the adjoints always exist in this special setting (i.e. *X_i* smooth projective)!

Full implies faithful (in this case)

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Aim: weaken the hypotheses of the theorem to get more general answers to (1)-(2).

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Theorem (Canonaco–Orlov–S.)

Let X be a noetherian connected scheme, let **T** be a triangulated category and let $F: D^b(X) \longrightarrow \mathbf{T}$ be a full exact functor not isomorphic to the zero functor. Then F is also faithful.

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Remark

- The result holds in much greater generality.
- The faithfulness assumption is redundant.

The improvement in the smooth case

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Theorem (Canonaco–S., 2006)

Let X_1 and X_2 be smooth projective varieties and let $F: D^b(X_1) \to D^b(X_2)$ be an exact functor such that, for any $\mathcal{F}, \mathcal{G} \in \mathbf{Coh}(X_1)$,

(*)
$$\operatorname{Hom}_{\mathrm{D^b}(X_2)}(\mathsf{F}(\mathcal{F}),\mathsf{F}(\mathcal{G})[j])=\mathsf{0} \ \text{ if } j<\mathsf{0}.$$

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Then there exist $\mathcal{E} \in D^b(X_1 \times X_2)$ and an isomorphism of functors $F \cong \Phi_{\mathcal{E}}$. Moreover, \mathcal{E} is uniquely determined up to isomorphism.

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Then there exist $\mathcal{E} \in D^b(X_1 \times X_2)$ and an isomorphism of functors $F \cong \Phi_{\mathcal{E}}$. Moreover, \mathcal{E} is uniquely determined up to isomorphism.

All exact functors $D^{b}(X_{1}) \rightarrow D^{b}(X_{2})$ obtained by deriving an exact functor $Coh(X_{1}) \rightarrow Coh(X_{2})$ satisfy the assumption.

The smooth case Definitions

Results



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Let *X* be a separated scheme of finite type over \Bbbk and let *Z* be a subscheme of *X* which is proper over \Bbbk .

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D_Z(Qcoh(X)) is the derived category of unbounded complexes of quasi-coherent sheaves on X with cohomologies supported on Z.

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Categories

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- D_Z(Qcoh(X)) is the derived category of unbounded complexes of quasi-coherent sheaves on X with cohomologies supported on Z.
- Perf(X) is the full subcategory of D(Qcoh(X)) consisting of complexes locally quasi-isomorphic to complexes of locally free sheaves of finite type over X.

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We set

$$\operatorname{\mathsf{Perf}}_Z(X) := \operatorname{D}_Z(\operatorname{\mathsf{Qcoh}}(X)) \cap \operatorname{\mathsf{Perf}}(X).$$

Assumptions

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Assumptions

Let X_1 be a quasi-projective scheme containing a projective subscheme Z_1 such that $\mathcal{O}_{iZ_1} \in \mathbf{Perf}(X_1)$, for all i > 0 (e.g. either $Z_1 = X_1$ or X_1 is smooth), and let X_2 be a separated scheme of finite type over a field k with a proper subscheme Z_2 .

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- $F: \operatorname{Perf}_{Z_1}(X_1) \to \operatorname{Perf}_{Z_2}(X_2)$ is an exact functor such that
 - **1** For any $\mathcal{A}, \mathcal{B} \in \mathbf{Coh}_{Z_1}(X_1) \cap \mathbf{Perf}_{Z_1}(X_1)$ and any integer k < 0, Hom $(F(\mathcal{A}), F(\mathcal{B})[k]) = 0$;
 - 2 For all $A \in \mathbf{Perf}_{Z_1}(X_1)$ with trivial cohomologies in (strictly) positive degrees, there is $N \in \mathbb{Z}$ such that

 $\operatorname{Hom}\left(\mathsf{F}(\mathcal{A}),\mathsf{F}(\mathcal{O}_{|i|Z_1}(jH_1))\right)=0,$

for any i < N and any j << i, where H_1 is an ample divisor on X_1 .

The smooth caseDefinitions

Results



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The statement

Theorem (Canonaco–S.)

If X_1, X_2, Z_1, Z_2 and F are as above, then there exist $\mathcal{E} \in D^b_{Z_1 \times Z_2}(\mathbf{Qcoh}(X_1 \times X_2))$ and an isomorphism of functors

$$\mathsf{F} \cong \Phi^{\mathsf{s}}_{\mathcal{E}}.$$

Moreover, if X_i is smooth quasi-projective, for i = 1, 2, and \Bbbk is perfect, then \mathcal{E} is unique up to isomorphism.

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Moreover, if X_i is smooth quasi-projective, for i = 1, 2, and \Bbbk is perfect, then \mathcal{E} is unique up to isomorphism.

Remark

 $\Phi^s_{\mathcal{E}}$ is the natural generalization of the notion of Fourier–Mukai functor.

If $Z_i = X_i$ and X_i is smooth, then the assumption (2) on the functor F is redundant. In particular we recover the previous generalization of Orlov's result involving only (*).

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If we just assume $X_i = Z_i$ (and no smoothness required!), we get a generalization of a very nice (and important) recent result by Lunts–Orlov.

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Remark

As in Lunts–Orlov's case, we also get results about the (strong) uniqueness of dg-enhancements.

Applications



Using the theorem above, one proves that all autoequivalences of the following categories are of Fourier–Mukai type:

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- Fu-Yang and Keller-Yang: the category generated by a 1-spherical object.
- Ishii–Ueda–Uehara: the category of A_n-singularities (already known; here we get a neat proof).
- Bayer–Macrì: local P² (relevant for Mirror Symmetry: it is a 3-Calabi–Yau category).