

# The role of phonons

Rocco Martinazzo

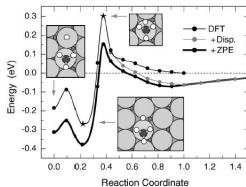
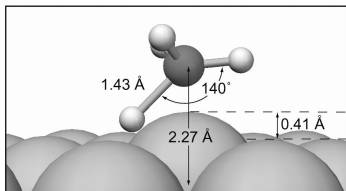
Dipartimento di Chimica Fisica ed Elettrochimica  
Università degli Studi, Milano, Italy

*Dynamics of molecule-surface reactions*  
Universiteit Leiden, Nov 24-25, 2011



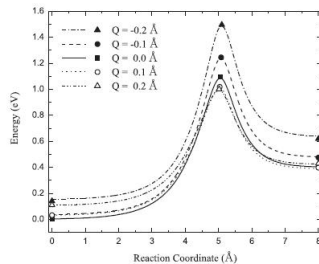
# “Active” role

## CH<sub>4</sub> on Ir(111)



G. Henkelman and H. Jonsson, *Phys. Rev. Lett.* **86**, 664 (2001)

## CH<sub>4</sub> on Ni(100)

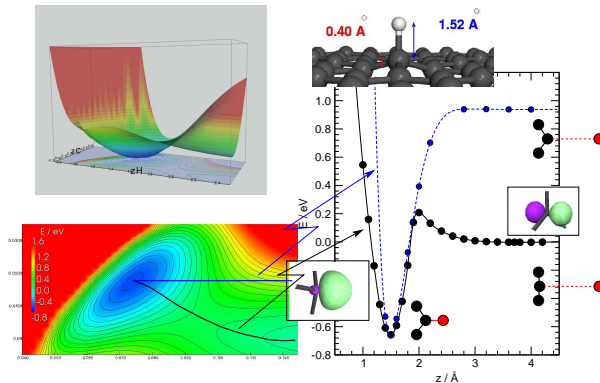


S. Nave and B. Jackson,  
*Phys. Rev. Lett.* **98**, 173003 (2007)



# “Active” role

## H adsorption on graphene(ite)



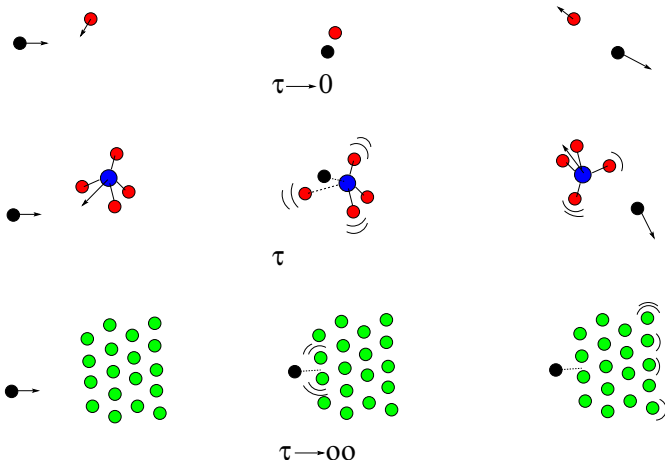
L. Jelloaica and V. Sidis, *Chem. Phys. Lett.* **300**, 157 (1999)

X. Sha and B. Jackson, *Surf. Sci.* **496**, 318 (2002)



# “Passive” role

## Sticking of simple atoms



# Outline

1

## Basics

- Linear chain model
- Scattering from real surfaces

2

## Reduced dynamical models

- Traditional models
- System-bath models

3

## Dynamics

- The methods
- Examples



# Outline

1

## Basics

- Linear chain model
- Scattering from real surfaces

2

## Reduced dynamical models

- Traditional models
- System-bath models

3

## Dynamics

- The methods
- Examples



# Outline

1

## Basics

- Linear chain model
- Scattering from real surfaces

2

## Reduced dynamical models

- Traditional models
- System-bath models

3

## Dynamics

- The methods
- Examples



# Outline

1

## Basics

- Linear chain model
- Scattering from real surfaces

2

## Reduced dynamical models

- Traditional models
- System-bath models

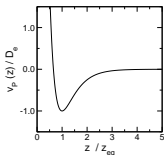
3

## Dynamics

- The methods
- Examples





[illegible]
$$u_j = x_n - x_n^0 = n\text{-th atom displacement}$$

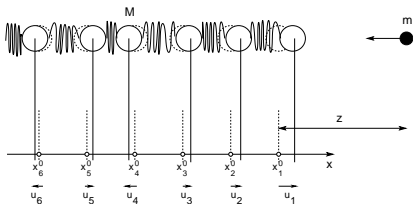
$$H = \frac{p_z^2}{2m} + v_P(z - u_1) + \sum_{n=1}^{\infty} \left\{ \frac{p_n^2}{2M} + \frac{M\Omega^2}{2}(u_{n+1} - u_n)^2 \right\}$$

$$\dot{z} = \frac{\partial H}{\partial p_z}, \dot{p}_z = -\frac{\partial H}{\partial z}$$

$$\dot{u}_n = \frac{\partial H}{\partial p_n}, \dot{p}_n = -\frac{\partial H}{\partial u_n}$$



# Scattering from a linear chain



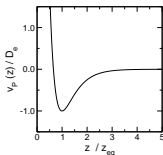
Hamilton's equations read as

$$m\ddot{z} = -\frac{\partial v_P}{\partial z}(z - u_1)$$

$$M\ddot{u}_1 = +\frac{\partial v_P}{\partial z}(z - u_1) + M\Omega^2(u_2 - u_1)$$

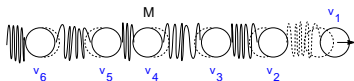
$$M\ddot{u}_n = -M\Omega^2(2u_n - u_{n+1} - u_{n-1})$$

..yet too complex to be  
**analytically** solved..

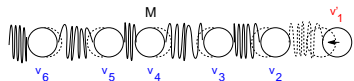


# Impulsive limit

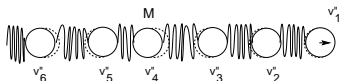
“Fast” collision ( $\tau \ll \Omega^{-1}$ ): the projectile leaves the chain unchanged **except** for an **impulse** on the outermost atom..



Binary collision..



.. Energy **propagation**



# Energy propagation (outline)

Normal mode (**phonon**) transformation:

$$H^{chain} = \sum_{n=1}^{\infty} \left\{ \frac{p_n^2}{2M} + \frac{M\Omega^2}{2} (u_{n+1} - u_n)^2 \right\}$$

$$X_k = \sqrt{\frac{2}{\pi}} \sum_{n=1}^{\infty} \sin(nk) u_n$$

$$P_k = \sqrt{\frac{2}{\pi}} \sum_{n=1}^{\infty} \sin(nk) p_n$$

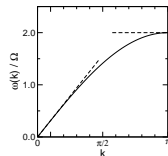
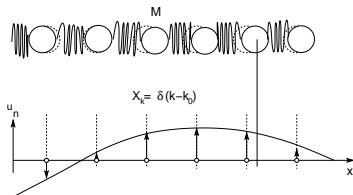


$$H^{chain} = \int_0^{\pi} \left\{ \frac{P_k^2}{2M} + \frac{M\omega(k)^2}{2} X_k^2 \right\} dk$$

$$\omega(k)^2 = 4\Omega^2 \sin^2(k/2)$$

$$u_n = \sqrt{\frac{2}{\pi}} \int_0^{\pi} \sin(kn) X_k dk$$

$$p_n = \sqrt{\frac{2}{\pi}} \int_0^{\pi} \sin(kn) P_k dk$$



# Energy propagation (outline)

Normal modes are **independent** oscillators:

$$X_k(t) = X_k(0)\cos(\omega(k)t) + \frac{P_k(0)}{M\omega(k)}\sin(\omega(k)t)$$

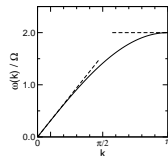
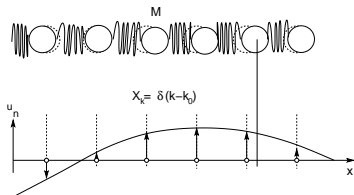
$$u_n(0) \equiv 0 \quad p_n(0) \equiv \delta_{1n}P$$



$$X_k(0) = 0 \quad P_k(0) = \sqrt{\frac{2}{\pi}}\sin(k)P$$



$$u_n(t) = \frac{2P}{\pi M} \int_0^\infty \frac{\sin(kn)\sin(k)\sin(\omega(k)t)}{\omega(k)} dk$$



# Energy propagation (outline)

Normal modes are **independent** oscillators:

$$X_k(t) = X_k(0)\cos(\omega(k)t) + \frac{P_k(0)}{M\omega(k)}\sin(\omega(k)t)$$

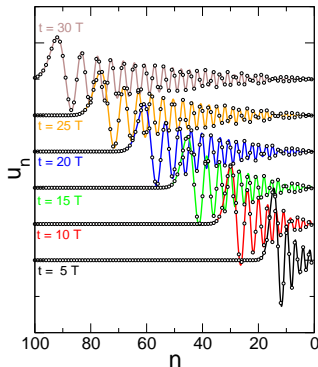
$$u_n(0) \equiv 0 \quad p_n(0) \equiv \delta_{1n}P$$



$$X_k(0) = 0 \quad P_k(0) = \sqrt{\frac{2}{\pi}}\sin(k)P$$



$$u_n(t) = \frac{2P}{\pi M} \int_0^\infty \frac{\sin(kn)\sin(k)\sin(\omega(k)t)}{\omega(k)} dk$$



# Binary collisions

Energy transfer occurs to the first chain atom **only**..

Surface atom (S)   $M, \mathbf{v}_S^i = \mathbf{0}$

$$\mathbf{P} = m\mathbf{v}_P + M\mathbf{v}_S \equiv \text{const}$$

$$E = \frac{p_P^2}{2m} + \frac{p_S^2}{2M} \equiv \frac{p^2}{2(M+m)} + \frac{p^2}{2\mu} \equiv \text{const}$$

$$\mathbf{p} = \mu(\mathbf{v}_P - \mathbf{v}_S) \quad \frac{1}{\mu} = \frac{1}{m} + \frac{1}{M}$$

$\mathbf{p}$  = momentum of the **relative** motion



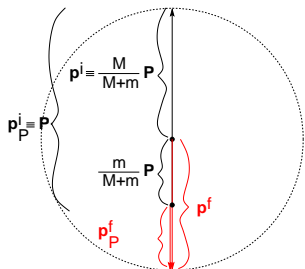
$$p_i = p_f$$

Projectile atom (P)   $m, \mathbf{v}_P^i$



# Binary collisions

Energy transfer occurs to the first chain atom **only**..



$$\begin{cases} P = p_P + p_S \\ p = \mu \left( \frac{p_P}{m} - \frac{p_S}{m} \right) \end{cases} \iff \begin{cases} p_P = \frac{m}{m+M} P + p \\ p_S = \frac{M}{m+M} P - p \end{cases}$$

$$\begin{cases} p_P^i = \frac{m}{m+M} P + \frac{M}{m+M} P \equiv P \\ p_S^i = 0 \end{cases} \quad \begin{cases} p_P^f = \frac{m-M}{m+M} P \\ p_S^f = \frac{2M}{m+M} P \end{cases}$$

Initial

Final



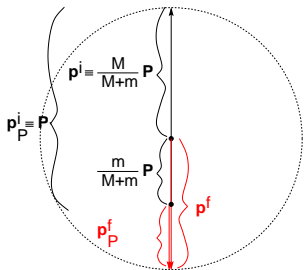


# Binary collisions

$$\delta\epsilon_P = \epsilon_P^i - \epsilon_P^f = \text{energy transfer to the surface}$$

$$\delta\epsilon_P \equiv \epsilon_S^f = \frac{1}{2M} \left( \frac{2M}{M+m} \mathbf{P} \right)^2 = \frac{4Mm}{(M+m)^2} \frac{P^2}{2m}$$

$$\epsilon_P^f = \frac{1}{2m} \left( \frac{m-M}{M+m} \mathbf{P} \right)^2 = \left( \frac{\alpha-1}{\alpha+1} \right)^2 \frac{P^2}{2m}$$



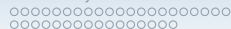
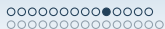
$$\delta\epsilon_P = \frac{4\alpha}{(1+\alpha)^2} \epsilon_P^i$$

$$\epsilon_P^f = \left( \frac{1-\alpha}{1+\alpha} \right)^2 \epsilon_P^i$$

$\alpha = m/M =$  mass ratio

$\epsilon_P^i =$  collision energy

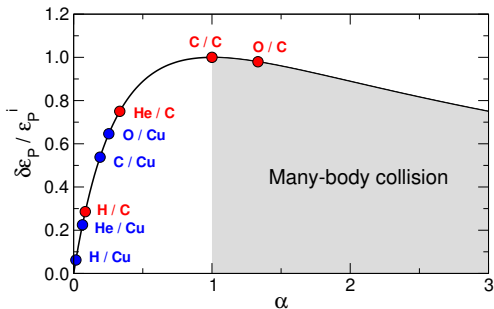




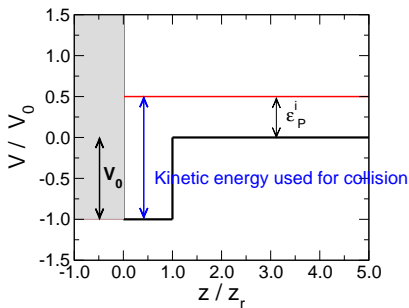
# Binary collisions

$\delta\epsilon_P$  is maximum for  $\alpha \rightarrow 1$

$$\delta\epsilon_P^{max} = \epsilon_P^i$$



# Sticking in the impulsive limit



**Sticking** condition:

$$\epsilon_P^f = \epsilon_P^i - \delta\epsilon_P < 0$$

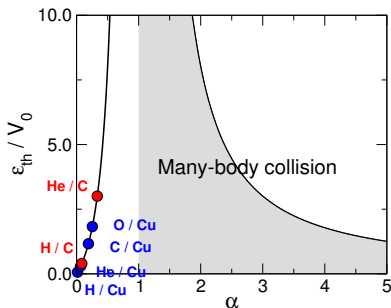
$$\delta\epsilon_P = \frac{4\alpha}{(1+\alpha)^2} (\epsilon_P^i + V_0)$$

$$\epsilon_P^i < \frac{4\alpha}{(1-\alpha)^2} V_0 \equiv \epsilon_{th}$$

$\epsilon_{th}$  = **threshold energy** for sticking



# Sticking in the impulsive limit



**Sticking** condition:

$$\epsilon_P^f = \epsilon_P^i - \delta\epsilon_P < 0$$

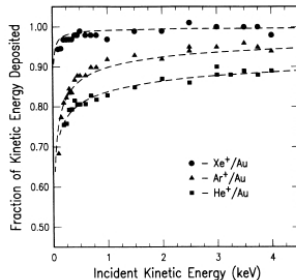
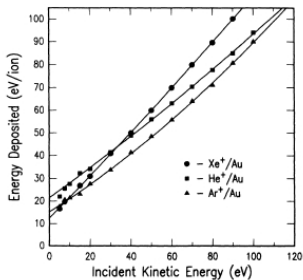
$$\delta\epsilon_P = \frac{4\alpha}{(1+\alpha)^2} (\epsilon_P^i + V_0)$$

$$\epsilon_P^i < \frac{4\alpha}{(1-\alpha)^2} V_0 \equiv \epsilon_{th}$$

$\epsilon_{th}$  = **threshold energy** for sticking



# Binary collisions at work



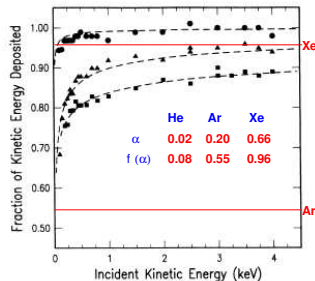
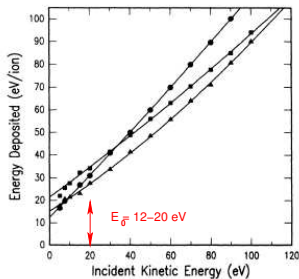
$$\delta\epsilon_P = f\epsilon_P^i + E_0, E_0?$$

$$f = f(\alpha) = \frac{4\alpha}{(1+\alpha)^2} ?$$

H. F. Winters, H. Coufal, C. T. Rettner and D. S. Bethune, *Phys. Rev. B*, **41** (1990) 6240



# Binary collisions at work



$E_0$  is related to  $R_g^+ + M \rightarrow R_g + M^+$

P undergoes **multiple** collisions with S atoms!!

H. F. Winters, H. Coufal, C. T. Rettner and D. S. Bethune, *Phys. Rev. B*, **41** (1990) 6240



## II. Binary collisions: beyond back-scattering

Real surfaces are not **linear** chains: **non-collinear** collisions..

Surface atom (S)   $M, \mathbf{v}_S^i = \mathbf{0}$

$$\mathbf{P} = m\mathbf{v}_P + M\mathbf{v}_S \equiv \text{const}$$


$$E = \frac{p_P^2}{2m} + \frac{p_S^2}{2M} \equiv \frac{p^2}{2(M+m)} + \frac{p^2}{2\mu} \equiv \text{const}$$

$$\mathbf{p} = \mu(\mathbf{v}_P - \mathbf{v}_S) \quad \frac{1}{\mu} = \frac{1}{m} + \frac{1}{M}$$

$\mathbf{p}$  = momentum of the **relative** motion



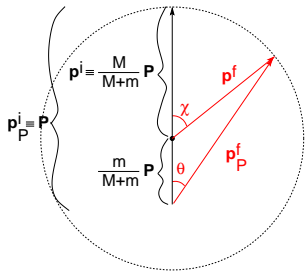
$$p_i = p_f$$

Projectile atom (P)   $m, \mathbf{v}_P^i$



## II. Binary collisions: beyond back-scattering

Real surfaces are not **linear** chains: **non-collinear** collisions..



$$\begin{cases} \mathbf{P} = \mathbf{p}_P + \mathbf{p}_S \\ \mathbf{p} = \mu \left( \frac{\mathbf{p}_P}{m} - \frac{\mathbf{p}_S}{m} \right) \end{cases} \iff \begin{cases} \mathbf{p}_P = \frac{m}{m+M} \mathbf{P} + \mathbf{p} \\ \mathbf{p}_S = \frac{M}{m+M} \mathbf{P} - \mathbf{p} \end{cases}$$

$$\begin{cases} \mathbf{p}_P^i = \frac{m}{m+M} \mathbf{P} + \frac{M}{m+M} \mathbf{P} \equiv \mathbf{P} \\ \mathbf{p}_S^i = 0 \end{cases} \quad \begin{cases} \mathbf{p}_P^f = \frac{m}{m+M} \mathbf{P} + \mathbf{p}^f \\ \mathbf{p}_S^f = \frac{M}{m+M} \mathbf{P} - \mathbf{p}^f \end{cases}$$

$\chi$  = scattering angle in the c.o.m. reference system

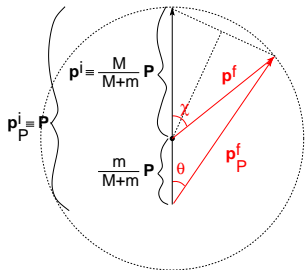
$\theta$  = scattering angle in the lab reference system





## II. Binary collisions: beyond back-scattering

$\delta\epsilon_P$  in terms of  $\chi$



$$\delta\epsilon_P \equiv \epsilon_S^f = \frac{1}{2M} \left( \frac{M}{M+m} \mathbf{P} - \mathbf{p}_f \right)^2 =$$

$$\frac{1}{2M} \left( \frac{M}{M+m} \right)^2 P^2 (\hat{n}^i - \hat{n}^f)^2 =$$

$$\frac{mM}{(m+M)^2} \frac{P^2}{2m} (\hat{n}^i - \hat{n}^f)^2$$

$$\delta\epsilon_P = \frac{4\alpha}{(1+\alpha)^2} \epsilon_P^i \sin^2\left(\frac{\chi}{2}\right)$$

$\alpha = m/M =$  mass ratio

$\epsilon_P^i =$  collision energy

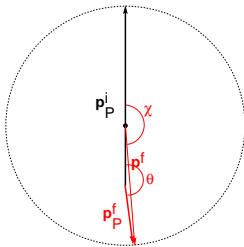


## II. Binary collisions: beyond back-scattering

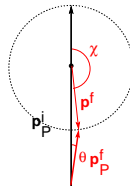
$\delta\epsilon_P$  is maximum for back-scattering (in c.o.m.), i.e.  $\chi = \pi$ , ..

$$\delta\epsilon_P^{max} = 4\alpha/(1 + \alpha)^2 \epsilon_P^i$$

$\alpha < 1$

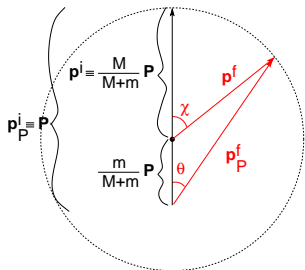


$\alpha > 1$



## II. Binary collisions: beyond back-scattering

$\epsilon_P^f$  in terms of  $\chi$



$$\epsilon_P^f = \frac{p_P^f}{2m} = \frac{1}{2m} \left( \frac{m}{M+m} \mathbf{P} + \mathbf{p}_f \right)^2 =$$

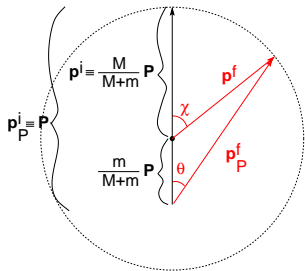
$$\frac{P^2}{2m} \frac{M^2 + m^2 + 2mM \cos \chi}{(m+M)^2}$$

$$\epsilon_P^f = \frac{1 + \alpha^2 + 2\alpha \cos \chi}{(1 + \alpha)^2} \epsilon_P^i$$

$\alpha = m/M =$  mass ratio

$\epsilon_P^i =$  collision energy



$\epsilon_P^f$  in terms of  $\theta$ 

$$\left(\mathbf{p}_P^f - \frac{m}{m+M}\mathbf{p}\right)^2 = p^{f2}$$

$$p_P^f = \frac{m}{m+M} P \cos \theta + \frac{P}{M+m} \sqrt{M^2 - m^2 \sin^2 \theta}$$

$$\epsilon_P^f = \frac{(\alpha \cos \theta + \sqrt{1 - \alpha^2 \sin^2 \theta})^2}{(1 + \alpha)^2} \epsilon_P^i$$

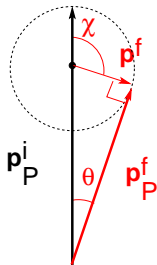
$$\alpha = m/M = \text{mass ratio}$$

$\epsilon_P^i =$  collision energy



## II. Binary collisions: beyond back-scattering

**Note:** For  $\alpha > 1$  there exists a **maximum** scattering angle



$$\frac{m}{M+m} P \sin \theta_{max} = \frac{M}{M+m} P$$

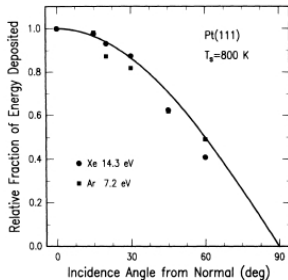
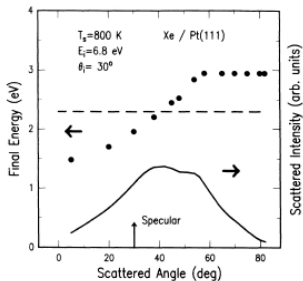
$$\sin \theta_{max} = \frac{1}{\alpha}$$

which only depends on the **mass ratio**





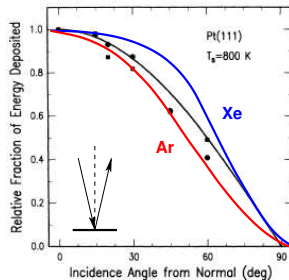
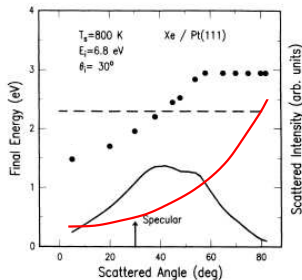
## II. Binary collisions: beyond back-scattering



H. F. Winters, H. Coufal, C. T. Rettner and D. S. Bethune, *Phys. Rev. B*, **41** (1990) 6240



## II. Binary collisions: beyond back-scattering



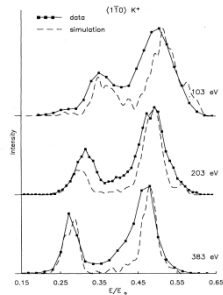
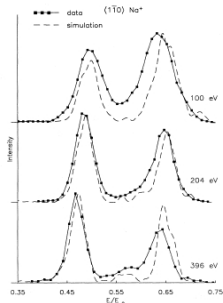
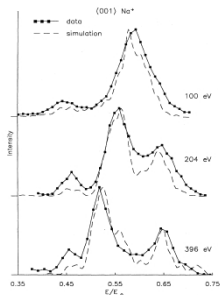
Upon application of  $\epsilon_P^f = \left( \alpha \cos \theta + \sqrt{1 - \alpha^2 \sin^2 \theta} \right)^2 / (1 + \alpha)^2 \epsilon_P^i$

H. F. Winters, H. Coufal, C. T. Rettner and D. S. Bethune, *Phys. Rev. B*, **41** (1990) 6240





## II. Binary collisions: beyond back-scattering

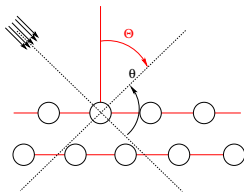


D. M. Goodstein, R. L. McEachern and B. H. Cooper, *Phys. Rev. B*, **39** (1989) 13129

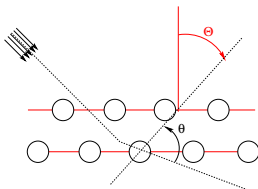


## II. Binary collisions: beyond back-scattering

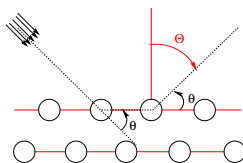
### Direct



### Focusing

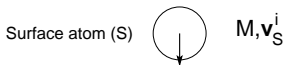


### Indirect



### III. Binary collision: surface temperature

Surface atoms are not at **rest**..



In the **frame**  $\sim$ ,  $\tilde{\mathbf{v}}_S^i = 0$   
and everything proceeds as before, with..

$$\mathbf{v}_P^i = \tilde{\mathbf{v}}_P^i + \mathbf{v}_S^i$$

$$\epsilon_P^i = \tilde{\epsilon}_P^i + \frac{1}{2} m v_S^i{}^2 + m \tilde{\mathbf{v}}_P^i \mathbf{v}_S^i$$

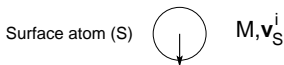
$$\epsilon_P^f = \tilde{\epsilon}_P^f + \frac{1}{2} m v_S^i{}^2 + m \tilde{\mathbf{v}}_P^f \mathbf{v}_S^i$$

$$\delta \epsilon_P = \delta \tilde{\epsilon}_P + (\tilde{\mathbf{p}}_P^i - \tilde{\mathbf{p}}_P^f) \mathbf{v}_S^i$$



### III. Binary collision: surface temperature

Surface atoms are not at **rest**..



For **collinear** collisions

$$\tilde{\mathbf{p}}_P^i - \tilde{\mathbf{p}}_P^f = \frac{2Mm}{M+m} (v_P^i - v_S^i)$$

$$\delta\tilde{\epsilon}_P = \frac{4\alpha}{(1+\alpha)^2} \frac{m}{2} (v_P^i - v_S^i)^2$$

Upon averaging ( $\langle v_P^i v_S^i \rangle = 0$ )..

$$\delta\epsilon_P = \frac{4\alpha}{(1+\alpha)^2} (\epsilon_P^i - \langle \epsilon_S^i \rangle)$$

$$\langle \epsilon_S^i \rangle \sim k_B T$$



# Summary

- Energy transfer is primarily controlled by the **mass-ratio**  
 $\alpha = \frac{m}{M}$
- Energy transfer is most efficient for **back-scattering**
- The binary collision model works fine at high energies, provided **multiple collisions** are included



# Outline

1

## Basics

- Linear chain model
- Scattering from real surfaces

2

## Reduced dynamical models

- Traditional models
- System-bath models

3

## Dynamics

- The methods
- Examples



# The need of..

In the impulsive limit  $\tau \ll \Omega^{-1}$

- Dynamics is **classical** (at least for the projectile..)
- Dynamics is **decoupled**

What about the case  $E_{coll} \leq \hbar\Omega$ ?

- Classical or quantum?
- How to handle infinite numbers of particles?

⇒ Dynamics of (approximate) dynamical models with a limited number of DOFs



# The need of..

Surface atoms may play just a **passive** role

- **Energy dissipation** is the main (surface) dynamical issue
- No interest for the **dynamics of surface atoms**
- Molecular DOFs as accurate as possible (**main system**)

or an **active** role

- Some surface atoms must be included in the main system (**primary atoms**)
- Dissipation is likely of **secondary** importance..
- **Thermal activation** of primary atoms may be important





# The need of..

Typically, for **scattering** processes..

Surface atoms are **passive** in **non-activated** processes

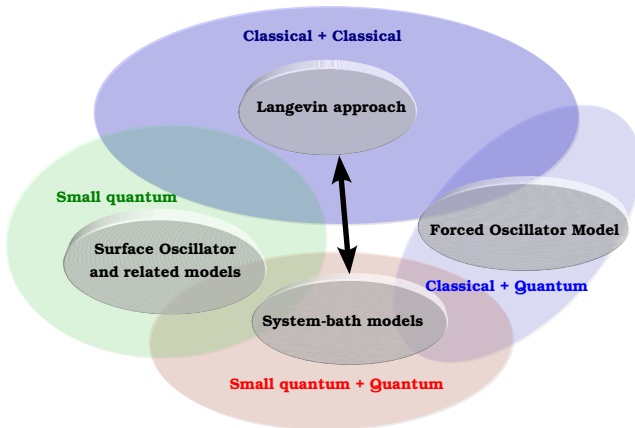
- **Energy dissipation** is the main (surface) dynamical issue
- No interest for the **dynamics of surface atoms**
- Molecular DOFs as accurate as possible (**main system**)

and **active** in **activated** processes

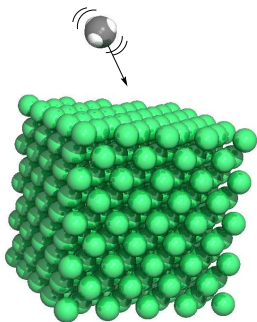
- Some surface atoms must be included in the main system (**primary atoms**)
- Dissipation is likely of **secondary** importance..
- **Thermal activation** of primary atoms may be important



# Reduced dynamical models



# Langevin



## Cluster approach

OK for **classical** systems with a known **lattice potential**, but..

- How sampling the equilibrium position of  $S$  atoms?
- How large the cluster should be?

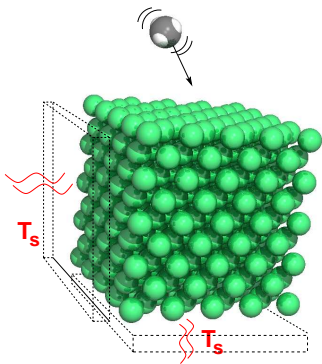
⇒ **Open** system



Thermal contacts with a **reservoir**  
at  $T_s$

- guarantee  $E$  dissipation
- provide  $E$  fluctuations

⇒ Possible as long as we are **not** interested in the whole lattice dynamics





# Langevin

Where is  $T_s$ ?

For  $F = 0$ , on the **long run** the system attains **equilibrium**

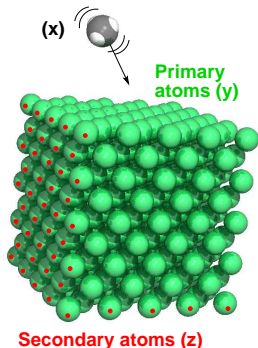
Dissipation  $\Longleftrightarrow$  Fluctuation



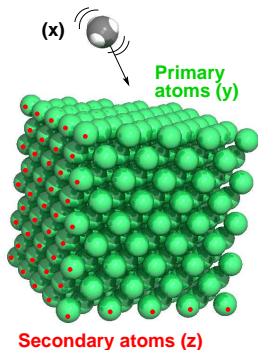
$$\lim_{t \rightarrow \infty} \langle v(t)^2 \rangle = k_B T_s / M$$



$$\langle \xi(t) \xi(0) \rangle = 2Mk_B T_s \gamma \delta(t)$$



# Langevin



Equations of motion..

$$m\ddot{x} = -\frac{\partial V}{\partial x}(x, y, z)$$

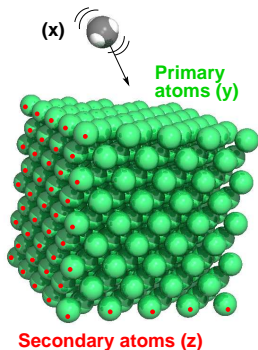
$$M\ddot{y} = -\frac{\partial V}{\partial y}(x, y, z)$$

$$M\ddot{z} = -\frac{\partial V}{\partial z}(x, y, z) - M\gamma\dot{z} + \xi$$

..do **not** conserve Energy



# Langevin



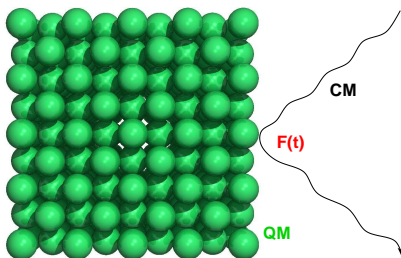
## Equations of motion..

- The method can be **exact**
- the larger is the **primary** atom zone the better is
- Where to get  $\gamma$  ?





## Classical particle on a quantum surface

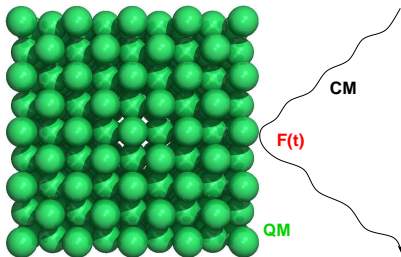


- Particle's trajectory  $\Rightarrow F(t)$  on surface atoms
- Surface is a collection of HOs subjected to  $F(t)$

..surface dynamics can be solved!



# Forced Oscillator Model



$$H(t) = \frac{p^2}{2M} + \frac{M\omega_0^2 q^2}{2} - qF(t)$$

$$H(t) |\psi_t\rangle = i\hbar \frac{d}{dt} |\psi_t\rangle$$

Analytically solvable to give

- **Eigenvalues:**

$$\epsilon_n(t) = \hbar\omega_0 \left(n + \frac{1}{2}\right) - \frac{f^2(t)}{\hbar\omega_0}$$

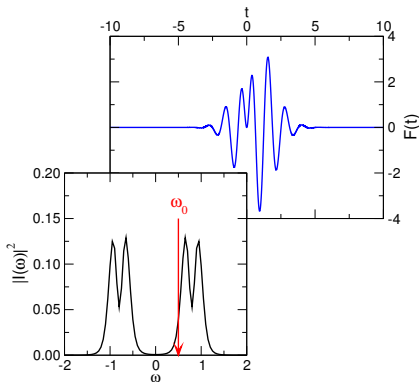
- **Transition amplitudes:**

$$\phi_{n \rightarrow m}(-\infty, +\infty)$$

$$f(t) = \sqrt{\frac{\hbar}{2M\omega_0}} F(t)$$



# Forced Oscillator Model



$$\phi_{n \rightarrow m}^{\infty} = \langle m | U_I(-\infty, +\infty) | n \rangle$$

$$\phi_{0 \rightarrow m}^{\infty} = \left( -\frac{i}{\hbar} \right)^m \frac{\tilde{f}(\omega_0)^m}{\sqrt{m!}} e^{-\frac{|\tilde{f}(\omega_0)|^2}{2\hbar^2}}$$

$$\tilde{f}(\omega) = \int_{-\infty}^{+\infty} e^{i\omega t} f(t) dt$$

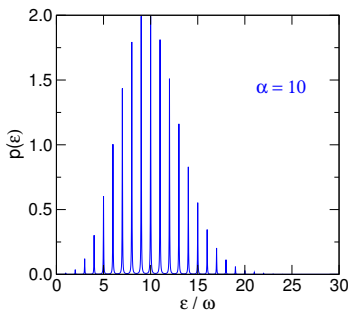
Ground-state excitation probability:

$$P_{0 \rightarrow m}^{\infty} = \left| \frac{\tilde{f}(\omega_0)}{\hbar} \right|^{2m} \frac{e^{-\frac{|\tilde{f}(\omega_0)|^2}{\hbar^2}}}{m!}$$





# Forced Oscillator Model



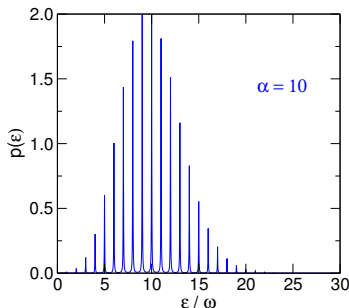
$$p(\epsilon) = \sum_{n=0}^{\infty} \delta(\epsilon - n\hbar\omega_0) P_{0 \rightarrow n}$$

**Probability density** that the HO gains energy  $\epsilon$   
 $\equiv$  E-transfer probability density

- $I(\omega) = \int_{-\infty}^{+\infty} e^{i\omega t} F(t) dt$
- $\delta\epsilon_{av} = \int \epsilon p(\epsilon) = \alpha \hbar\omega_0 \equiv \frac{|I(\omega_0)|^2}{2M}$
- $\sigma_{\epsilon}^2 = \frac{|I(\omega_0)|^2}{2M} \hbar\omega_0$



# Forced Oscillator Model



$$p(\epsilon) = \sum_{n=0}^{\infty} \delta(\epsilon - n\hbar\omega_0) P_{0 \rightarrow n}$$

In the **impulsive limit**..

$$F(t) \approx I_0 \delta(t - \bar{t})$$

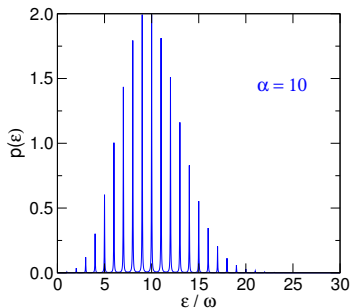
$|I(\omega)| = I_0$  is the **impulse**

$$\delta\epsilon_{av} \approx \frac{I_0^2}{2M}$$

$$I_0 = \frac{2m}{M+m} P \Rightarrow \delta\epsilon_{av} \approx \frac{4(m/M)}{(1+m/M)^2} \epsilon_P^2$$



# Forced Oscillator Model



$$p(\epsilon) = \sum_{n=0}^{\infty} \delta(\epsilon - n\hbar\omega_0) P_{0 \rightarrow n}$$

For P to attain the **impulsive** limit

$$\tau \ll \omega_0^{-1}$$

$$\delta\epsilon_{av} \gg \hbar\omega_0$$

$$\alpha \gg 1$$

**Classical** limit for the HO, too!







$\epsilon_k$  : independent random variables

$$\epsilon = \sum_k \epsilon_k$$

$$\{p_k(\epsilon_k)\}_k \Rightarrow P(\epsilon)$$

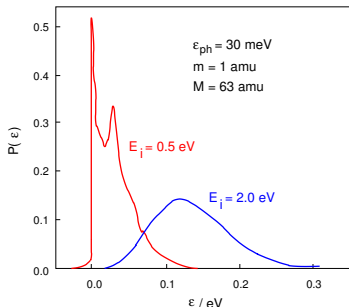
$$P(\epsilon) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} d\tau e^{-i\tau\epsilon + \sum_k (e^{i\hbar\omega_k} - 1) \frac{y_k^2}{2\hbar\omega_k} |I(\omega_k)|^2}$$

density of phonon modes “in  $x_0$ ”:

$$\rho(\epsilon) = \sum_k |u_k|^2 \delta(\epsilon - \epsilon_k)$$



# Forced Oscillator Model



$$\sum_k (e^{i\hbar\omega_k} - 1) \frac{u_k^2}{2\hbar\omega_k} |I(\omega_k)|^2 \equiv \int (e^{i\hbar\omega} - 1) P_1(\epsilon)$$

One-phonon probability density:

$$P_1(\epsilon) = \frac{|I(\epsilon/\hbar)|^2}{2\epsilon} \rho(\epsilon)$$

For small  $I(\omega)$  ( $\delta\epsilon_{av} \ll \hbar\Delta_{ph}$ )

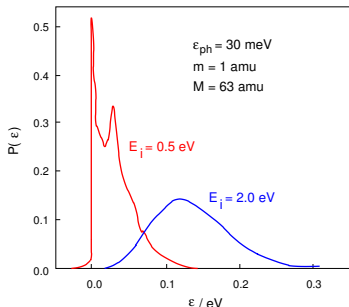
$$(\epsilon > 0) \quad P(\epsilon) \approx P_1(\epsilon)$$

H on Cu. Adapted from:

G. R. Darling and S. Holloway, *Rep. Prog. Phys.*, **58** (1995) 1595



# Forced Oscillator Model



H on Cu. Adapted from:

G. R. Darling and S. Holloway, *Rep. Prog. Phys.*, **58** (1995) 1595

For **small**  $I(\omega)$  ( $\delta\epsilon_{av} \ll \hbar\Delta_{ph}$ )

$$(\epsilon \approx 0) \quad P(\epsilon) \approx \delta(\epsilon) P^{el}$$

**Elastic** probability:

$$P^{el} \equiv e^{-\sum_k \frac{u_k^2}{2\hbar\omega_k} |I(\omega_k)|^2} := e^{-2W}$$

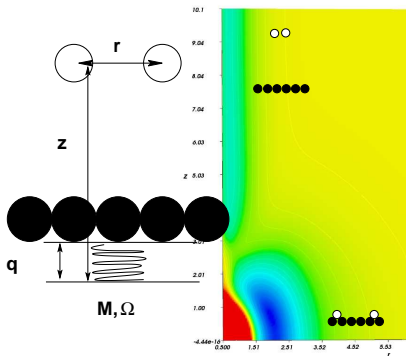
$$(|I(\omega)| \approx I_0) \quad 2W \approx \frac{|I_0|^2 \langle x_0^2 \rangle}{\hbar^2}$$

**Elastic** scattering for

- **light** species
- **low**  $T_s$



# Surface Oscillator Model



To include a single **active** phonon mode, e.g.

$$H = \frac{p_z^2}{2m} + \frac{p_r^2}{2\mu} + V(r, z)$$

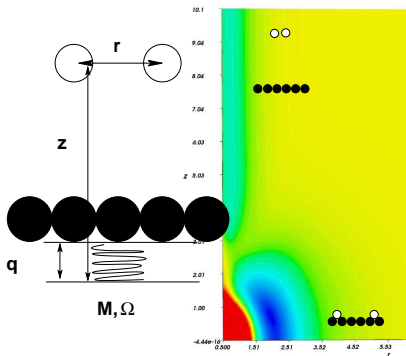
↓

$$H = \frac{p_z^2}{2m} + \frac{p_r^2}{2\mu} + \frac{p_q^2}{2M} + \frac{M\Omega^2 q^2}{2} + V(r, z - q)$$

From  $nD$  to  $(n + 1)D$  model



# Surface Oscillator Model



Effect of **surface temperature** on  $S_\nu(E)$ , e.g.

$$S_\nu(E) \Rightarrow S_\nu(E, n)$$

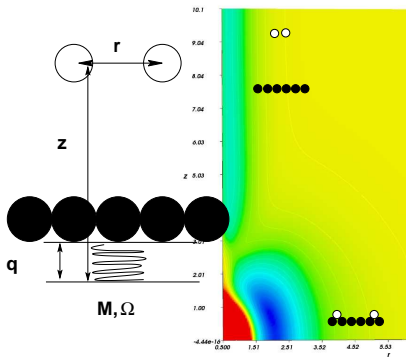


$$S_\nu(E, T_s) = \sum_n S_\nu(E, n) P_n(T_s)$$

$$P_n(T_s) = \frac{e^{-\frac{\epsilon_n}{k_B T_s}}}{\sum_m e^{-\frac{\epsilon_m}{k_B T_s}}}$$



# Surface Oscillator Model



What about the  $\Omega$  dependence?

- $\Omega \rightarrow \infty$ : rigid surface model
- $\Omega \rightarrow 0$ : surface mass model

Actually, results are almost insensitive to  $\Omega$ ,  
provided  $\Omega$  is not too large...



# Surface Mass Model

$\Omega = 0$ : recoil effect may be enough

$$H = \frac{p_z^2}{2m} + \frac{p_r^2}{2\mu} + \frac{p_q^2}{2M} + V(r, z - q)$$

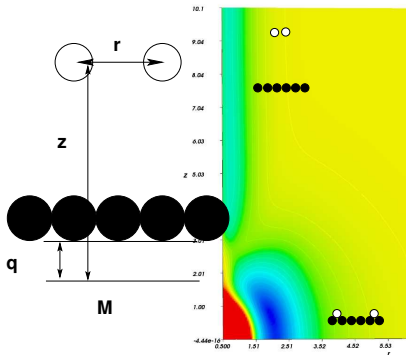
$$H = \frac{p_z'^2}{2\mu_S} + \frac{p_r^2}{2\mu} + V(r, z')$$

$$S_\nu(E) \Rightarrow S_\nu(E_{rel})$$



$$E_{rel} = \frac{1}{2}\mu_S v'^2 = E_{rel}(E, E_q) \quad v' = v_z - v_q$$

$$S_\nu(E, T_s) = \int S_\nu(E_{rel}) P(v_q) dv_q$$



# System-bath models

A simple model ...

$$H = \frac{p^2}{2M} + V(z) + \sum_k \left\{ \frac{p_k^2}{2} + \frac{\omega_k^2}{2} \left( x_k - \frac{c_k z}{\omega_k^2} \right)^2 \right\}$$

$$H \equiv H^{\text{sys}} + \Delta V(z) + H^{\text{int}} + H^{\text{bath}}$$

$H^{\text{sys}} = \frac{p^2}{2M} + V(z)$ : system Hamiltonian (with  $z = 0$  equilibrium position)

$\Delta V(z) = \frac{1}{2} \left( \sum_k \frac{c_k^2}{\omega_k^2} \right) z^2$ : "renormalization" potential

$H^{\text{int}} = - \sum_k c_k x_k z$ : interaction term

$H^{\text{bath}} = \sum_k \frac{p_k^2}{2} + \frac{\omega_k^2}{2} x_k^2$ : "bath" Hamiltonian





# System-bath models

Classical (or Heisenberg quantum) equations of motions

$$\ddot{z} = -\frac{\partial V}{\partial z} - \frac{\partial \Delta V}{\partial z} + \sum_k c_k x_k$$

$$\ddot{x}_k = -\omega_k^2 x_k + c_k z$$

$F_{\text{ren}}^{\text{env}} = \sum_k c_k x_k$ : force exerted **by** the bath **on** the system

$c_k z$ : "external" force **felt by** the  $k$ -th mode

$\Rightarrow$  Each  $k$ -th HO is a **forced Harmonic oscillator**



# System-bath models

Formally solving for  $x_k(t)$  ...

$$x_k(t) = x(t_0) \cos(\omega_k t) + \frac{\dot{x}_k(t_0)}{\omega_k} \sin(\omega_k t) + \int_{t_0}^{+\infty} \Theta(t - t') \frac{\sin(\omega_k(t - t'))}{\omega_k} c_k z(t') dt'$$



"free solution"



"response"

$x_k^0(t)$ : free solution of the HO with initial conditions  
 $x_k(t_0), \dot{x}_k(t_0)$

$\delta x_k(t)$ : response of the HO to the external perturbation



# System-bath models

(FLUCTUATION)

(DISSIPATION)

$$F_{\text{ren}}^{\text{env}} = \sum_k c_k x_k^0(t) - M\delta\Omega^2 z + \sum_k c_k^2 \int_{t_0}^{+\infty} \Theta(t-t') \frac{\sin(\omega_k(t-t'))}{\omega_k} z(t') dt'$$



Free evolution of the bath



Response of the bath  
to the z-motion



# System-bath models

Upon rearranging (integration by parts)

$$F^{\text{env}} = \xi(t) - M \int_{t_0}^{+\infty} \gamma(t-t') \dot{z}(t') dt'$$

where

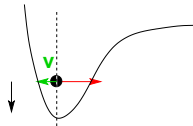
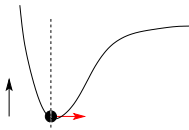
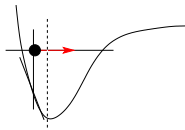
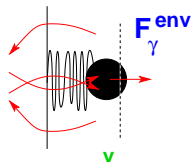
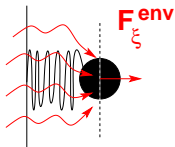
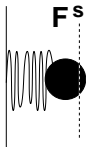
$$M \kappa(t) = \sum_k \frac{c_k^2}{\omega_k^2} \cos(\omega_k t)$$

$$\gamma(t) = \Theta(t) \kappa(t)$$

$$\xi(t) = \sum_k \left\{ \left[ x_k(t_0) - \frac{c_k}{\omega_k^2} z(t_0) \right] \cos(\omega_k t) + \frac{\dot{x}_k(t_0)}{\omega_k} \sin(\omega_k t) \right\} c_k$$



## System-bath models



$$F^{\text{env}} = \xi(t) - M \int_{t_0}^{+\infty} \gamma(t-t') \dot{z}(t') dt'$$

$\xi(t)$  is a "random" force, with  $\{x_k(t_0), \dot{x}_k(t_0)\}$  to be extracted from the equilibrium distribution at time  $t_0$



# System-bath models

$$F^{\text{env}} = \xi(t) - M \int_{t_0}^{+\infty} \gamma(t-t') \dot{z}(t') dt'$$

$$\rho(x_1, x_2, \dots, p_1, p_2, \dots) = \frac{1}{Z} e^{-\beta H_{z_0}^{\text{env}}}$$

$$H_{z_0}^{\text{env}} = \sum_k \left\{ \frac{p_k^2}{2} + \frac{\omega_k^2}{2} \left( x_k - \frac{c_k z(t_0)}{\omega_k^2} \right)^2 \right\}$$

$$\langle \xi(t) \rangle = 0$$

$$\langle \xi(t) \xi(0) \rangle = \frac{k_B T}{M} \kappa(t)$$



# System-bath models

**Conversely**, for a given GLE with memory kernel  $\gamma(t)$ ,

$$\gamma(t) \longrightarrow \tilde{\gamma}(\omega) = \int_{-\infty}^{+\infty} e^{i\omega t} \gamma(t) dt$$

$$J(\omega) = M \omega \tilde{\gamma}'(\omega)$$

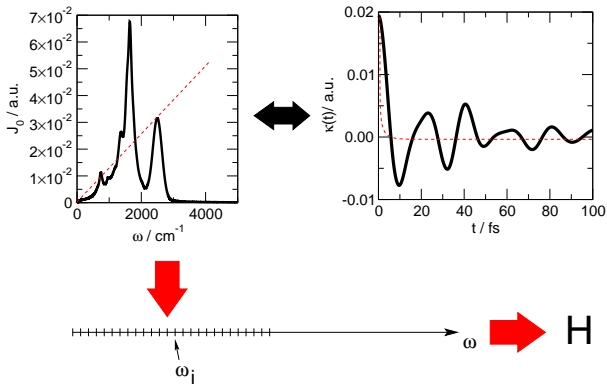
$$k = 1, \dots, N \quad \omega_k = k \Delta \omega \quad c_k = \sqrt{\frac{2 \omega_k \Delta \omega J(\omega_k)}{\pi}}$$

provides a discretized model which is **equivalent** to the GLE  
in the limit  $N \rightarrow \infty$





# System-bath models



## System-bath models

$$H = \frac{p^2}{2M} + V(z) + \sum_k \left\{ \frac{p_k^2}{2} + \frac{\omega_k^2}{2} \left( x_k - \frac{c_k z}{\omega_k^2} \right)^2 \right\}$$

- \* dissipative dynamics for  $t < T_{\text{rec}} = \frac{2\pi}{\Delta\omega}$
- \* the bath can be obtained from small amplitude expansion of the **exact Hamiltonian**..
- \* or used to model **phenomenological**  $J(\omega)$
- \* the Hamiltonian can be quantized to describe **quantum dissipation**



# Summary

- Reduced models are **necessary** for many reasons
- Choice of the model requires **physical insight** into the process, *e.g.*
- does the surface play an active or passive role?
- is dynamics classical or quantum?



# Outline

1

## Basics

- Linear chain model
- Scattering from real surfaces

2

## Reduced dynamical models

- Traditional models
- System-bath models

3

## Dynamics

- The methods
- Examples



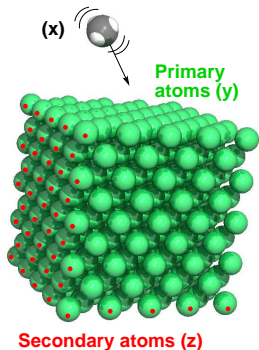
Straightforward ... just integrate

$$M\ddot{\mathbf{z}}_i = F_z(\mathbf{x}, \mathbf{y}, \mathbf{z}) - M\gamma\dot{\mathbf{z}}_i + \xi_i$$

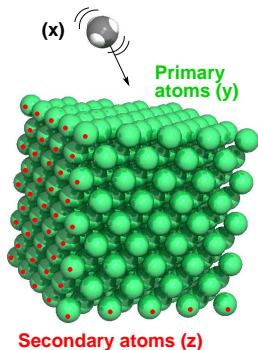
$$F_q = -\frac{\partial U}{\partial q}(\mathbf{x}, \mathbf{y}, \mathbf{z}) \quad q = x_i, y_i, z_i$$

- molecule-surface interaction
- lattice potential

$\gamma, \xi$ : Langevin terms, "consistent" with the lattice

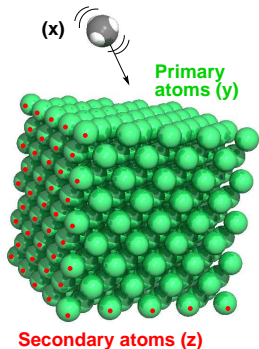


# Classical dynamics with Langevin atoms



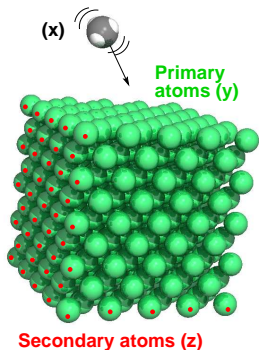
- Periodic Boundary Conditions || to the surface
- Minimum Image Convention
- $\xi_i$  is a **Gaussian** random variable
- $\xi_i(t + \Delta t)$  is **statistically independent** from  $\xi_i(t)$





How to get  $p(\dots q_i \dots)$  ?

- Set  $T_{kin} = \langle \frac{1}{2} M v_i^2 \rangle = 2T_S$ ,  
i.e. sample  $v_i$  for  $T = 2T_S$
- Find  $\{\bar{q}_i\}$ , **equilibrium** configuration
- Start **Langevin dynamics** at temperature  $T_S$



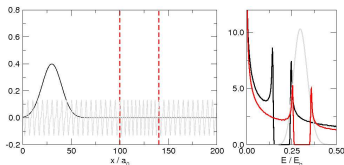
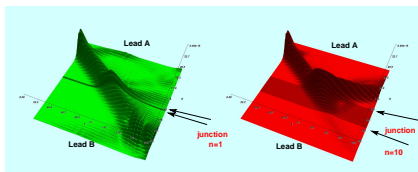
⇒ The system quickly relaxes towards the equilibrium state at  $T_s$

⇒ Extract  $\{q_i\}_1, \{q_i\}_2, \dots$





# Quantum dynamics (small systems)



$$H\psi = i\hbar \frac{\partial \psi}{\partial t}$$

- **Represent**  
 $\psi(x), \psi(x) \rightarrow \psi_i$
- **Represent operators**  
 $O, O \rightarrow O_{i,j}$
- **Evolve**  
 $\psi, \psi_i(t=0) \rightarrow \psi_i(t)$
- **Analyze**  $\psi(t) \rightarrow P(E)$  at all times



## Multi Configurational Time-Dependent Hartree

$$\Psi(x_1, x_2, \dots, x_N) = \sum_{i_1, i_2, \dots, i_N} c_{i_1, i_2, \dots, i_N} \phi_{i_1}^{(1)}(x_1) \phi_{i_2}^{(2)}(x_2) \dots \phi_{i_N}^{(N)}(x_N)$$

- $c_{i_1, i_2, \dots, i_N} = c_{i_1, i_2, \dots, i_N}(t)$  are time-evolving **amplitudes** for the configurations
- $\phi_i^{(k)}(x) = \phi_i^{(k)}(x, t)$  are time-evolving **single-particle functions**
- $\langle \phi_i^{(k)} | \phi_j^{(k)} \rangle = \delta_{ij}$  for any  $k = 1, \dots, N$

## Equations of motion?



## Dirac Frenkel variational principle

- $i\hbar \dot{C} = HC$

where

$$H_{IJ} = \langle \Phi_I | H | \Phi_J \rangle \quad | \Phi_I \rangle = | \phi_{i_1}^1 \rangle \dots | \phi_{i_N}^N \rangle$$

$$P_k = \sum_{j=1}^{n_k} |j^{(k)}\rangle \langle j^{(k)}| \quad |j^{(k)}\rangle \equiv |\phi_j^{(k)}\rangle$$

$$H_{ij}^{(k)} = \langle \Psi_i^{(k)} | H | \Psi_j^{(k)} \rangle, \quad |\Psi_j^{(k)}\rangle = a_j^{(k)} |\Psi\rangle$$

$$\rho_{jm}^{(k)} = \langle \Psi_j^{(k)} | \Psi_m^{(k)} \rangle$$



# Quantum dynamics (large systems)

## Close-Coupling Wave Packet

$$\Psi(..., x_i, ..., z_i, ...) = \sum_{\mathbf{n}} \psi_{\mathbf{n}}(x_1, x_2, ...) \phi_{n_1}^{(1)}(z_1) \phi_{n_2}^{(2)}(z_2) \dots \phi_{n_N}^{(N)}(z_N)$$

- $\psi_{\mathbf{n}}(x_1, x_2, ...)$  are time-evolving **channel** wavepackets (e.g. molecular WPs)
- $\phi_n^{(k)}(x)$  are **stationary** single-particle functions (e.g. HOs eigenstates)

$$i\hbar \frac{\partial \psi_{\mathbf{n}}}{\partial t}(x_1, x_2, ...) = \sum_{\mathbf{m}} H_{\mathbf{nm}}(x_1, x_2, ...) \psi_{\mathbf{m}}(x_1, x_2, ...)$$

$$H_{\mathbf{nm}}(x_1, x_2, ...) = \langle \phi_{n_1}^{(1)} \phi_{n_2}^{(2)} \dots \phi_{n_N}^{(N)} | H | \phi_{m_1}^{(1)} \phi_{m_2}^{(2)} \dots \phi_{m_N}^{(N)} \rangle$$



# Quantum dynamics (large systems)

## Time-Dependent Self-Consistent Field

$$\Psi(x_1, x_2, \dots, x_N) = c_t \phi^{(1)}(x_1) \phi^{(2)}(x_2) \dots \phi^{(N)}(x_N)$$

- $c(t) = e^{-iS(t)}$  phase-factor
- $\phi^{(k)}(x) = \phi^{(k)}(x, t)$  are time-evolving **single-particle functions**..
- ..one spf for each DOF

$$i\hbar \frac{\partial \phi^{(i)}}{\partial t}(x_i) = H^{(i)}(x_i) \phi^{(i)}(x_i)$$

$$H^{(i)}(x_i) = \langle \dots \phi^{(i-1)} \phi^{(i+1)} \dots | H | \dots \phi^{(i-1)} \phi^{(i+1)} \dots \rangle$$

$$\Rightarrow i - th \text{ mean-field}$$



# Quantum-classical dynamics (large systems)

## Time-Dependent Self-Consistent Field with Gaussians

$$\Psi(..., x_i, ..., z_i...) = \psi(..., x_i, ...) \phi^{(1)}(z_1) \dots \phi^{(N)}(z_N)$$

- $\phi^{(k)}(x) = g^{(k)}(x, t)$  are **Gaussian wavepackets** centered in  $\{z_k(t), p_k(t)\}$

$$i\hbar \frac{\partial \psi}{\partial t}(..., x_i, ...) = H(t) \psi(..., x_i, ...)$$

$H(t)$  is the quantum Hamiltonian averaged over the **classical DOFs**

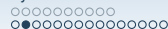
$\{z_k(t), p_k(t)\}$  evolve **classically** on a mean-field Hamiltonian



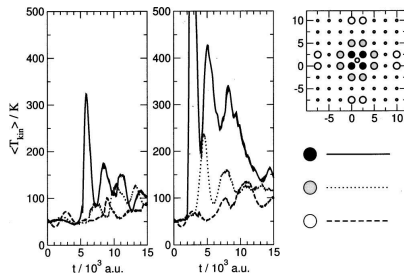
- H atom on H adsorbed on Ni(100)
- Classical dynamics with a 9x9x11 slab
- Thermal contacts with a reservoir at  $T_s = 120 - 300\text{K}$
- Eley-Rideal  $\text{H}_2$  formation vs. Hot-atom species

R. Martinazzo, S. Assoni, G. Marinoni, G.F. Tantardini, *J. Chem. Phys.*, **120** (2004) 8761

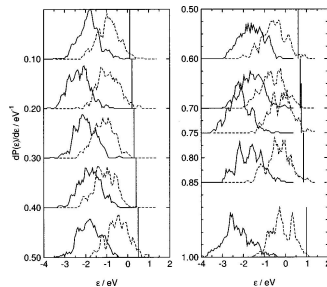




# Langevin



Thermal **shock-wave**



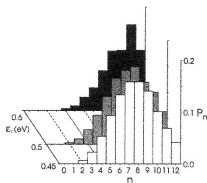
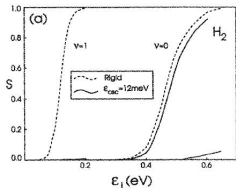
Hot **species**

R. Martinazzo, S. Assoni, G. Marinoni, G.F. Tantardini, *J. Chem. Phys.*, **120** (2004) 8761





# Surface Oscillator Model

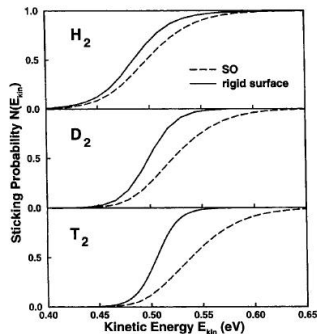
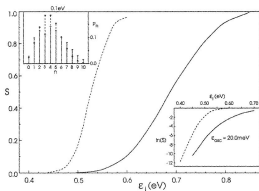
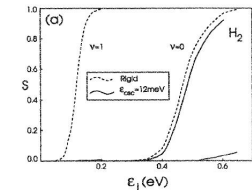


- **Minimal** model for dissociative sticking of diatomics ( $z, r, q$ )
- **3D Quantum dynamics** with wave packets
- **Recoil effects** in surface dissociation

M. Hand and J. Harris, *J. Chem. Phys.*, **92** (1990) 7610



# Surface Oscillator Model

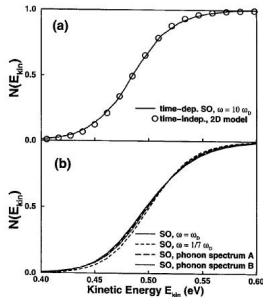


M. Hand and J. Harris, *J. Chem. Phys.*, **92** (1990) 7610

M. Dohle and P. Saalfrank, *Surf. Sci.*, **95** (1997) 373



# Surface and Mass Oscillator Models



- Results do **not** depend on  $\Omega$
- The shift is **mainly** due to the  $E \rightarrow E_{rel}$  transformation

For  $q$  initially **at rest**

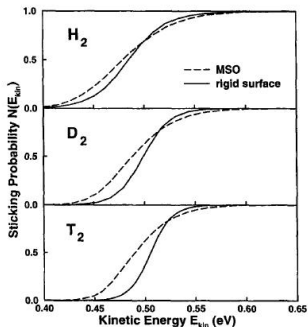
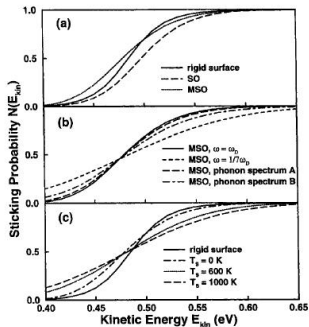
$$p = \frac{M}{M+m} P$$

$$E_{rel} = \frac{p^2}{2\mu} = E \frac{M}{M+m}$$

M. Dohle and P. Saalfrank, *Surf. Sci.*, **95** (1997) 373

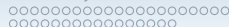
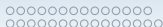


# Modified SO Model

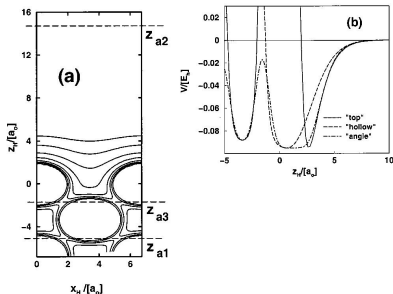


M. Dohle and P. Saalfank, *Surf. Sci.*, **95** (1997) 373





# Quantum dynamics: approximate methods

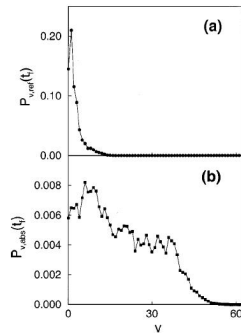
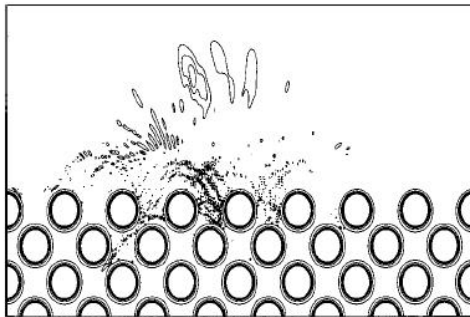


- Different **models** and different **methods**
- **Failure** of the mean-field approximations
- Classical can be **better** than mixed quantum/classical

T. Klamroth and P. Saalfrank,  
*J. Chem. Phys.*, **112** (2000) 10571



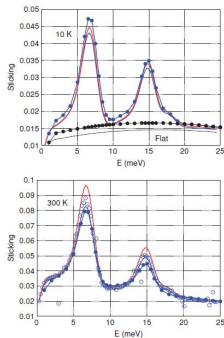
# Quantum dynamics: approximate methods



T. Klamroth and P. Saalfrank, *J. Chem. Phys.*, **112** (2000) 10571



# Quantum dynamics (large systems)

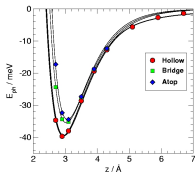


- **Accurate** rigid-surface PES
- Coupling to the lattice phonons leads to a **system-bath-like Hamiltonian**
- Full **quantum** dynamics with **CCWP** and other methods
- **One-phonon** approximation works fine

B. Lepetit, D. Lemoine, Z. Medina and B. Jackson, *J. Chem. Phys.*, **134** (2011) 114705



# Quantum dynamics (large systems)



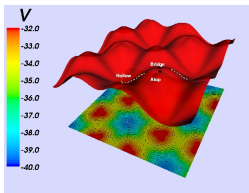
The adopted CCWP correctly handles  
PES **corrugation**

$$\Psi(\mathbf{r}, q_1, q_2, \dots, t) = \sum_{i,n} c_{i,n}(t) \phi_i(\mathbf{r}) \Phi_n(q_1, q_2, \dots, q_N)$$

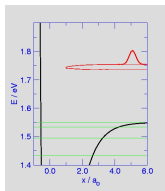
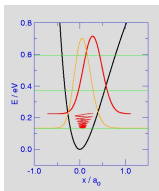
$$H_{3D} \phi_i = \epsilon_i \phi_i$$

$$|\Phi_n\rangle = |0_1, 0_2, \dots, 0_{n-1} 1_n 0_{n+1} \dots 0_N\rangle$$

⇒ **Selective adsorption resonances**





$$H = \frac{p^2}{2M} + V(s) + \sum_k \left\{ \frac{p_k^2}{2} + \frac{\omega_k^2}{2} \left( x_k - \frac{c_k f(s)}{\omega_k^2} \right)^2 \right\}$$


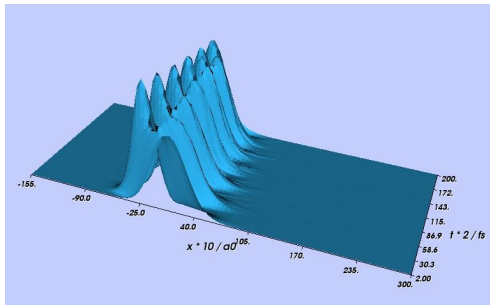
- $f(s) = \frac{1-e^{-\alpha s}}{\alpha} \rightarrow s$  for  $s \rightarrow 0$
- $V(s) = D_e e^{-\alpha s} (e^{-\alpha s} - 2)$ ,  
with  $D_e = 1.55 \text{ eV}$
- $M = m_H$
- Several  $J(\omega)$ s



# Quantum dynamics (large systems)

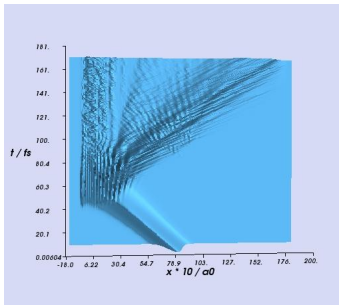
Vibrational relaxation

$$\rho_t(s|s)$$



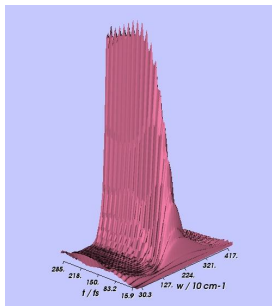
Sticking

$$\rho_t(s|s)$$



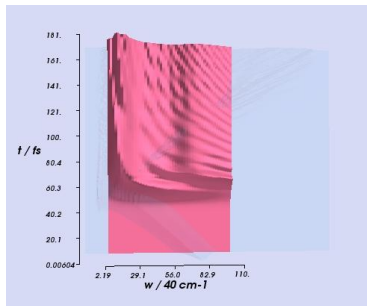
## Vibrational relaxation

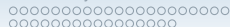
$$\langle a_w^\dagger a_w \rangle_t$$



## Sticking

$$\langle a_w^\dagger a_w \rangle_t$$





# Summary

- Surface atoms may be **directly** involved in activated process, *i.e.* their motion exponentially influences the rates
- Surface atoms are responsible for **energy dissipation**
- **Approximations** are always needed, no recipe works for any situation



# Acknowledgements

**Thank you for your attention!**

